

# Fully Abstract Compilation via Universal Embedding

## (Technical Appendix)

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# 1 Source Language $\lambda^S$

<i>Types</i>	$\sigma ::= \alpha \mid 1 \mid \sigma_1 + \sigma_2 \mid \sigma_1 \times \sigma_2 \mid \sigma_1 \rightarrow \sigma_2 \mid \mu\alpha.\sigma$
<i>Values</i>	$v ::= x \mid \langle \rangle \mid \text{inj}_1 v \mid \text{inj}_2 v \mid \langle v_1, v_2 \rangle \mid \lambda(x:\sigma). e \mid \text{fold}_{\mu\alpha.\sigma} v$
<i>Expressions</i>	$e ::= v \mid \text{case } v \text{ of } x_1.e_1 \mid x_2.e_2 \mid \pi_1 v \mid \pi_2 v v_1 v_2 \mid \text{unfold } v \mid \text{let } x = e_1 \text{ in } e_2$
<i>Eval. Contexts</i>	$K ::= [.] \mid \text{let } x = K \text{ in } e_2$

Figure 1: Source Language (STLC): Syntax

$\boxed{\Delta \vdash \sigma}$ $\frac{\alpha \in \Delta}{\Delta \vdash \alpha}$ $\boxed{\Delta \vdash \Gamma}$ $\frac{x : \sigma \in \Gamma \quad \cdot \vdash \Gamma}{\Gamma \vdash x : \sigma}$ $\frac{\cdot \vdash \Gamma}{\Gamma \vdash \langle \rangle : 1}$ $\frac{\Gamma \vdash e : \sigma_1 \quad \cdot \vdash \sigma_2}{\Gamma \vdash e : \sigma_1 + \sigma_2}$ $\frac{\Gamma \vdash e : \sigma_1 \quad \cdot \vdash \sigma_2}{\Gamma \vdash e : \sigma_1 \times \sigma_2}$ $\frac{\Gamma \vdash e : \sigma_1 \quad \cdot \vdash \sigma_2}{\Gamma \vdash e : \sigma_1 \rightarrow \sigma_2}$ $\frac{\Delta, \alpha \vdash \sigma}{\Delta \vdash \mu\alpha.\sigma}$	$\boxed{\Gamma, x : \sigma}$ $\boxed{\Delta, \alpha}$ $\frac{\Delta \vdash \Gamma \quad \Delta \vdash \sigma}{\Delta \vdash \Gamma, x : \sigma}$ $\frac{\Gamma \vdash e : \sigma_1 \quad \cdot \vdash \sigma_2}{\Gamma \vdash \text{inj}_1 e : \sigma_1 + \sigma_2}$ $\frac{\Gamma \vdash e : \sigma_2 \quad \cdot \vdash \sigma_1}{\Gamma \vdash \text{inj}_2 e : \sigma_1 + \sigma_2}$ $\frac{\Gamma \vdash v : \sigma_1 + \sigma_2 \quad \Gamma, x_1 : \sigma_1 \vdash e_1 : \sigma \quad \Gamma, x_2 : \sigma_2 \vdash e_2 : \sigma}{\Gamma \vdash \text{case } v \text{ of } x_1.e_1 \mid x_2.e_2 : \sigma}$ $\frac{\Gamma \vdash v_1 : \sigma_1 \quad \Gamma \vdash v_2 : \sigma_2}{\Gamma \vdash \langle v_1, v_2 \rangle : \sigma_1 \times \sigma_2}$ $\frac{\Gamma \vdash v : \sigma_1 \times \sigma_2}{\Gamma \vdash \pi_1 : \sigma_1}$ $\frac{\Gamma \vdash v : \sigma_1 \times \sigma_2 \quad \Gamma, x : \sigma_1 \vdash e : \sigma_2}{\Gamma \vdash \lambda(x:\sigma_1). e : \sigma_1 \rightarrow \sigma_2}$ $\frac{\Gamma \vdash v_1 : \sigma_2 \rightarrow \sigma \quad \Gamma \vdash v_2 : \sigma_2}{\Gamma \vdash v_1 v_2 : \sigma}$ $\frac{\Gamma \vdash v : \mu\alpha.\sigma}{\Gamma \vdash \text{unfold } v : \sigma[\mu\alpha.\sigma/\alpha]}$ $\frac{\Gamma \vdash e_1 : \sigma_1 \quad \Gamma, x : \sigma_1 \vdash e_2 : \sigma_2}{\Gamma \vdash \text{let } x = e_1 \text{ in } e_2 : \sigma_2}$
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Figure 2: Source Language (STLC): Static Semantics

$$\begin{array}{lcl}
\text{case } (\text{inj}_1 v) \text{ of } x_1. e_1 \mid x_2. e_2 & \xrightarrow{S} & e_1[v/x_1] \\
\text{case } (\text{inj}_2 v) \text{ of } x_1. e_1 \mid x_2. e_2 & \xrightarrow{S} & e_2[v/x_2] \\
\pi_1 \langle v_1, v_2 \rangle & \xrightarrow{S} & v_1 \\
\pi_2 \langle v_1, v_2 \rangle & \xrightarrow{S} & v_2 \\
(\lambda(x : \sigma). e) v & \xrightarrow{S} & e[v/x] \\
\text{unfold } (\text{fold}_{\mu\alpha.\sigma} v) & \xrightarrow{S} & v \\
\text{let } x = v \text{ in } e & \xrightarrow{S} & e[v/x]
\end{array}$$

$$\frac{e \xrightarrow{S} e'}{K[e] \longmapsto K[e']}$$

Figure 3: Source ( $\lambda^S$ ): Operational Semantics

## 2 Target Language $\lambda^T$

<i>Value Types</i>	$\tau ::= \alpha \mid \tau_1 + \tau_2 \mid \langle \bar{\tau} \rangle \mid \forall[\alpha]. \tau \rightarrow \theta \mid \mu\alpha. \tau \mid \exists\alpha. \tau \mid 0$
<i>Computation Types</i>	$\theta ::= E \tau_1 \tau_2$
<i>Values</i>	$v ::= x \mid inj_1 v_1 \mid inj_2 v_2 \mid \langle \bar{v} \rangle \mid \lambda[\alpha](x:\tau). e \mid fold_{\mu\alpha.\tau} v \mid pack(\tau, v) \text{ as } \exists\alpha. \tau'$
<i>Results</i>	$r ::= \text{return } v \mid \text{raise } v$
<i>Computations</i>	$e ::= r \mid v.i \mid \text{unfold } v \mid \text{handle } e \text{ with } (x, e_1) (y, e_2) \mid v_1 [\tau] v_2$ $\mid \text{case } v \text{ of } x_1, e_1 \mid x_2, e_2 \mid \text{unpack } (\alpha, x) = v \text{ in } e$

*Evaluation Contexts*  $K ::= [] \mid \text{handle } K \text{ with } (x, e_1) (y, e_2)$

$$e \xrightarrow{T} e'$$

$$\begin{array}{ll}
 \text{case } (inj_1 v) \text{ of } x_1, e_1 \mid x_2, e_2 & \xrightarrow{T} e_1[v/x_1] \\
 \text{case } (inj_2 v) \text{ of } x_1, e_1 \mid x_2, e_2 & \xrightarrow{T} e_2[v/x_2] \\
 \langle v_1, \dots, v_n \rangle.i & \xrightarrow{T} \text{return } v_i \\
 (\lambda[\alpha](x:\tau). e) [\tau'] v & \xrightarrow{T} e[\tau'/\alpha][v/x] \\
 \text{unfold } (fold_{\mu\alpha.\tau} v) & \xrightarrow{T} \text{return } v \\
 \text{unpack } (\alpha, x) = (pack(\tau, v) \text{ as } \exists\alpha. \tau) \text{ in } e & \xrightarrow{T} e[\tau/\alpha][v/x] \\
 \text{handle } (\text{return } v) \text{ with } (x, e_1) (y, e_2) & \xrightarrow{T} e_1[v/x] \\
 \text{handle } (\text{raise } v) \text{ with } (x, e_1) (y, e_2) & \xrightarrow{T} e_2[v/y]
 \end{array}$$

$$\frac{e \xrightarrow{T} e'}{K[e] \longmapsto K[e']}$$

Figure 4: Target Language (System F + exceptions): Syntax and Operational Semantics

$$\begin{array}{ll} \text{Type Context} & \Delta ::= \cdot \mid \Delta, \alpha \\ \text{Value Context} & \Gamma ::= \cdot \mid \Gamma, x : \tau \end{array}$$

$\boxed{\Delta \vdash \tau}$

$$\frac{\alpha \in \Delta}{\Delta \vdash \alpha} \quad \frac{\Delta \vdash \tau_1 \quad \Delta \vdash \tau_2}{\Delta \vdash \tau_1 + \tau_2} \quad \frac{\Delta \vdash \tau_1 \cdots \Delta \vdash \tau_n}{\Delta \vdash \langle \tau_1, \dots, \tau_n \rangle} \quad \frac{\Delta, \alpha \vdash \tau_1 \quad \Delta, \alpha \vdash \tau_2}{\Delta \vdash \forall[\alpha]. \tau_1 \rightarrow \tau_2} \quad \frac{\Delta, \alpha \vdash \tau}{\Delta \vdash \mu\alpha. \tau} \quad \frac{}{\Delta \vdash 0}$$

$$\frac{\Delta, \alpha \vdash \tau}{\Delta \vdash \exists\alpha. \tau}$$

$\boxed{\Delta \vdash \Gamma}$

$$\frac{}{\Delta \vdash \cdot} \quad \frac{\Delta \vdash \Gamma \quad \Delta \vdash \tau}{\Delta \vdash \Gamma, x : \tau}$$

$\boxed{\Delta; \Gamma \vdash v : \tau}$

$$\frac{\Delta \vdash \Gamma \quad x : \tau \in \Gamma}{\Delta; \Gamma \vdash x : \tau} \quad \frac{\Delta; \Gamma \vdash v : \tau_1 \quad \Delta \vdash \tau_2}{\Delta; \Gamma \vdash \text{inj}_1 v : \tau_1 + \tau_2} \quad \frac{\Delta; \Gamma \vdash v : \tau_2 \quad \Delta \vdash \tau_1}{\Delta; \Gamma \vdash \text{inj}_2 v : \tau_1 + \tau_2} \quad \frac{\Delta; \Gamma \vdash v_i : \tau_i}{\Delta; \Gamma \vdash \langle \bar{v} \rangle : \langle \bar{\tau} \rangle}$$

$$\frac{\Delta \vdash \Gamma \quad \alpha; x : \tau \vdash e : \theta}{\Delta; \Gamma \vdash \lambda[\alpha](x : \tau). e : \forall[\alpha]. \tau \rightarrow \theta} \quad \frac{\Delta; \Gamma \vdash v : \tau[\mu\alpha. \tau / \alpha]}{\Delta; \Gamma \vdash \text{fold}_{\mu\alpha. \tau} v : \mu\alpha. \tau} \quad \frac{\Delta; \Gamma \vdash v : \tau[\tau' / \alpha] \quad \Delta \vdash \tau'}{\Delta; \Gamma \vdash \text{pack}(\tau', v) \text{ as } \exists\alpha. \tau : \exists\alpha. \tau}$$

$\boxed{\Delta; \Gamma \vdash r : \theta}$

$$\frac{\Delta; \Gamma \vdash v : \tau \quad \Delta \vdash \tau_{\text{exn}}}{\Delta; \Gamma \vdash \text{return } v : E \tau_{\text{exn}} \tau} \quad \frac{\Delta; \Gamma \vdash v : \tau_{\text{exn}} \quad \Delta \vdash \tau}{\Delta; \Gamma \vdash \text{raise } v : E \tau_{\text{exn}} \tau}$$

$\boxed{\Delta; \Gamma \vdash e : \theta}$

$$\frac{\Delta; \Gamma \vdash v : \tau_1 + \tau_2 \quad \Delta; \Gamma, x_1 : \tau_1 \vdash e_1 : \theta \quad \Delta; \Gamma, x_2 : \tau_2 \vdash e_2 : \theta}{\Delta; \Gamma \vdash \text{case } v \text{ of } x_1. e_1 \mid x_2. e_2 : \theta} \quad \frac{\Delta; \Gamma \vdash v : \langle \tau_1, \dots, \tau_n \rangle \quad \Delta \vdash \tau_{\text{exn}}}{\Delta; \Gamma \vdash v.i : E \tau_{\text{exn}} \tau_i}$$

$$\frac{\Delta; \Gamma \vdash v_1 : \forall[\alpha]. \tau_2 \rightarrow \theta \quad \Delta \vdash \tau' \quad \Delta; \Gamma \vdash v_2 : \tau_2[\tau' / \alpha]}{\Delta; \Gamma \vdash v_1[\tau'] v_2 : \theta[\tau' / \alpha]} \quad \frac{\Delta; \Gamma \vdash v : \mu\alpha. \tau \quad \Delta \vdash \tau_{\text{exn}}}{\Delta; \Gamma \vdash \text{unfold } v : E \tau_{\text{exn}} (\tau[\mu\alpha. \tau / \alpha])}$$

$$\frac{\Delta; \Gamma \vdash v : \exists\alpha. \tau \quad \Delta, \alpha; \Gamma, x : \tau \vdash e : \theta}{\Delta; \Gamma \vdash \text{unpack}(\alpha, x) = v \text{ in } e : \theta}$$

$$\frac{\Delta; \Gamma \vdash e : E \tau_{\text{exn}} \tau \quad \Delta; \Gamma, x : \tau \vdash e_1 : \theta \quad \Delta; \Gamma, y : \tau_{\text{exn}} \vdash e_2 : \theta}{\Delta; \Gamma \vdash \text{handle } e \text{ with } (x. e_1) (y. e_2) : \theta}$$

Figure 5: Target Language (System F): Static Semantics

$\text{let } x = e \text{ in } e' \stackrel{\text{def}}{=} \text{handle } e \text{ with } (x. e') (y. \text{raise } y)$   
 $\text{catch } y = e \text{ in } e' \stackrel{\text{def}}{=} \text{handle } e \text{ with } (x. \text{return } x) (y. e')$   
 $\mathbf{1} \stackrel{\text{def}}{=} \langle \rangle \quad (\text{the empty tuple type})$

Figure 6: Target Language (System F): Syntax Sugar

### 3 Closure Conversion

$$\begin{aligned}
\alpha^+ &= \alpha \\
1^+ &= \mathbf{1} \\
(\sigma_1 + \sigma_2)^+ &= \sigma_1^+ + \sigma_2^+ \\
(\sigma_1 \times \sigma_2)^+ &= \langle \sigma_1^+, \sigma_2^+ \rangle \\
(\sigma_1 \rightarrow \sigma_2)^+ &= \exists \alpha. \langle \langle \alpha, \sigma_1^+ \rangle \rightarrow \sigma_2^\div, \alpha \rangle \\
(\mu \alpha. \sigma)^+ &= \mu \alpha. \sigma^+ \\
\sigma^\div &= \mathbf{E} \mathbf{0} \sigma^+ \\
(\cdot)^+ &= \cdot \\
(\Gamma, x : \sigma)^+ &= \Gamma^+, x : \sigma^+
\end{aligned}$$

Figure 7: Closure Conversion: Type Translation

$$\boxed{\Gamma \vdash v : \sigma \rightsquigarrow_v v}$$

$$\frac{x : \sigma \in \Gamma}{\Gamma \vdash x : \sigma \rightsquigarrow_v x} \quad \frac{}{\Gamma \vdash \langle \rangle : 1 \rightsquigarrow_v \langle \rangle} \quad \frac{\Gamma \vdash v : \sigma_1 \rightsquigarrow_v v}{\Gamma \vdash \text{inj}_1 v : \sigma_1 + \sigma_2 \rightsquigarrow_v \text{inj}_1 v} \quad \frac{\Gamma \vdash v : \sigma_2 \rightsquigarrow_v v}{\Gamma \vdash \text{inj}_2 v : \sigma_1 + \sigma_2 \rightsquigarrow_v \text{inj}_2 v}$$

$$\frac{\Gamma \vdash v_1 : \sigma_1 \rightsquigarrow_v v_1 \quad \Gamma \vdash v_1 : \sigma_1 \rightsquigarrow_v v_1}{\Gamma \vdash \langle v_1, v_2 \rangle : \sigma_1 \times \sigma_2 \rightsquigarrow_v \langle v_1, v_2 \rangle}$$

$$\frac{\Gamma(y_i) = \sigma_i \quad \Gamma' = (y_1 : \sigma_1, \dots, y_n : \sigma_n) \quad \tau_{\text{env}} = \langle \sigma_1^+, \dots, \sigma_n^+ \rangle \quad \Gamma', x : \sigma \vdash e : \sigma' \rightsquigarrow_e e \quad \text{fv}(\lambda(x : \sigma). e) = (y_1, \dots, y_n)}{\Gamma \vdash \lambda(x : \sigma). e : \sigma \rightarrow \sigma' \rightsquigarrow_v \text{pack}(\tau_{\text{env}}, \langle \lambda(z : \langle \tau_{\text{env}}, \sigma^+ \rangle). \text{let } x_{\text{env}} = \text{return}_0 z.1 \text{ in} \text{let } y_1 = \text{return}_0 x_{\text{env}}.1 \text{ in} \dots \text{let } y_n = \text{return}_0 x_{\text{env}}.n \text{ in} \text{let } x = \text{return}_0 z.2 \text{ in } e)}$$

$$\frac{\Gamma \vdash v : \sigma[\mu\alpha. \sigma/\alpha] \rightsquigarrow_v v}{\Gamma \vdash \text{fold}_{\mu\alpha. \sigma} v : \mu\alpha. \sigma \rightsquigarrow_v \text{fold}_{\mu\alpha. \sigma^+} v}$$

$$\boxed{\Gamma \vdash e : \sigma \rightsquigarrow_e e}$$

$$\frac{\Gamma \vdash v : \sigma \rightsquigarrow_v v}{\Gamma \vdash v : \sigma \rightsquigarrow_e \text{return}_0 v} \quad \frac{\Gamma \vdash v : \sigma_1 + \sigma_2 \rightsquigarrow_v v \quad \Gamma, x_1 : \sigma_1 \vdash e_1 : \sigma \rightsquigarrow_e e_1 \quad \Gamma, x_2 : \sigma_2 \vdash e_2 : \sigma \rightsquigarrow_e e_2}{\Gamma \vdash \text{case } v \text{ of } x_1. e_1 | x_2. e_2 : \sigma \rightsquigarrow_e \text{case } v \text{ of } x_1. e_1 | x_2. e_2}$$

$$\frac{\Gamma \vdash v : \sigma_i \rightsquigarrow_v v}{\Gamma \vdash \pi_i v : \sigma_1 \times \sigma_2 \rightsquigarrow_e v.i} \quad \frac{\Gamma \vdash v_1 : \sigma_1 \rightarrow \sigma_2 \rightsquigarrow_v v_1 \quad \Gamma \vdash v_2 : \sigma_1 \rightsquigarrow_v v_2}{\Gamma \vdash v_1 v_2 : \sigma_2 \rightsquigarrow_e \text{unpack}(\alpha, z) = v_1 \text{ in} \text{let } y_1 = \text{return } z.1 \text{ in} \text{let } y_2 = \text{return } z.2 \text{ in} y_1 \langle y_2, v_2 \rangle}$$

$$\frac{\Gamma \vdash v : \mu\alpha. \sigma \rightsquigarrow_v v}{\Gamma \vdash \text{unfold } v : \sigma[\mu\alpha. \sigma/\alpha] \rightsquigarrow_e \text{return}_0 \text{unfold } v} \quad \frac{\Gamma \vdash e_1 : \sigma_1 \rightsquigarrow_e e_1 \quad \Gamma, x \vdash e_2 : \sigma_2 \rightsquigarrow_e e_2}{\Gamma \vdash \text{let } x = e_1 \text{ in } e_2 : \sigma_2 \rightsquigarrow_e \text{let } x = e_1 \text{ in } e_2}$$

Figure 8: Closure Conversion: Term Translation

## 4 Combined Language $\lambda^{\text{ST}}$

<i>Environments</i>	$\Gamma ::= \cdot \mid \Gamma, \mathbf{x} : \sigma \mid \Gamma, \mathbf{x} : \tau$
	$\Delta ::= \Delta$
<i>Value Types</i>	$\tau ::= \sigma \mid \tau$
<i>Computation Types</i>	$\theta ::= \sigma \mid \theta$
<i>All Types</i>	$\varphi ::= \tau \mid \theta$
<i>Variables</i>	$x ::= \mathbf{x} \mid \mathbf{x}$
<i>Values</i>	$v ::= \mathbf{v} \mid \mathbf{v}$
<i>Results</i>	$r ::= \mathbf{v} \mid \mathbf{r}$
<i>Expressions</i>	$\mathbf{e} ::= \dots \mid {}^\sigma \mathcal{S}\mathcal{T} \mathbf{e}$ $\mathbf{e} ::= \dots \mid \mathcal{T}\mathcal{S} {}^\sigma \mathbf{e}$ $e ::= \mathbf{e} \mid \mathbf{e}$
<i>Evaluation Contexts</i>	$\mathbf{K} ::= \dots \mid {}^\sigma \mathcal{S}\mathcal{T} \mathbf{K}$ $\mathbf{K} ::= \dots \mid \mathcal{T}\mathcal{S} {}^\sigma \mathbf{K}$ $K ::= \mathbf{K} \mid \mathbf{K}$

Figure 9: Combined Language ( $\lambda^{\text{ST}}$ ): Syntax

The syntax of the multi-language is defined by embedding the source and target syntax. Meta-variables defined by  $\dots$  indicate using the definitions from the corresponding source or target meta-variable. For instance,  $\mathbf{p}$  in the multi-language is exactly  $\mathbf{p}$  from the target language. However,  $\mathbf{e}$  in the multi-language is  $\mathbf{e}$  from the target language extended with a boundary term.

Typing in the multi-language,  $\Delta; \Gamma \vdash e : \theta$ , consists of the typing judgments from both the source and the target languages, with a few modifications. First, the judgments are modified to take the multi-language typing environments  $\Delta$  and  $\Gamma$  instead of only the source or target typing environments. Next, the typing judgment for the source language is modified at the leaves of each derivation to check that  $\Delta \vdash \Gamma$ . Finally, two new rules are added to type-check boundary terms, given in Figure 11.

$e \xrightarrow{\text{ST}} e'$	
${}^1\mathcal{ST} \text{return } v$	$\xrightarrow{\text{ST}} \langle \rangle$
${}^{\sigma_1 + \sigma_2}\mathcal{ST} \text{return inj}_i v$	$\xrightarrow{\text{ST}} \text{let } x = {}^{\sigma_i}\mathcal{ST} \text{return } v \text{ in inj}_i x$
${}^{\sigma_1 \times \sigma_2}\mathcal{ST} \text{return } v$	$\xrightarrow{\text{ST}} \text{let } x_1 = {}^{\sigma_1}\mathcal{ST} v.\mathbf{1} \text{ in let } x_2 = {}^{\sigma_2}\mathcal{ST} v.\mathbf{2} \text{ in } \langle x_1, x_2 \rangle$
${}^{\sigma_1 \rightarrow \sigma_2}\mathcal{ST} \text{return } v$	$\xrightarrow{\text{ST}} \lambda(x : \sigma_1). {}^{\sigma_2}\mathcal{ST} \left( \begin{array}{l} \text{unpack } (\alpha, z) = v \text{ in let } x_f = z.\mathbf{1} \text{ in} \\ \text{let } x_{\text{env}} = z.\mathbf{2} \text{ in} \\ \text{let } x = \mathcal{T}\mathcal{S}^{\sigma_1 \times \text{in } x_f}[\alpha](x_{\text{env}}, x) \end{array} \right)$
${}^{\mu\alpha.\sigma}\mathcal{ST} \text{return } v$	$\xrightarrow{\text{ST}} \text{let } x = {}^{\sigma[\mu\alpha.\sigma/\alpha]}\mathcal{ST} \text{unfold } v \text{ in fold}_{\mu\alpha.\sigma} x$
$\mathcal{T}\mathcal{S}^1 v$	$\xrightarrow{\text{ST}} \text{return } \langle \rangle$
$\mathcal{T}\mathcal{S}^{\sigma_1 + \sigma_2} \text{inj}_i v$	$\xrightarrow{\text{ST}} \text{let } x = \mathcal{T}\mathcal{S}^{\sigma_i} v \text{ in return } \text{inj}_i x$
$\mathcal{T}\mathcal{S}^{\sigma_1 \times \sigma_2} v$	$\xrightarrow{\text{ST}} \text{let } x_1 = \mathcal{T}\mathcal{S}^{\sigma_1} \pi_1 v \text{ in let } x_2 = \mathcal{T}\mathcal{S}^{\sigma_2} \pi_2 v \text{ in return } \langle x_1, x_2 \rangle$
$\mathcal{T}\mathcal{S}^{\sigma_1 \rightarrow \sigma_2} v$	$\xrightarrow{\text{ST}} \text{return pack } (1, \langle \lambda(z : \langle 1, \sigma_1^+ \rangle). \mathcal{T}\mathcal{S}^{\sigma_2} \left( \begin{array}{l} \text{let } x = {}^{\sigma_1}\mathcal{ST} z.\mathbf{2} \text{ in} \\ v x \end{array} \right), \langle \rangle \rangle) \text{ as } (\sigma_1 \rightarrow \sigma_2)^+$
$\mathcal{T}\mathcal{S}^{\mu\alpha.\sigma} v$	$\xrightarrow{\text{ST}} \text{let } x = \mathcal{T}\mathcal{S}^{\sigma[\mu\alpha.\sigma/\alpha]} \text{unfold } v \text{ in return } \text{fold}_{(\mu\alpha.\sigma)^+} x$
$\frac{e \xrightarrow{S} e'}{K[e] \mapsto K[e']}$	
$\frac{e \xrightarrow{T} e'}{K[e] \mapsto K[e']}$	
$\frac{e \xrightarrow{\text{ST}} e'}{K[e] \mapsto K[e']}$	

Figure 10: Combined Language ( $\lambda^{\text{ST}}$ ): Operational Semantics

$$\boxed{\Delta; \Gamma \vdash e : \theta}$$

$$\frac{\Delta; \Gamma \vdash \mathbf{e} : \sigma^\div}{\Delta; \Gamma \vdash {}^{\sigma}\mathcal{ST} \mathbf{e} : \sigma}$$

$$\frac{\Delta; \Gamma \vdash \mathbf{e} : \sigma}{\Delta; \Gamma \vdash \mathcal{T}\mathcal{S}^{\sigma} \mathbf{e} : \sigma^\div}$$

Figure 11: Combined Language ( $\lambda^{\text{ST}}$ ): Static Semantics

## 5 $\lambda^{\text{ST}}$ Contexts and Contextual Equivalence

$$\begin{aligned}
C^v &::= [.]^v \mid \text{inj}_i C^v \mid \langle v, C^v \rangle \mid \langle C^v, v \rangle \mid \pi_i C^v \mid \lambda(x:\sigma). C \mid \text{fold}_{\mu\alpha.\sigma} C^v \\
C &::= [.] \mid [.]^v \mid \text{case } C^v \text{ of } x_1.e_1 \mid x_2.e_2 \mid \text{case } v \text{ of } x_1.C \mid x_2.e_2 \\
&\quad \mid \text{case } v \text{ of } x_1.e_1 \mid x_2.C \mid C^v v_2 \mid v_1 C^v \mid \text{unfold } C^v \mid \text{let } x = C \text{ in } e_2 \mid \text{let } x = e_1 \text{ in } C \mid {}^\sigma \mathcal{ST} C \\
C^v &::= [.]^v \mid \text{inj}_i C^v \mid \langle v_1, \dots, C^v, \dots, v_n \rangle \mid \lambda[\alpha](x:\tau). C \mid \text{fold}_{\mu\alpha.\tau} C^v \mid \text{pack } (\tau, C^v) \text{ as } \exists \alpha. \tau \\
C &::= [.] \mid \text{return } C^v \mid \text{raise } C^v \mid \text{case } C^v \text{ of } x_1.e_1 \mid x_2.e_2 \mid \text{case } v \text{ of } x_1.C \mid x_2.e_2 \mid \text{case } v \text{ of } x_1.e_1 \mid x_2.C \\
&\quad \mid C^v.i \mid C^v [\tau] v_2 \mid v_1 [\tau] C^v \mid \text{unfold } C^v \mid \text{unpack } (\alpha, x) = C^v \text{ in } e \mid \text{unpack } (\alpha, x) = v \text{ in } C \\
&\quad \mid \text{handle } C \text{ with } (x, e_1) (y, e_2) \text{ handle } e \text{ with } (x, C) (y, e_2) \mid \text{handle } e \text{ with } (x, e_1) (y, C) \mid \mathcal{TS} {}^\sigma C \\
C^g &::= C^v \mid C \\
C^g &::= C^v \mid C \\
C &::= C^g \mid C^g
\end{aligned}$$

$$\boxed{\vdash C : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \varphi')}$$

$$\begin{array}{c}
\frac{\Delta \vdash \Gamma}{\vdash [.] : (\Delta; \Gamma \vdash \sigma) \Rightarrow (\Delta; \Gamma \vdash \sigma)} \quad \frac{\Delta \vdash \Gamma}{\vdash [.]^v : (\Delta; \Gamma \vdash \sigma) \Rightarrow (\Delta; \Gamma \vdash \sigma)} \quad \frac{\vdash C^v : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_i)}{\vdash \text{inj}_i C^v : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_1 + \sigma_2)} \\
\frac{\vdash C^v : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_1 + \sigma_2) \quad \Gamma', x_1 : \sigma_1 \vdash e_1 : \sigma \quad \Gamma', x_2 : \sigma_2 \vdash e_2 : \sigma}{\vdash \text{case } C^v \text{ of } x_1.e_1 \mid x_2.e_2 : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma)} \\
\frac{\vdash C : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma', x_1 : \sigma_1 \vdash \sigma) \quad \Gamma' \vdash v : \sigma_1 + \sigma_2 \quad \Gamma', x_2 : \sigma_2 \vdash e_2 : \sigma}{\vdash \text{case } v \text{ of } x_1.C \mid x_2.e_2 : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma)} \\
\frac{\vdash C : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma', x_2 : \sigma_2 \vdash \sigma) \quad \Gamma' \vdash v : \sigma_1 + \sigma_2 \quad \Gamma', x_1 : \sigma_1 \vdash e_1 : \sigma}{\vdash \text{case } v \text{ of } x_1.e_1 \mid x_2.C : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma)} \\
\frac{\Delta' \vdash \Gamma' : v\sigma_1 \quad \vdash C^v : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_2)}{\vdash \langle v, C^v \rangle : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_1 \times \sigma_2)} \\
\frac{\vdash C^v : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_1) \quad \Delta' \vdash \Gamma' : v\sigma_2 \vdash C^v : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_1 \times \sigma_2)}{\vdash \langle C^v, v \rangle : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_1 \times \sigma_2)} \\
\frac{\vdash C : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma', x : \sigma_1 \vdash \sigma_2)}{\vdash \lambda(x:\sigma_1). C : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_1 \rightarrow \sigma_2)} \quad \frac{\vdash C^v : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_1 \rightarrow \sigma_2) \quad \Delta'; \Gamma' \vdash v_2 : \sigma_1}{\vdash C^v v_2 : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_2)} \\
\frac{\Delta'; \Gamma' \vdash v_1 : \sigma_1 \rightarrow \sigma_2 \quad \vdash C^v : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_1)}{\vdash v_1 C^v : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_2)} \quad \frac{\vdash C^v : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma'[\mu\alpha.\sigma'/\alpha]) \quad \vdash \text{fold}_{\mu\alpha.\sigma'} C^v : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \mu\alpha.\sigma')}{\vdash \text{unfold } C^v : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma'[\mu\alpha.\sigma'/\alpha])} \\
\frac{\Delta'; \Gamma' \vdash e_1 : \sigma_1 \quad \vdash C : (\Delta; \Gamma, x : \sigma_1 \vdash \varphi) \Rightarrow (\Delta'; \Gamma', x : \sigma_1 \vdash \sigma_2)}{\vdash \text{let } x = e_1 \text{ in } C : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_2)} \quad \frac{\vdash C : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_1) \quad \Delta'; \Gamma', x : \sigma_1 \vdash e_2 : \sigma_2}{\vdash \text{let } x = C \text{ in } e_2 : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_2)} \\
\frac{\Delta'; \Gamma' \vdash e_1 : \sigma_1 \quad \vdash C : (\Delta; \Gamma, x : \sigma_1 \vdash \varphi) \Rightarrow (\Delta'; \Gamma', x : \sigma_1 \vdash \sigma_2)}{\vdash \text{let } x = e_1 \text{ in } C : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma_2)} \quad \frac{\vdash C : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma^\div) \quad \vdash {}^\sigma \mathcal{ST} C : (\Delta'; \Gamma' \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma)}{\vdash {}^\sigma \mathcal{ST} C : (\Delta'; \Gamma' \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma)}
\end{array}$$

Figure 12:  $\lambda^{\text{ST}}$  Contexts and Context Typing

$$\begin{array}{c}
\frac{\Delta \vdash \Gamma}{\vdash [\cdot]^\mathbf{v} : (\Delta; \Gamma \vdash \tau) \Rightarrow (\Delta; \Gamma \vdash \tau)} \quad \frac{\Delta \vdash \Gamma}{\vdash [\cdot] : (\Delta; \Gamma \vdash \theta) \Rightarrow (\Delta; \Gamma \vdash \theta)} \\[10pt]
\frac{\vdash \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \tau)}{\vdash \text{return}_{\tau_{\text{exn}}} \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \mathbf{E} \tau \tau_{\text{exn}})} \quad \frac{\vdash \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \tau_{\text{exn}})}{\vdash \text{raise}_\tau \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \mathbf{E} \tau \tau_{\text{exn}})} \\[10pt]
\frac{\vdash \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \tau_i)}{\vdash \text{inj}_i \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \tau_1 + \tau_2)} \\[10pt]
\frac{\Delta'; \Gamma' \vdash \mathbf{v}_i : \tau_i \quad \vdash \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \tau)}{\vdash \langle \mathbf{v}_1, \dots, \mathbf{C}^\mathbf{v}, \dots, \mathbf{v}_n \rangle : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \langle \tau_1, \dots, \tau, \dots, \tau_n \rangle)} \\[10pt]
\frac{\vdash \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \langle \tau_1, \dots, \tau_i, \dots, \tau_n \rangle) \quad \Delta' \vdash \tau_{\text{exn}}}{\vdash \mathbf{C}^\mathbf{v}.\mathbf{i} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \mathbf{E} \tau_1 \tau_{\text{exn}})} \\[10pt]
\frac{\vdash \mathbf{C} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\alpha; \mathbf{x} : \tau \vdash \theta)}{\vdash \lambda[\alpha](\mathbf{x} : \tau). \mathbf{C} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \forall[\alpha]. \tau \rightarrow \theta)} \\[10pt]
\frac{\vdash \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \forall[\alpha]. \tau \rightarrow \theta) \quad \Delta'; \Gamma' \vdash \mathbf{v}_2 : \tau[\tau'/\alpha] \quad \Delta' \vdash \tau'}{\vdash \mathbf{C}^\mathbf{v}[\tau'] \mathbf{v}_2 : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \theta[\tau'/\alpha])} \\[10pt]
\frac{\Delta'; \Gamma' \vdash \mathbf{v}_1 : \forall[\alpha]. \tau \rightarrow \theta \quad \vdash \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \tau[\tau'/\alpha]) \quad \Delta' \vdash \tau'}{\vdash \mathbf{v}_1[\tau'] \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \theta[\tau'/\alpha])} \\[10pt]
\frac{\vdash \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \tau[\mu\alpha. \tau/\alpha]) \quad \vdash \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \mu\alpha. \tau) \quad \Delta' \vdash \tau_{\text{exn}}}{\vdash \text{fold}_{\mu\alpha. \tau} \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \mu\alpha. \tau) \quad \vdash \text{unfold } \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \mathbf{E} \tau_{\text{exn}} \tau[\mu\alpha. \tau/\alpha])} \\[10pt]
\frac{\vdash \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \tau_1[\tau_2/\alpha])}{\vdash \text{pack}(\tau_2, \mathbf{C}^\mathbf{v}) \text{ as } \exists\alpha. \tau_1 : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \exists\alpha. \tau_1)} \\[10pt]
\frac{\vdash \mathbf{C}^\mathbf{v} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \exists\alpha. \tau) \quad \Delta', \alpha; \Gamma', \mathbf{x} : \tau \vdash \mathbf{e} : \theta}{\vdash \text{unpack}(\alpha, \mathbf{x}) = \mathbf{C}^\mathbf{v} \text{ in } \mathbf{e} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \theta)} \\[10pt]
\frac{\Delta'; \Gamma' \vdash \mathbf{v} : \exists\alpha. \tau \quad \vdash \mathbf{C} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta', \alpha; \Gamma', \mathbf{x} : \tau \vdash \theta)}{\vdash \text{unpack}(\alpha, \mathbf{x}) = \mathbf{v} \text{ in } \mathbf{C} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \theta)}
\end{array}$$

Figure 13:  $\lambda^{\text{ST}}$  Context Typing (continued)

$$\begin{array}{c}
\frac{\vdash \mathbf{C}^v : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \tau_1 + \tau_2) \quad \Delta'; \Gamma', \mathbf{x}_1 : \tau_1 \vdash \mathbf{e}_1 : \theta \quad \Delta'; \Gamma', \mathbf{x}_2 : \tau_2 \vdash \mathbf{e}_2 : \theta}{\vdash \text{case } \mathbf{C}^v \text{ of } \mathbf{x}_1. \mathbf{e}_1 \mid \mathbf{x}_2. \mathbf{e}_2 : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \theta)} \\[10pt]
\frac{\vdash \mathbf{C} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma', \mathbf{x}_1 : \tau_1 \vdash \theta) \quad \Delta'; \Gamma' \vdash \mathbf{v} : \tau_1 + \tau_2 \quad \Delta'; \Gamma', \mathbf{x}_2 : \tau_2 \vdash \mathbf{e}_2 : \theta}{\vdash \text{case } \mathbf{v} \text{ of } \mathbf{x}_1. \mathbf{C} \mid \mathbf{x}_2. \mathbf{e}_2 : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \theta)} \\[10pt]
\frac{\vdash \mathbf{C} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma', \mathbf{x}_2 : \tau_2 \vdash \theta) \quad \Delta'; \Gamma' \vdash \mathbf{v} : \tau_1 + \tau_2 \quad \Delta'; \Gamma', \mathbf{x}_1 : \tau_1 \vdash \mathbf{e}_1 : \theta}{\vdash \text{case } \mathbf{v} \text{ of } \mathbf{x}_1. \mathbf{e}_1 \mid \mathbf{x}_2. \mathbf{C} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \theta)} \\[10pt]
\frac{\vdash \mathbf{C} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \mathbf{E} \tau'_{\text{exn}} \tau') \quad \Delta'; \Gamma', x : \tau' \vdash \mathbf{e}_1 : \mathbf{E} \tau_{\text{exn}} \tau \quad \Delta'; \Gamma', y : \tau'_{\text{exn}} \vdash \mathbf{e}_2 : \mathbf{E} \tau_{\text{exn}} \tau}{\vdash \text{handle } \mathbf{C} \text{ with } (\mathbf{x}. \mathbf{e}_1) (\mathbf{y}. \mathbf{e}_2) : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \mathbf{E} \tau_{\text{exn}} \tau)} \\[10pt]
\frac{\Delta'; \Gamma' \vdash \mathbf{e} : \mathbf{E} \tau'_{\text{exn}} \tau' \quad \vdash \mathbf{C} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma', \mathbf{x} : \tau' \vdash \mathbf{e}_1 : \mathbf{E} \tau_{\text{exn}} \tau) \quad \Delta'; \Gamma', \mathbf{y} : \tau'_{\text{exn}} \vdash \mathbf{e}_2 : \mathbf{E} \tau_{\text{exn}} \tau}{\vdash \text{handle } \mathbf{e} \text{ with } (\mathbf{x}. \mathbf{C}) (\mathbf{y}. \mathbf{e}_2) : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \mathbf{E} \tau_{\text{exn}} \tau)} \\[10pt]
\frac{\Delta'; \Gamma' \vdash \mathbf{e} : \mathbf{E} \tau'_{\text{exn}} \tau' \quad \Delta'; \Gamma', \mathbf{x} : \tau' \vdash \mathbf{e}_1 : \mathbf{E} \tau_{\text{exn}} \tau \quad \vdash \mathbf{C} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma', \mathbf{y} : \tau'_{\text{exn}} \vdash \mathbf{E} \tau_{\text{exn}} \tau)}{\vdash \text{handle } \mathbf{e} \text{ with } (\mathbf{x}. \mathbf{e}_1) (\mathbf{y}. \mathbf{C}) : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \mathbf{E} \tau_{\text{exn}} \tau)} \\[10pt]
\frac{\vdash \mathbf{C} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \sigma') \quad \vdash \mathcal{T}\mathcal{S}^{\sigma'} \mathbf{C} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash (\sigma')^+)}{\vdash \mathcal{T}\mathcal{S}^{\sigma'} \mathbf{C} : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash (\sigma')^+)}
\end{array}$$

Figure 14:  $\lambda^{\text{ST}}$  Context Typing (continued, continued)

$$\begin{array}{lcl}
\Delta \models \delta & \stackrel{\text{def}}{=} & \forall \alpha \in \Delta. \Delta \vdash \delta(\alpha) \\
\delta, \Gamma \models \gamma & \stackrel{\text{def}}{=} & \forall x : \tau \in \Gamma. \cdot ; \cdot \vdash \gamma(x) : \delta(\tau) \\
\Delta; \Gamma \vdash e_1 \approx_{\text{ST}}^{ciu} e_2 : \theta & \stackrel{\text{def}}{=} & \Delta; \Gamma \vdash e_1 : \theta \wedge \Delta; \Gamma \vdash e_2 : \theta \wedge \\
& & \forall \delta, \gamma, K. (\Delta \models \delta \wedge \delta, \Gamma \models \gamma \wedge \vdash K : (\cdot ; \cdot \vdash \theta) \Rightarrow (\cdot ; \cdot \vdash \mathbf{1})) \implies \\
& & (K[\delta(\gamma(e_1))] \uparrow\downarrow K[\delta(\gamma(e_2))])
\end{array}$$

Figure 15: CIU Equivalence

$$\begin{array}{lcl}
\Gamma \vdash \mathbf{e}_1 \approx_{\text{S}}^{ctx} \mathbf{e}_2 : \sigma & \stackrel{\text{def}}{=} & \Gamma \vdash \mathbf{e}_1 : \sigma \wedge \Gamma \vdash \mathbf{e}_2 : \sigma \wedge \\
& & \forall \mathbf{C}. \text{source } \mathbf{C} \wedge \vdash \mathbf{C} : (\cdot ; \Gamma \vdash \sigma) \Rightarrow (\cdot ; \cdot \vdash \mathbf{1}) \\
& & \implies (\mathbf{C}[e_1] \uparrow\downarrow \mathbf{C}[e_2]) \\[10pt]
\Delta; \Gamma \vdash \mathbf{e}_1 \approx_{\text{T}}^{ctx} \mathbf{e}_2 : \theta & \stackrel{\text{def}}{=} & \Delta; \Gamma \vdash \mathbf{e}_1 : \theta \wedge \Delta; \Gamma \vdash \mathbf{e}_2 : \theta \wedge \\
& & \forall \mathbf{C}. \text{target } \mathbf{C} \wedge \vdash \mathbf{C} : (\Delta; \Gamma \vdash \theta) \Rightarrow (\cdot ; \cdot \vdash \mathbf{E} \mathbf{0} \mathbf{1}) \\
& & \implies (\mathbf{C}[e_1] \uparrow\downarrow \mathbf{C}[e_2]) \\[10pt]
\Delta; \Gamma \vdash \mathbf{e}_1 \approx_{\text{ST}}^{ctx} \mathbf{e}_2 : \theta & \stackrel{\text{def}}{=} & \Delta; \Gamma \vdash \mathbf{e}_1 : \theta \wedge \Delta; \Gamma \vdash \mathbf{e}_2 : \theta \wedge \\
& & \forall C. \vdash C : (\Delta; \Gamma \vdash \theta) \Rightarrow (\cdot ; \cdot \vdash \mathbf{1}) \\
& & \implies (C[e_1] \uparrow\downarrow C[e_2])
\end{array}$$

Figure 16: Source, Target and Multi-language Contextual Equivalence

## 6 $\lambda^{\text{ST}}$ Logical Relation

$$\begin{aligned}
\text{running}(k, e) &\stackrel{\text{def}}{=} \exists e'. e \xrightarrow{k} e' \\
\text{Atom}[\varphi_1, \varphi_2] &\stackrel{\text{def}}{=} \{(k, e_1, e_2) \mid k \in \mathbb{N} \wedge \cdot; \cdot \vdash e_1 : \varphi_1 \wedge \cdot; \cdot \vdash e_2 : \varphi_2\} \\
\text{Atom}[\varphi]\rho &\stackrel{\text{def}}{=} \text{Atom}[\rho_1(\varphi), \rho_2(\varphi)] \\
\text{Atom}^{\text{val}}[\tau_1, \tau_2] &\stackrel{\text{def}}{=} \{(k, v_1, v_2) \mid (k, v_1, v_2) \in \text{Atom}[\tau_1, \tau_2]\} \\
\text{Atom}^{\text{val}}[\tau]\rho &\stackrel{\text{def}}{=} \text{Atom}^{\text{val}}[\rho_1(\tau), \rho_2(\tau)] \\
\text{Atom}^{\text{res}}[\theta_1, \theta_2] &\stackrel{\text{def}}{=} \{(k, r_1, r_2) \mid (k, r_1, r_2) \in \text{Atom}[\theta_1, \theta_2]\} \\
\text{Atom}^{\text{res}}[\theta]\rho &\stackrel{\text{def}}{=} \text{Atom}^{\text{res}}[\rho_1(\theta), \rho_2(\theta)] \\
\text{Atom}^{\mathcal{K}}[\theta_1, \theta_2] &\stackrel{\text{def}}{=} \{(k, K_1, K_2) \mid k \in \mathbb{N} \wedge \exists \theta'_1, \theta'_2. \vdash K_1 : (\cdot; \cdot \vdash \theta_1) \Rightarrow (\cdot; \cdot \vdash \theta'_1) \wedge \vdash K_2 : (\cdot; \cdot \vdash \theta_2) \Rightarrow (\cdot; \cdot \vdash \theta'_2)\} \\
\text{Atom}^{\mathcal{K}}[\theta]\rho &\stackrel{\text{def}}{=} \text{Atom}^{\mathcal{K}}[\rho_1(\theta), \rho_2(\theta)] \\
\text{Rel}[\tau_1, \tau_2] &\stackrel{\text{def}}{=} \{R \in \mathcal{P}(\text{Atom}^{\text{val}}[\tau_1, \tau_2]) \mid \forall (k, \mathbf{v}_1, \mathbf{v}_2) \in R. \forall j < k. (j, \mathbf{v}_1, \mathbf{v}_2) \in R\}
\end{aligned}$$

Figure 17: Logical Relation Auxiliary Definitions

$$\begin{aligned}
\mathcal{V}[\tau]\rho &\subset \text{Atom}^{\text{val}}[\tau]\rho \\
\mathcal{V}[\mathbf{1}]\rho &\stackrel{\text{def}}{=} \{(k, \langle \rangle, \langle \rangle)\} \\
\mathcal{V}[\sigma_1 + \sigma_2]\rho &\stackrel{\text{def}}{=} \{(k, \text{inj}_i v_1, \text{inj}_i v_2) \mid i \in \{1, 2\} \wedge (k, v_1, v_2) \in \mathcal{V}[\sigma_i]\rho\} \\
\mathcal{V}[\sigma \times \sigma']\rho &\stackrel{\text{def}}{=} \{(k, \langle v_1, v'_1 \rangle, \langle v_2, v'_2 \rangle) \mid (k, v_1, v_2) \in \mathcal{V}[\sigma]\rho \wedge (k, v'_1, v'_2) \in \mathcal{V}[\sigma']\rho\} \\
\mathcal{V}[\sigma \rightarrow \sigma']\rho &\stackrel{\text{def}}{=} \{(k, \lambda(x:\sigma).e_1, \lambda(x:\sigma).e_2) \mid \forall j \leq k. \forall v_1, v_2. (j, v_1, v_2) \in \mathcal{V}[\sigma]\rho \implies (j, e_1[v_1/x], e_2[v_2/x]) \in \mathcal{E}[\sigma']\rho\} \\
\mathcal{V}[\mu\alpha. \sigma]\rho &\stackrel{\text{def}}{=} \{(k, \text{fold}_{\mu\alpha.\sigma} v_1, \text{fold}_{\mu\alpha.\sigma} v_2) \mid \forall j < k. (j, v_1, v_2) \in \mathcal{V}[\sigma[\mu\alpha. \sigma/\alpha]]\rho\} \\
\mathcal{V}[\alpha]\rho &\stackrel{\text{def}}{=} \rho_R(\alpha) \\
\mathcal{V}[\tau_1 + \tau_2]\rho &\stackrel{\text{def}}{=} \{(k, \text{inj}_i v_1, \text{inj}_i v_2) \mid i \in \{1, 2\} \wedge (k, v_1, v_2) \in \mathcal{V}[\tau_i]\rho\} \\
\mathcal{V}[\langle \tau_1, \dots, \tau_n \rangle]\rho &\stackrel{\text{def}}{=} \{(k, \langle v_1, \dots, v_n \rangle, \langle v'_1, \dots, v'_n \rangle) \mid \forall i \in \{1 \dots n\}. (k, v_i, v'_i) \in \mathcal{V}[\tau_i]\rho\} \\
\mathcal{V}[\forall[\alpha]. \tau \rightarrow \theta]\rho &\stackrel{\text{def}}{=} \{(k, \lambda[\alpha](x:\rho_1(\tau)).e_1, \lambda[\alpha](x:\rho_2(\tau)).e_2) \mid \\
&\quad \forall \tau_1, \tau_2, R \in \text{Rel}[\tau_1, \tau_2]. \\
&\quad \forall j \leq k. \forall (j, v_1, v_2) \in \mathcal{V}[\tau]\rho [\alpha \mapsto (\tau_1, \tau_2, R)]. \\
&\quad (j, e_1[\tau_1/\alpha][v_1/x], e_2[\tau_2/\alpha][v_2/x]) \in \mathcal{E}[\theta]\rho [\alpha \mapsto (\tau_1, \tau_2, R)]\} \\
\mathcal{V}[\mu\alpha. \tau]\rho &\stackrel{\text{def}}{=} \{(k, \text{fold}_{\rho_1(\mu\alpha.\tau)} v_1, \text{fold}_{\rho_2(\mu\alpha.\tau)} v_2) \mid \forall j < k. (j, v_1, v_2) \in \mathcal{V}[\tau[\mu\alpha. \tau/\alpha]]\rho\} \\
\mathcal{V}[\mathbf{0}]\rho &\stackrel{\text{def}}{=} \emptyset \\
\mathcal{V}[\exists\alpha. \tau]\rho &\stackrel{\text{def}}{=} \{(k, \text{pack}(\tau_1, v_1) \text{as } \rho_1(\exists\alpha. \tau), \text{pack}(\tau_2, v_2) \text{as } \rho_2(\exists\alpha. \tau)) \mid \\
&\quad \exists R \in \text{Rel}[\tau_1, \tau_2]. (k, v_1, v_2) \in \mathcal{V}[\tau]\rho [\alpha \mapsto (\tau_1, \tau_2, R)]\} \\
\mathcal{R}[\theta]\rho &\subset \text{Atom}^{\text{res}}[\theta]\rho \\
\mathcal{R}[\sigma]\rho &\stackrel{\text{def}}{=} \mathcal{V}[\sigma]\rho \\
\mathcal{R}[\mathbf{E}\tau_{\text{exn}} \tau]\rho &\stackrel{\text{def}}{=} \{(k, \text{return } v_1, \text{return } v_2) \mid (k, v_1, v_2) \in \mathcal{V}[\tau]\rho\} \\
&\cup \\
&\quad \{(k, \text{raise } v_1, \text{raise } v_2) \mid (k, v_1, v_2) \in \mathcal{V}[\tau_{\text{exn}}]\rho\} \\
\mathcal{E}[\theta]\rho &\subset \text{Atom}[\theta]\rho \\
\mathcal{E}[\theta]\rho &\stackrel{\text{def}}{=} \{(k, e_1, e_2) \mid \forall K_1, K_2. (k, K_1, K_2) \in \mathcal{K}[\theta]\rho \implies (k, K_1[e_1], K_2[e_2]) \in \mathcal{O}\} \\
\mathcal{K}[\theta]\rho &\subset \text{Atom}^{\mathcal{K}}[\theta]\rho \\
\mathcal{K}[\theta]\rho &\stackrel{\text{def}}{=} \{(k, K_1, K_2) \mid \forall j \leq k, r_1, r_2. (j, r_1, r_2) \in \mathcal{R}[\theta]\rho \implies (j, K_1[r_1], K_2[r_2]) \in \mathcal{O}\} \\
\mathcal{O} &\stackrel{\text{def}}{=} \{(k, e_1, e_2) \mid (e_1 \Downarrow \wedge e_2 \Downarrow) \vee (\text{running}(k, e_1) \wedge \text{running}(k, e_2))\} \\
\mathcal{D}[\cdot] &\stackrel{\text{def}}{=} \{\emptyset\} \\
\mathcal{D}[\Delta, \alpha] &\stackrel{\text{def}}{=} \{\rho[\alpha \mapsto (\tau_1, \tau_2, R)] \mid \rho \in \mathcal{D}[\Delta] \wedge R \in \text{Rel}[\tau_1, \tau_2]\} \\
\mathcal{G}[\cdot]\rho &\stackrel{\text{def}}{=} \{(k, \emptyset) \mid k \in \mathbb{N}\} \\
\mathcal{G}[\Gamma, x : \tau]\rho &\stackrel{\text{def}}{=} \{(k, \gamma[x \mapsto (v_1, v_2)]) \mid (k, \gamma) \in \mathcal{G}[\Gamma]\rho \wedge (k, v_1, v_2) \in \mathcal{V}[\tau]\rho\}
\end{aligned}$$

Figure 18: Combined Language ( $\lambda^{\text{ST}}$ ): Logical Relations for Closed Terms

$$\begin{aligned}
\Delta; \Gamma \vdash e_1 \approx_{\mathcal{E}}^{\log} e_2 : \theta &\stackrel{\text{def}}{=} \Delta; \Gamma \vdash e_1 : \theta \wedge \Delta; \Gamma \vdash e_2 : \theta \wedge \\
&\forall k \geq 0. \forall \rho, \gamma. \rho \in \mathcal{D}[\Delta] \wedge (k, \gamma) \in \mathcal{G}[\Gamma] \rho \implies \\
&(k, \rho_1(\gamma_1(e_1)), \rho_2(\gamma_2(e_2))) \in \mathcal{E}[\theta] \rho \\
\Delta; \Gamma \vdash v_1 \approx_{\mathcal{V}}^{\log} v_2 : \tau &\stackrel{\text{def}}{=} \Delta; \Gamma \vdash v_1 : \tau \wedge \Delta; \Gamma \vdash v_2 : \tau \wedge \\
&\forall k \geq 0. \forall \rho, \gamma. \rho \in \mathcal{D}[\Delta] \wedge (k, \gamma) \in \mathcal{G}[\Gamma] \rho \implies \\
&(k, \rho_1(\gamma_1(v_1)), \rho_2(\gamma_2(v_2))) \in \mathcal{V}[\tau] \rho \\
\vdash C_1 \approx_{\mathcal{I} \Rightarrow \mathcal{J}}^{\log} C_2 : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \varphi') &\stackrel{\text{def}}{=} \vdash C_1 : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \varphi') \wedge \\
&\vdash C_2 : (\Delta; \Gamma \vdash \varphi) \Rightarrow (\Delta'; \Gamma' \vdash \varphi') \\
&\wedge \forall e_1, e_2. \Delta; \Gamma \vdash e_1 \approx_{\mathcal{I}}^{\log} e_2 : \varphi \implies \\
&\Delta'; \Gamma' \vdash C_1[e_1] \approx_{\mathcal{J}}^{\log} C_2[e_2] : \varphi'
\end{aligned}$$

Figure 19: Combined Language ( $\lambda^{\text{ST}}$ ): Logical Relations for Open Terms

$$\begin{aligned}
\mathcal{V}^+[\sigma] &\stackrel{\text{def}}{=} \{(k, \mathbf{v}_1, \mathbf{v}_2) \in \text{Atom}[\sigma, \sigma^+] \mid \\
&\exists \mathbf{v}_2. {}^\sigma \mathcal{S}\mathcal{T} \mathbf{v}_2 \mapsto^* \mathbf{v}_2 \wedge (k, \mathbf{v}_1, \mathbf{v}_2) \in \mathcal{V}[\sigma] \emptyset\} \\
\mathcal{E}^\div[\sigma] &\stackrel{\text{def}}{=} \{(k, \mathbf{e}, \mathbf{e}) \in \text{Atom}[\sigma, \sigma^\div] \mid (k, \mathbf{e}, {}^\sigma \mathcal{S}\mathcal{T} \mathbf{e}) \in \mathcal{E}[\sigma] \emptyset\} \\
\mathcal{G}^+[] &\stackrel{\text{def}}{=} \{(k, \emptyset, \emptyset) \mid k \in \mathbb{N}\} \\
\mathcal{G}^+[\Gamma, \mathbf{x} : \sigma] &\stackrel{\text{def}}{=} \{(k, \gamma[\mathbf{x} \mapsto \mathbf{v}], \gamma[\mathbf{x} \mapsto \mathbf{v}]) \mid \\
&(k, \gamma, \gamma) \in \mathcal{G}^+[\Gamma] \wedge (k, \mathbf{v}, \mathbf{v}) \in \mathcal{V}^+[\sigma]\} \\
\Gamma \vdash \mathbf{v} \approx_+ \mathbf{v} : \sigma &\stackrel{\text{def}}{=} \mathbf{v} \in \lambda^S \wedge \mathbf{v} \in \lambda^T \wedge \Gamma \vdash \mathbf{v} : \sigma \wedge \cdot ; \Gamma^+ \vdash \mathbf{v} : \sigma^+ \wedge \\
&\forall (k, \gamma, \gamma) \in \mathcal{G}^+[\Gamma]. (k, \gamma(\mathbf{v}), \gamma(\mathbf{v})) \in \mathcal{V}^+[\sigma] \\
\Gamma \vdash \mathbf{e} \approx_\div \mathbf{e} : \sigma &\stackrel{\text{def}}{=} \mathbf{e} \in \lambda^S \wedge \mathbf{e} \in \lambda^T \wedge \Gamma \vdash \mathbf{e} : \sigma \wedge \cdot ; \Gamma^+ \vdash \mathbf{e} : \sigma^\div \wedge \\
&\forall (k, \gamma, \gamma) \in \mathcal{G}^+[\Gamma]. (k, \gamma(\mathbf{e}), \gamma(\mathbf{e})) \in \mathcal{E}^\div[\sigma]
\end{aligned}$$

Figure 20: Cross Language ( $\lambda^{\text{ST}}$ ) Logical Relations for Closure Conversion Semantics Preservation

## 7 $\lambda^{\text{ST}}$ Logical Relation Corresponds to Contextual Equivalence

### 7.1 $\lambda^{\text{ST}}$ Logical Relation: Fundamental Property

Unless otherwise specified, all of the following lemmas additionally assume  $\rho \in \mathcal{D}[\Delta]$  and  $\Delta \vdash \Gamma$ .

#### Lemma 7.1 (Unique Decomposition)

If  $\cdot; \cdot \vdash K[e] : \theta$  and  $e \mapsto e'$ , then  $K[e] \mapsto K[e']$ .

#### Proof

Omitted, standard. □

#### Lemma 7.2 (Compositionality of Typing)

If  $\rho \in \mathcal{D}[\Delta], (\kappa, \gamma) \in \mathcal{G}[\Gamma] \rho$  and  $\Delta; \Gamma \vdash e : \sigma$ , then  $\cdot; \cdot \vdash \rho_1(\gamma_1(e)) : \rho_1(\theta)$  and  $\cdot; \cdot \vdash \rho_2(\gamma_2(e)) : \rho_2(\theta)$

#### Proof

Omitted, standard. □

#### Lemma 7.3 (Admissibility of Value Relation)

If  $\rho \in \mathcal{D}[\Delta]$  and  $\Delta \vdash \tau$ , then  $\mathcal{V}[\tau]\rho \in \text{Rel}[\rho_1(\tau), \rho_2(\tau)]$

#### Proof

Omitted. □

#### Lemma 7.4 (Weakening of Logical Relations)

If  $\rho \in \mathcal{D}[\Delta]$  ( $\Delta \vdash \tau$ ), ( $\Delta \vdash \theta$ ),  $R \in \text{Rel}[\tau_1, \tau_2]$  and  $\rho' = \rho[\alpha \mapsto (\tau_1, \tau_2, R)]$  then

1.  $\mathcal{V}[\tau]\rho' = \mathcal{V}[\tau]\rho$
2.  $\mathcal{E}[\theta]\rho' = \mathcal{E}[\theta]\rho$
3.  $\mathcal{R}[\theta]\rho' = \mathcal{R}[\theta]\rho$
4.  $\mathcal{K}[\theta]\rho' = \mathcal{K}[\theta]\rho$

#### Proof

By mutual induction on  $k, \Delta \vdash \tau, \Delta \vdash \theta$ . □

#### Lemma 7.5 (Compositionality of Logical Relations)

If  $\rho \in \mathcal{D}[\Delta], (\Delta, \alpha \vdash \tau), (\Delta \vdash \tau)$  and  $\Delta, \alpha \vdash \theta$  then

1.  $(j, e_1, e_2) \in \mathcal{E}[\theta]\rho'$  if and only if  $(j, e_1, e_2) \in \mathcal{E}[\theta[\tau/\alpha]]\rho$
2.  $(j, e_1, e_2) \in \mathcal{V}[\tau]\rho'$  if and only if  $(j, e_1, e_2) \in \mathcal{V}[\tau[\tau/\alpha]]\rho$

where  $\rho' = \rho[\alpha \mapsto (\rho_1(\tau), \rho_2(\tau), \mathcal{V}[\tau]\rho)]$

#### Proof

By mutual induction on  $k, \Delta, \alpha \vdash \tau, \Delta, \alpha \vdash \theta$ . □

#### Lemma 7.6 (Monotonicity of Value Relation)

If  $j, k \in \mathbb{N}$ ,  $j \leq k$ , and  $(k, v_1, v_2) \in \mathcal{V}[\tau]\rho$  then  $(j, v_1, v_2) \in \mathcal{V}[\tau]\rho$ .

#### Proof

By induction on  $\tau$ .

**Case 1**, immediate.

**Case  $\sigma_1 + \sigma_2$**  by inductive hypothesis.

**Case  $\sigma_1 \times \sigma_2$**  by inductive hypothesis.

**Case  $\sigma \rightarrow \sigma'$** , by transitivity of  $\leq$ .

**Case  $\mu\alpha.\sigma$** , by transitivity of  $<$ .

**Case 0**, vacuously true.

**Case  $\langle \bar{\tau} \rangle$** , by inductive hypothesis.

**Case  $\tau_1 + \tau_2$**  by inductive hypothesis.

**Case  $\alpha$** , by definition of Rel and  $\rho_R(\alpha) \in \text{Rel}[\tau_1, \tau_2]$  for some  $\tau_1, \tau_2$  since  $\rho \in \mathcal{D}[\Delta]$ .

**Case  $\forall[\alpha]. \tau \rightarrow \mathbf{E} \tau_{\text{exn}} \tau'$** , by transitivity of  $\leq$ .

**Case  $\mu\alpha.\tau$** , by transitivity of  $<$ .

**Case  $\exists\alpha.\tau$** , by inductive hypothesis.

□

### Lemma 7.7 (Monotonicity of G Relation)

If  $j, k \in \mathbb{N}, j \leq k$ , and  $(k, \gamma) \in \mathcal{G}[\Gamma] \rho$  then  $(j, \gamma) \in \mathcal{G}[\Gamma] \rho$ .

#### Proof

By induction on structure of  $\gamma$ , and Lemma 7.6.

□

### Lemma 7.8 (Result Relation Embeds in Expression Relation)

$\mathcal{R}[\theta]\rho \subset \mathcal{E}[\theta]\rho$ .

#### Proof

Immediate by definition of  $\mathcal{K}[\theta]\rho$ .

□

### Lemma 7.9 (Monadic Bind)

If  $(k, e_1, e_2) \in \mathcal{E}[\theta]\rho$

and  $(\forall j \leq k, r_1, r_2, (j, r_1, r_2) \in \mathcal{R}[\theta]\rho \implies (j, K_1[r_1], K_2[r_2]) \in \mathcal{E}[\theta]\rho)$ ,  
then  $(k, K_1[e_1], K_2[e_2]) \in \mathcal{E}[\theta]\rho$ .

#### Proof

Suppose  $(k, K'_1, K'_2) \in \mathcal{K}[\theta]\rho$ , we need to show that  $(k, K'_1[K_1[e_1]], K'_2[K_2[e_2]]) \in \mathcal{O}$ .

Since  $(k, e_1, e_2) \in \mathcal{E}[\theta]\rho$ , it is sufficient to show that  $(k, K'_1[K_1], K'_2[K_2]) \in \mathcal{K}[\theta]\rho$ .

Suppose  $j \leq k, (j, r_1, r_2) \in \mathcal{R}[\theta]\rho$ , we seek to prove that  $(j, K'_1[K_1[r_1]], K'_2[K_2[r_2]]) \in \mathcal{O}$ .

By hypothesis,  $(j, K_1[r_1], K_2[r_2]) \in \mathcal{E}[\theta]\rho$ , so  $(j, K'_1[K_1[r_1]], K'_2[K_2[r_2]]) \in \mathcal{O}$  by definition of  $\mathcal{E}[\theta]\rho$ .

□

### Lemma 7.10 (Observation Relation closed under Anti-Reduction)

If  $e_1 \mapsto^{k_1} e'_1, e_2 \mapsto^{k_2} e'_2$  and  $(k', e'_1, e'_2) \in \mathcal{O}$

then for any  $0 \leq k \leq k' + \min(k_1, k_2)$ ,  $(k, e_1, e_2) \in \mathcal{O}$ .

#### Proof

If  $e'_1 \Downarrow \wedge e'_2 \Downarrow$ , then  $e_1 \Downarrow \wedge e_2 \Downarrow$ .

Otherwise we know that there exist  $e'_1, e'_2$  such that  $e'_1 \mapsto^{k'+1} e''_1$  and  $e'_2 \mapsto^{k'+1} e''_2$ .

Thus  $e_1 \mapsto^{k'+k_1+1} e''_1$  and  $e_2 \mapsto^{k'+k_2+1} e''_2$ , and since  $k \leq k' + k_1$ ,  $k + 1 \leq k' + k_1 + 1$  and similarly  $k + 1 \leq k' + k_2 + 1$  there must exist  $e'''_1, e'''_2$  such that  $e_1 \mapsto^{k+1} e'''_1$  and  $e_2 \mapsto^{k+1} e'''_2$ , so  $(k, e_1, e_2) \in \mathcal{O}$ .

□

**Lemma 7.11 (Expression Relation closed under Anti-Reduction)**

If  $(k, e_1, e_2) \in \text{Atom}[\theta]\rho$ ,  $e_1 \mapsto^{k_1} e'_1$ ,  $e_2 \mapsto^{k_2} e'_2$ ,  $(k', e'_1, e'_2) \in \mathcal{E}[\theta]\rho$  and  $k \leq k' + \min(k_1, k_2)$  then  $(k, e_1, e_2) \in \mathcal{E}[\theta]\rho$ .

**Proof**

By definition of  $\mathcal{E}$ , Lemma 7.1 and Lemma 7.10.  $\square$

**Lemma 7.12 (Compatibility Source Var)**

If  $x : \sigma \in \Gamma$  and  $\Delta \vdash \Gamma$  then  $\Delta; \Gamma \vdash x \approx_{\mathcal{V}}^{\log} x : \sigma$ .

**Proof**

$\Delta; \Gamma \vdash x : \sigma$  by definition of the type system.

Suppose  $\rho \in \mathcal{D}[\Delta], (k, \gamma) \in \mathcal{G}[\Gamma]\rho$ . Then, by definition of  $\mathcal{D}, \mathcal{G}$ ,  $(k, \rho_1(\gamma_1(x)), \rho_2(\gamma_2(x))) \in \mathcal{V}[\theta]\rho$ .  $\square$

**Lemma 7.13 (Compatibility Source Unit)**

$\Delta; \Gamma \vdash \langle \rangle \approx_{\mathcal{V}}^{\log} \langle \rangle : 1$

**Proof**

Immediate by definition of  $\mathcal{V}[1]\rho$ .  $\square$

**Lemma 7.14 (Compatibility Source Sum)**

If  $\Delta; \Gamma \vdash v_1 \approx_{\mathcal{V}}^{\log} v_2 : \sigma_i$  then  $\Delta; \Gamma \vdash \text{inj}_i v_1 \approx_{\mathcal{V}}^{\log} \text{inj}_i v_2 : \sigma_1 + \sigma_2$

**Proof**

Standard.  $\square$

**Lemma 7.15 (Compatibility Source Case)**

If  $\Delta; \Gamma \vdash v_1 \approx_{\mathcal{V}}^{\log} v_2 : \sigma_1 + \sigma_2$ ,  $\Delta; \Gamma, x : \sigma_1 \vdash e_1 \approx_{\mathcal{E}}^{\log} e'_1 : \sigma$ , and  $\Delta; \Gamma, y : \sigma_2 \vdash e_2 \approx_{\mathcal{E}}^{\log} e'_2 : \sigma$  then  $\Delta; \Gamma \vdash \text{case } v_1 \text{ of } x. e_1 \mid y. e_2 \approx_{\mathcal{E}}^{\log} \text{case } v_2 \text{ of } x. e'_1 \mid y. e'_2 : \sigma$ .

**Proof**

Standard.  $\square$

**Lemma 7.16 (Compatibility Source Pair)**

If  $\Delta; \Gamma \vdash v_1 \approx_{\mathcal{V}}^{\log} v'_1 : \sigma_1$  and  $\Delta; \Gamma \vdash v_2 \approx_{\mathcal{V}}^{\log} v'_2 : \sigma_2$  then  $\Delta; \Gamma \vdash \langle v_1, v_2 \rangle \approx_{\mathcal{V}}^{\log} \langle v'_1, v'_2 \rangle : \sigma_1 \times \sigma_2$

**Proof**

Standard.  $\square$

**Lemma 7.17 (Compatibility Source Projection)**

If  $\Delta; \Gamma \vdash v \approx_{\mathcal{V}}^{\log} v' : \sigma_1 \times \sigma_2$  then  $\Delta; \Gamma \vdash \pi_i v \approx_{\mathcal{E}}^{\log} \pi_i v' : \sigma_i$

**Proof**

Standard.  $\square$

**Lemma 7.18 (Compatibility Source Abs)**

If  $\Delta; \Gamma, x : \sigma \vdash e_1 \approx_{\mathcal{E}}^{\log} e_2 : \sigma'$   
then  $\Delta; \Gamma \vdash \lambda(x : \sigma). e_1 \approx_{\mathcal{V}}^{\log} \lambda(x : \sigma). e_2 : \sigma \rightarrow \sigma'$ .

**Proof**

Suppose  $k \geq 0, \rho \in \mathcal{D}[\Delta], (k, \gamma) \in \mathcal{G}[\Gamma] \rho$ . Then  $(k, \rho_1(\gamma_1(\lambda(x:\sigma).e_1)), \rho_2(\gamma_2(\lambda(x:\sigma).e_2))) \in \text{Atom}[\sigma \rightarrow \sigma', \sigma \rightarrow \sigma']$  by Lemma 7.2.

Suppose  $j \leq k, (j, v_1, v_2) \in \mathcal{V}[\sigma] \rho$ . We need to show that  $(j, \rho_1(\gamma_1(e_1))[v_1/x], \rho_2(\gamma_2(e_2))[v_2/x]) \in \mathcal{E}[\sigma'] \rho$ .

Let  $\gamma' = \gamma[x \mapsto (v_1, v_2)]$ , then by hypothesis, it is sufficient to show that  $(j, \gamma') \in \mathcal{G}[\Gamma, x:\sigma] \rho$ . This holds by assumption that  $(j, v_1, v_2) \in \mathcal{V}[\sigma] \rho$  and Lemma 7.7.  $\square$

**Lemma 7.19 (Compatibility Source App)**

If  $\Delta; \Gamma \vdash v_1 \approx_{\mathcal{V}}^{\log} v_2 : \sigma \rightarrow \sigma'$  and  $\Delta; \Gamma \vdash v'_1 \approx_{\mathcal{V}}^{\log} v'_2 : \sigma$   
then  $\Delta; \Gamma \vdash v_1 v'_1 \approx_{\mathcal{E}}^{\log} v_2 v'_2 : \sigma'$ .

**Proof**

Direct from definition of value relation at function type and Lemma 7.11.  $\square$

**Lemma 7.20 (Compatibility Source Fold)**

If  $\Delta; \Gamma \vdash v_1 \approx_{\mathcal{V}}^{\log} v_2 : \sigma[\mu\alpha. \sigma/\alpha]$   
then  $\Delta; \Gamma \vdash \text{fold}_{\mu\alpha. \sigma} v_1 \approx_{\mathcal{V}}^{\log} \text{fold}_{\mu\alpha. \sigma} v_2 : \mu\alpha. \sigma$ .

**Proof**

Direct from definition of value relation and Lemma 7.6.  $\square$

**Lemma 7.21 (Compatibility Source Unfold)**

If  $\Delta; \Gamma \vdash v_1 \approx_{\mathcal{V}}^{\log} v_2 : \mu\alpha. \sigma$   
then  $\Delta; \Gamma \vdash \text{unfold} v_1 \approx_{\mathcal{E}}^{\log} \text{unfold} v_2 : \sigma[\mu\alpha. \sigma/\sigma]$ .

**Proof**

Direct from definition of value relation and hypothesis.  $\square$

**Lemma 7.22 (Compatibility Source Let)**

If  $\Delta; \Gamma \vdash e_1 \approx_{\mathcal{E}}^{\log} e_2 : \sigma_1$  and  $\Delta; \Gamma, x:\sigma_1 \vdash e'_1 \approx_{\mathcal{E}}^{\log} e'_2 : \sigma_2$   
then  $\Delta; \Gamma \vdash \text{let } x = e_1 \text{ in } e'_1 \approx_{\mathcal{E}}^{\log} \text{let } x = e_2 \text{ in } e'_2 : \sigma_2$ .

**Proof**

Suppose  $k \geq 0, \rho \in \mathcal{D}[\Delta], (k, \gamma) \in \mathcal{G}[\Gamma] \rho$ .

We seek to prove that  $(k, \rho_1(\gamma_1(\text{let } x = e_1 \text{ in } e'_1)), \rho_2(\gamma_2(\text{let } x = e_2 \text{ in } e'_2))) \in \mathcal{E}[\sigma_2] \rho$ .

By Lemma 7.9, it is sufficient to show that for any  $j \leq k, v_1, v_2$ , if  $(j, v_1, v_2) \in \mathcal{V}[\sigma_1] \rho$ , then  $(j, \text{let } x = v_1 \text{ in } \rho_1(\gamma_1(e'_1)) \text{let } x = v_2 \text{ in } \rho_2(\gamma_2(e'_2))) \in \mathcal{E}[\sigma_2] \rho$ .

This holds by the fact that  $(j, \gamma[x \mapsto (v_1, v_2)]) \in \mathcal{G}[\sigma_1] \rho$  as in the proof of Lemma 7.18.  $\square$

**Lemma 7.23 (Compatibility Target Var)**

If  $x : \tau \in \Gamma$  and  $\Delta \vdash \Gamma$  then  $\Delta; \Gamma \vdash x \approx_{\mathcal{V}}^{\log} x : \tau$ .

**Proof**

Analogous to proof of Lemma 7.12  $\square$

**Lemma 7.24 (Compatibility Target Sum)**

If  $\Delta; \Gamma \vdash v \approx_{\mathcal{V}}^{\log} v' : \tau_i$  then  $\Delta; \Gamma \vdash \text{inj}_i v \approx_{\mathcal{V}}^{\log} \text{inj}_i v' : \tau_1 + \tau_2$

**Proof**

Standard.  $\square$

**Lemma 7.25 (Compatibility Target Case)**

If  $\Delta; \Gamma \vdash v \approx_{\mathcal{V}}^{\log} v' : \tau_1 + \tau_2$ ,  $\Delta; \Gamma, x : \tau_1 \vdash e_1 \approx_{\mathcal{E}}^{\log} e'_1 : \theta$ ,  $\Delta; \Gamma, y : \tau_2 \vdash e_2 \approx_{\mathcal{E}}^{\log} e'_2 : \theta$ , then  $\Delta; \Gamma \vdash \text{case } v \text{ of } x. e_1 \mid y. e_2 \approx_{\mathcal{E}}^{\log} \text{case } v' \text{ of } x. e'_1 \mid y. e'_2 : \theta$

**Proof**

Standard. □

**Lemma 7.26 (Compatibility Target Tuple)**

If  $n \geq 0$ ,  $\forall i \in \{1 \dots n\}$ .  $\Delta; \Gamma \vdash v_{1,i} \approx_{\mathcal{V}}^{\log} v_{2,i} : \tau_i$   
then  $\Delta; \Gamma \vdash \langle v_{1,1}, \dots, v_{1,n} \rangle \approx_{\mathcal{V}}^{\log} \langle v_{2,1}, \dots, v_{2,n} \rangle : \langle \tau_1, \dots, \tau_n \rangle$ .

**Proof**

Direct from definition of  $\mathcal{V}[\langle \tau_1, \dots, \tau_n \rangle] \rho$ . □

**Lemma 7.27 (Compatibility Target Projection)**

If  $\Delta; \Gamma \vdash v_1 \approx_{\mathcal{V}}^{\log} v_2 : \langle \tau_1, \dots, \tau_n \rangle$ ,  
then for any  $i \in \{1, \dots, n\}$ ,  $\Delta; \Gamma \vdash \text{return}_{\tau_{\text{exn}}} v_{1,i} \approx_{\mathcal{E}}^{\log} \text{return}_{\tau_{\text{exn}}} v_{2,i} : E \tau_{\text{exn}} \tau_i$ .

**Proof**

Suppose  $k \geq 0, \rho \in \mathcal{D}[\Delta], (k, \gamma) \in \mathcal{G}[\Gamma] \rho$ .

We seek to prove that  $(k, \text{return}(\rho_1(\gamma_1(v_1))).i, \text{return}(\rho_2(\gamma_2(v_2))).i) \in \mathcal{E}[E \tau_{\text{exn}} \tau_i] \rho$ .

By assumption,  $(k, \rho_1(\gamma_1(v_1)), \rho_2(\gamma_2(v_2))) \in \mathcal{V}[\langle \tau_1, \dots, \tau_n \rangle] \rho$ , so  $\rho_1(\gamma_1(v_1)) = \langle v_{1,1}, \dots, v_{1,n} \rangle$  and  $\rho_2(\gamma_2(v_2)) = \langle v_{2,1}, \dots, v_{2,n} \rangle$ , where importantly  $(k, v_{1,i} v_{2,i}) \in \mathcal{V}[\tau_i] \rho$ .

Next,  $\text{return}(\rho_1(\gamma_1(v_1))) \mapsto \text{return } v_{1,i}$  and  $\text{return}(\rho_2(\gamma_2(v_2))) \mapsto v_{2,i}$ . So by Lemma 7.11, it is sufficient to show  $(k-1, \text{return } v_{1,i}, \text{return } v_{2,i}) \in \mathcal{E}[E \tau_{\text{exn}} \tau_i] \rho$ , which follows from Lemma 7.8. □

**Lemma 7.28 (Compatibility Target Abs)**

If  $\Delta, \alpha; \Gamma, x : \tau \vdash e_1 \approx_{\mathcal{E}}^{\log} e_2 : \theta$   
then  $\Delta; \Gamma \vdash \lambda[\alpha](x : \tau). e_1 \approx_{\mathcal{V}}^{\log} \lambda[\alpha](x : \tau). e_2 : \forall[\alpha]. \tau \rightarrow \theta$ .

**Proof**

Suppose  $k \geq 0, \rho \in \mathcal{D}[\Delta], (k, \gamma) \in \mathcal{G}[\Gamma] \rho$ .

We need to show that  $(k, \lambda[\alpha](x : \tau), \rho_1(\gamma_1(e_1)), \lambda[\alpha](x : \tau), \rho_2(\gamma_2(e_2))) \in \mathcal{V}[\forall[\alpha]. \tau \rightarrow \theta] \rho$ .

Suppose  $\tau_1, \tau_2, R \in \text{Rel}[\tau_1, \tau_2], j \leq k, (j, v_1, v_2) \in \mathcal{V}[\tau] \rho[\alpha \mapsto (\tau_1, \tau_2, R)]$ . We need to show that  $(j, \rho_1(\gamma_1(e_1))[\tau_1/\alpha][v_1/x], \rho_2(\gamma_2(e_2))[\tau_2/\alpha][v_2/x]) \in \mathcal{E}[\theta] \rho[\alpha \mapsto (\tau_1, \tau_2, R)]$

If we define  $\rho' = \rho[\alpha \mapsto (\tau_1, \tau_2, R)]$  and  $\gamma' = \gamma[x \mapsto (v_1, v_2)]$ , then  $\rho_1(\gamma_1(e_1))[\tau_1/\alpha][v_1/x] = \rho'_1(\gamma'_1(e_1))$  and  $\rho_2(\gamma_2(e_2))[\tau_2/\alpha][v_2/x] = \rho'_2(\gamma'_2(e_2))$ .

Furthermore,  $\rho' \in \mathcal{D}[\Delta, \alpha]$  and  $\gamma' \in \mathcal{G}[\Gamma, x : \tau]$ , which with our hypothesis gives us our goal  $(j, \rho'_1(\gamma'_1(e_1)), \rho'_2(\gamma'_2(e_2))) \in \mathcal{E}[\theta] \rho'$ . □

**Lemma 7.29 (Compatibility Target App)**

If  $\Delta \vdash \tau'$  and  $\Delta; \Gamma \vdash v_1 \approx_{\mathcal{V}}^{\log} v_2 : \forall[\alpha]. \tau \rightarrow \theta$  and  $\Delta; \Gamma \vdash v'_1 \approx_{\mathcal{V}}^{\log} v'_2 : \tau[\tau'/\alpha]$   
then  $\Delta; \Gamma \vdash v_1[\tau'] v'_1 \approx_{\mathcal{E}}^{\log} v_2[\tau'] v'_2 : \theta[\tau'/\alpha]$ .

**Proof**

Suppose  $k \geq 0, \rho \in \mathcal{D}[\Delta], (k, \gamma) \in \mathcal{G}[\Gamma] \rho$ .

We need to show that  $(k, \rho_1(\gamma_1(v_1[\tau'] v'_1)), \rho_2(\gamma_2(v_2[\tau'] v'_2))) \in \mathcal{E}[\theta[\tau'/\alpha]] \rho$ .

By definition of  $\mathcal{V}[\forall[\alpha]. \tau \rightarrow \theta] \rho$ ,  $\rho_1(\gamma_1(v_1)) = \lambda[\alpha](x : \tau_1). e_1$  and  $\rho_2(\gamma_2(v_2)) = \lambda[\alpha](x : \tau_2). e_2$ .

Then  $\rho_1(\gamma_1(\mathbf{v}_1[\tau'] \mathbf{v}'_1)) \mapsto \mathbf{e}_1[\rho_1(\tau')/\alpha][\rho_1(\gamma_1(\mathbf{v}'_1))/\mathbf{x}]$   
and  $\rho_2(\gamma_2(\mathbf{v}_2[\tau'] \mathbf{v}'_2)) \mapsto \mathbf{e}_2[\rho_2(\tau')/\alpha][\rho_2(\gamma_2(\mathbf{v}'_2))/\mathbf{x}]$

Then by Lemma 7.11, it is sufficient to show that for  $j < k$ ,

$$(k-1, \mathbf{e}_1[\rho_1(\tau')/\alpha][\rho_1(\gamma_1(\mathbf{v}'_1))/\mathbf{x}], \mathbf{e}_2[\rho_2(\tau')/\alpha][\rho_2(\gamma_2(\mathbf{v}'_2))/\mathbf{x}]) \in \mathcal{E}[\theta[\tau'/\alpha]]\rho.$$

Define  $\rho' = \rho[\alpha \mapsto (\rho_1(\tau'), \rho_2(\tau'), \mathcal{V}[\tau']\rho)]$ . By Lemma 7.3,  $\mathcal{V}[\tau']\rho \in \text{Rel}[\rho_1(\tau')[\rho_2(\tau')]]$ , so  $\rho' \in \mathcal{D}[\Delta, \alpha]$ .

Then by Lemma 7.5 it is sufficient to show

$$(k-1, \mathbf{e}_1[\rho_1(\tau')/\alpha][\rho_1(\gamma_1(\mathbf{v}'_1))/\mathbf{x}], \mathbf{e}_2[\rho_2(\tau')/\alpha][\rho_2(\gamma_2(\mathbf{v}'_2))/\mathbf{x}]) \in \mathcal{E}[\theta]\rho',$$

and so by definition of  $\mathcal{V}[\nabla[\alpha]. \tau \rightarrow \theta]\rho$ , it is sufficient to show that  $(k-1, \rho_1(\gamma_1(\mathbf{v}'_1)), \rho_2(\gamma_2(\mathbf{v}'_2))) \in \mathcal{V}[\tau]\rho'$ , which follows from Lemma 7.5.  $\square$

### Lemma 7.30 (Compatibility Target Fold)

If  $\Delta; \Gamma \vdash \mathbf{v}_1 \approx_{\mathcal{V}}^{\log} \mathbf{v}_2 : \tau[\mu\alpha. \tau/\alpha]$

then  $\Delta; \Gamma \vdash \text{fold}_{\mu\alpha. \tau} \mathbf{v}_1 \approx_{\mathcal{V}}^{\log} \text{fold}_{\mu\alpha. \tau} \mathbf{v}_2 : \mu\alpha. \tau$ .

#### Proof

Analogous to proof of Lemma 7.20  $\square$

### Lemma 7.31 (Compatibility Target Unfold)

If  $\Delta; \Gamma \vdash \mathbf{v}_1 \approx_{\mathcal{V}}^{\log} \mathbf{v}_2 : \mu\alpha. \tau$

then  $\Delta; \Gamma \vdash \text{return}_{\tau_{\text{exn}}} \text{unfold } \mathbf{v}_1 \approx_{\mathcal{E}}^{\log} \text{return}_{\tau_{\text{exn}}} \text{unfold } \mathbf{v}_2 : \mathbf{E} \tau_{\text{exn}} \tau[\mu\alpha. \tau/\tau]$ .

#### Proof

Suppose  $k \geq 0, \rho \in \mathcal{D}[\Delta], (k, \gamma) \in \mathcal{G}[\Gamma]\rho$ .

We need to show that  $(k, \text{return}(\text{unfold } \rho_1(\gamma_1(\mathbf{v}_1))), \text{return}(\text{unfold } \rho_2(\gamma_2(\mathbf{v}_2)))) \in \mathcal{E}[\mathbf{E} \tau_{\text{exn}} \tau[\mu\alpha. \tau/\tau]]\rho$ .

By hypothesis and definition of  $\mathcal{V}[\mu\alpha. \tau]\rho$ ,  $\rho_1(\gamma_1(\mathbf{v}_1)) = \text{fold}_{\mu\alpha. \tau} \mathbf{v}'_1$  and  $\rho_2(\gamma_2(\mathbf{v}_2)) = \text{fold}_{\mu\alpha. \tau} \mathbf{v}'_2$  where for all  $j < k$ ,  $(j, \mathbf{v}'_1, \mathbf{v}'_2) \in \mathcal{V}[\tau[\mu\alpha. \tau/\tau]]\rho$ .

Therefore,  $\text{return}(\text{unfold } \rho_1(\gamma_1(\mathbf{v}_1))) \mapsto \text{return } \mathbf{v}'_1$  and

$\text{return}(\text{unfold } \rho_2(\gamma_2(\mathbf{v}_2))) \mapsto \text{return } \mathbf{v}'_2$ . Finally, for any  $(k-1, \text{return } \mathbf{v}'_1, \text{return } \mathbf{v}'_2) \in \mathcal{E}[\mathbf{E} \tau_{\text{exn}} \tau[\mu\alpha. \tau/\tau]]\rho$  by hypothesis and Lemma 7.8, so the result holds by Lemma 7.11.  $\square$

### Lemma 7.32 (Compatibility Target Pack)

If  $\Delta; \Gamma \vdash \mathbf{v}_1 \approx_{\mathcal{V}}^{\log} \mathbf{v}_2 : \tau[\tau'/\alpha]$

then  $\Delta; \Gamma \vdash \text{pack}(\tau', \mathbf{v}_1) \text{ as } \exists \alpha. \tau \approx_{\mathcal{V}}^{\log} \text{pack}(\tau', \mathbf{v}_2) \text{ as } \exists \alpha. \tau : \exists \alpha. \tau$ .

#### Proof

Suppose  $k \geq 0, \rho \in \mathcal{D}[\Delta], (k, \gamma) \in \mathcal{G}[\Gamma]\rho$ . We need to show that

$(k, \text{pack}(\rho_1(\tau'), \rho_1(\gamma_1(\mathbf{v}_1))) \text{ as } \exists \alpha. \rho_1(\tau), \text{pack}(\rho_2(\tau'), \rho_2(\gamma_2(\mathbf{v}_2))) \text{ as } \exists \alpha. \rho_2(\tau)) \in \mathcal{V}[\exists \alpha. \tau]\rho$ .

First, by Lemma 7.3,  $\mathcal{V}[\tau']\rho \in \text{Rel}[\rho_1(\tau'), \rho_2(\tau')]$ . Therefore it is sufficient to show that for any  $j < k$ ,  $(j, \rho_1(\gamma_1(\mathbf{v}_1)), \rho_2(\gamma_2(\mathbf{v}_2))) \in \mathcal{V}[\tau]\rho[\alpha \mapsto (\rho_1(\tau'), \rho_2(\tau'), \mathcal{V}[\tau']\rho)]$ .

By Lemma 7.5, this is equivalent to showing  $(j, \rho_1(\gamma_1(\mathbf{v}_1)), \rho_2(\gamma_2(\mathbf{v}_2))) \in \mathcal{V}[\tau[\tau'/\alpha]]\rho$ , which holds by hypothesis and Lemma 7.6.  $\square$

### Lemma 7.33 (Compatibility Target Unpack)

If  $\Delta; \Gamma \vdash \mathbf{v}_1 \approx_{\mathcal{V}}^{\log} \mathbf{v}_2 : \exists \alpha. \tau$  and  $\Delta, \alpha; \Gamma, \mathbf{x} : \tau \vdash \mathbf{e}_1 \approx_{\mathcal{E}}^{\log} \mathbf{e}_2 : \theta$

then  $\Delta; \Gamma \vdash \text{unpack}(\alpha, \mathbf{x}) = \mathbf{v}_1 \text{ in } \mathbf{e}_1 \approx_{\mathcal{E}}^{\log} \text{unpack}(\alpha, \mathbf{x}) = \mathbf{v}_2 \text{ in } \mathbf{e}_2 : \theta$ .

#### Proof

Suppose  $k \geq 0, \rho \in \mathcal{D}[\Delta], (k, \gamma) \in \mathcal{G}[\Gamma]\rho$ .

We need to show that  $(k, \text{unpack } (\alpha, x) = \rho_1(v_1) \text{ in } \rho_1(e_1), \text{unpack } (\alpha, x) = \rho_2(v_2) \text{ in } \rho_2(e_2)) \in \mathcal{E}[\theta]\rho$ .

By hypothesis and definition of  $\mathcal{V}[\exists \alpha. \tau]\rho$ ,  $\rho_1(v_1) = \text{pack}(v'_1, \tau_1)$  as  $\exists \alpha. \rho_1(\tau)$  and  $\rho_2(v_2) = \text{pack}(v'_2, \tau_2)$  as  $\exists \alpha. \rho_2(\tau)$ , so  $\text{unpack } (\alpha, x) = \rho_1(v_1) \text{ in } \rho_1(e_1) \mapsto \rho_1(e_1)[\tau_1/\alpha][v_1/x]$  and  $\text{unpack } (\alpha, x) = \rho_2(v_2) \text{ in } \rho_2(e_2)[\tau_2/\alpha][v_2/x]$ .

Then the result holds by an analogous argument to that in the proof of Lemma 7.28.  $\square$

### Lemma 7.34 (Compatibility Target Handle)

If  $\Delta; \Gamma \vdash e_1 \approx_{\mathcal{E}}^{\log} e_2 : E \tau'_{\text{exn}} \tau'$

and  $\Delta; \Gamma, x : \tau' \vdash e'_1 \approx_{\mathcal{E}}^{\log} e'_2 : E \tau_{\text{exn}} \tau$

and  $\Delta; \Gamma, y : \tau'_{\text{exn}} \vdash e''_1 \approx_{\mathcal{E}}^{\log} e''_2 : E \tau_{\text{exn}} \tau$

then  $\Delta; \Gamma \vdash \text{handle } e_1 \text{ with } (x. e'_1) (y. e''_1) \approx_{\mathcal{E}}^{\log} \text{handle } e_2 \text{ with } (x. e'_2) (y. e''_2) : E \tau_{\text{exn}} \tau$ .

### Proof

Suppose  $k \geq 0, \rho \in \mathcal{D}[\Delta], (k, \gamma) \in \mathcal{G}[\Gamma]\rho$ .

We need to show that

$(k, \text{handle } (\rho_1(\gamma_1(e_1))) \text{ with } (x. \rho_1(\gamma_1(e'_1))) (y. \rho_1(\gamma_1(e''_1))))$ ,  
 $\text{handle } (\rho_2(\gamma_2(e_2))) \text{ with } (x. \rho_2(\gamma_2(e'_2))) (y. \rho_2(\gamma_2(e''_2))) \in \mathcal{E}[E \tau_{\text{exn}} \tau]\rho$

Applying Lemma 7.9, there are two cases

1. Suppose  $j \leq k, (j, v_1, v_2) \in \mathcal{V}[\tau']\rho$ , then we need to show that

$(j, \text{handle return } v_1 \text{ with } (x. \rho_1(\gamma_1(e'_1))) (y. \rho_1(\gamma_1(e''_1)))),$   
 $\text{handle return } v_2 \text{ with } (x. \rho_2(\gamma_2(e'_2))) (y. \rho_2(\gamma_2(e''_2))) \in \mathcal{E}[E \tau_{\text{exn}} \tau]\rho$ .

Then  $\text{handle return } v_1 \text{ with } (x. \rho_1(\gamma_1(e'_1))) (y. \rho_1(\gamma_1(e''_1))) \mapsto \rho_1(\gamma_1(e'_1))[v_1/x]$  and

$\text{handle return } v_2 \text{ with } (x. \rho_2(\gamma_2(e'_2))) (y. \rho_2(\gamma_2(e''_2))) \mapsto \rho_2(\gamma_2(e'_2))[v_2/x]$ .

Let  $\gamma' = \gamma[x \mapsto (v_1, v_2)]$ . Then  $\rho_1(\gamma_1(e'_1))[v_1/x] = \rho_1(\gamma'_1(e'_1))$  and  $\rho_2(\gamma_2(e'_2))[v_2/x] = \rho_2(\gamma'_2(e'_2))$ . Furthermore,  $\gamma' \in \mathcal{G}[\Gamma, x : \tau']$ , so by hypothesis  $(j, \rho_1(\gamma'_1(e'_1)), \rho_2(\gamma'_2(e'_2))) \in \mathcal{E}[E \tau_{\text{exn}} \tau]\rho$ . The result then holds by Lemma 7.11.

2. Suppose  $j \leq k, (j, v_1, v_2) \in \mathcal{V}[\tau'_{\text{exn}}]\rho$ , then we need to show that

$(j, \text{handle raise } v_1 \text{ with } (x. \rho_1(\gamma_1(e'_1))) (y. \rho_1(\gamma_1(e''_1)))),$   
 $\text{handle raise } v_2 \text{ with } (x. \rho_2(\gamma_2(e'_2))) (y. \rho_2(\gamma_2(e''_2))) \in \mathcal{E}[E \tau_{\text{exn}} \tau]\rho$ .

Analogous to the previous case.  $\square$

### Lemma 7.35 (Bridge Lemmas)

Let  $\rho \in \mathcal{D}[\Delta], \Delta \vdash \sigma$ .

1. If  $(k, e_1, e_2) \in \mathcal{E}[\sigma^\div]\rho$ , then  $(k, {}^\sigma \mathcal{ST} e_1, {}^\sigma \mathcal{ST} e_2) \in \mathcal{E}[\sigma]\rho$ .
2. If  $(k, r_1, r_2) \in \mathcal{R}[\sigma^\div]\rho$  and  ${}^\sigma \mathcal{ST} r_1 \mapsto^n v_1$  and  ${}^\sigma \mathcal{ST} r_2 \mapsto^m v_1$ , then  $(k, v_1, v_2) \in \mathcal{R}[\sigma]\rho$ .
3. If  $(k, e_1, e_2) \in \mathcal{E}[\sigma]\rho$ , then  $(k, \mathcal{TS}^\sigma e_1, \mathcal{TS}^\sigma e_2) \in \mathcal{E}[\sigma^\div]\rho$ .
4. If  $(k, v_1, v_2) \in \mathcal{R}[\sigma]\rho$  and  $\mathcal{TS}^\sigma v_1 \mapsto^n r_1$  and  ${}^\sigma \mathcal{ST} v_1 \mapsto^m r_2$ , then  $(k, r_1, r_2) \in \mathcal{R}[\sigma^\div]\rho$ .

### Proof

Proved simultaneously by induction on  $\sigma, k$ .

1. By Lemma 7.9, it is sufficient to prove that for all  $j \leq k, (j, v_1, v_2) \in \mathcal{V}[\sigma^+]$ ,

$(j, {}^\sigma \mathcal{ST} \text{return } v_1, {}^\sigma \mathcal{ST} \text{return } v_2) \in \mathcal{E}[\sigma]\rho$  and for all  $j \leq k, (j, v_1, v_2) \in \mathcal{V}[\mathbf{0}]\rho$ ,

$(j, {}^\sigma \mathcal{ST} \text{raise } v_1, {}^\sigma \mathcal{ST} \text{raise } v_2) \in \mathcal{E}[\sigma]\rho$ . The latter is vacuously true since  $\mathcal{V}[\mathbf{0}]\rho = \emptyset$ .

For the former case, note that  $(j, \text{return } v_1, \text{return } v_2) \in \mathcal{R}[\sigma^\div]\rho$  by definition of  $\mathcal{R}[\sigma^\div]\rho$  and the assumption that  $(j, v_1, v_2) \in \mathcal{V}[\sigma^+]$ . The goal follows by case 2 of this lemma, and Lemma 7.8.

2. By case analysis of  $\sigma$ . We omit the uninteresting cases such as  $\sigma_1 + \sigma_2$  and  $\sigma_1 \times \sigma_2$

**Case  $\sigma = \sigma'' \rightarrow \sigma'$ :** then  $\sigma^+ = \exists \alpha. (\langle \langle \alpha, \sigma_1^+ \rangle \rightarrow \sigma_2^\div, \alpha)$ .

By definition of  $\mathcal{V}$ , this means  $\mathbf{v}_1 = \mathbf{pack}(\tau_1, \langle \mathbf{v}'_1, \mathbf{v}''_1 \rangle)$  as  $(\sigma'' \rightarrow \sigma')^+$  and

$\mathbf{v}_2 = \mathbf{pack}(\tau_2, \langle \mathbf{v}'_2, \mathbf{v}''_2 \rangle)$  as  $(\sigma'' \rightarrow \sigma')^+$ , where there is some relation  $R \in \text{Rel}[\tau_1, \tau_2]$  such that  $(k, \mathbf{v}'_1, \mathbf{v}'_2) \in \mathcal{V}[\langle \alpha, \sigma_1^+ \rangle \rightarrow \sigma_2^\div] \rho'$  and  $(k, \mathbf{v}''_1, \mathbf{v}''_2) \in \mathcal{V}[\alpha] \rho'$ , where  $\rho' = \rho[\alpha \mapsto (\tau_1, \tau_2, R)]$ . Next,

$$\begin{aligned} & \lambda(x: \sigma''). \sigma' \mathcal{ST}(\mathbf{unpack}(\alpha, z) = \mathbf{v}_1 \text{ in let } x_f = \text{return } z.1 \text{ in } ) \\ & \quad \text{let } x_{\text{env}} = \text{return } z.2 \text{ in } \\ & \quad \text{let } x = \mathcal{T}\mathcal{S}^{\sigma_1} x \text{ in } x_f [\alpha] \langle x_{\text{env}}, x \rangle \end{aligned}$$

and  $\sigma'' \rightarrow \sigma' \mathcal{ST} \text{return } \mathbf{v}_2 \mapsto$

$$\begin{aligned} & \lambda(x: \sigma''). \sigma' \mathcal{ST}(\mathbf{unpack}(\alpha, z) = \mathbf{v}_2 \text{ in let } x_f = \text{return } z.1 \text{ in } ) \\ & \quad \text{let } x_{\text{env}} = \text{return } z.2 \text{ in } \\ & \quad \text{let } x = \mathcal{T}\mathcal{S}^{\sigma_2} x \text{ in } x_f [\alpha] \langle x_{\text{env}}, x \rangle \end{aligned}$$

Suppose  $j \leq k$  and  $(j, \mathbf{v}'''_1, \mathbf{v}'''_2) \in \mathcal{V}[\sigma''] \rho$ . We need to show that

$$(j, \sigma' \mathcal{ST}(\mathbf{unpack}(\alpha, z) = \mathbf{v}_1 \text{ in let } x_f = \text{return } z.1 \text{ in } ),$$

let  $x_{\text{env}} = \text{return } z.2 \text{ in }$

let  $x = \mathcal{T}\mathcal{S}^{\sigma_1} v'_1 \text{ in } x_f [\alpha] \langle x_{\text{env}}, x \rangle$

$$\sigma' \mathcal{ST}(\mathbf{unpack}(\alpha, z) = \mathbf{v}_2 \text{ in let } x_f = \text{return } z.1 \text{ in } ))$$

let  $x_{\text{env}} = \text{return } z.2 \text{ in }$

let  $x = \mathcal{T}\mathcal{S}^{\sigma_2} v'_2 \text{ in } x_f [\alpha] \langle x_{\text{env}}, x \rangle$

$\in \mathcal{E}[\sigma''] \rho$ .

By inductive hypothesis and Lemma 10.5, there exist  $(j, \mathbf{v}'''_1, \mathbf{v}'''_2) \in \mathcal{V}[\sigma'^+] \rho'$  such that

$\mathcal{T}\mathcal{S}^{\sigma'} v'_1 \mapsto^{n'} \text{return } v'''_1$  and similarly  $\mathcal{T}\mathcal{S}^{\sigma'} v'_2 \mapsto^{m'} \text{return } v'''_2$  for some  $n', m'$ .

Then  $\sigma' \mathcal{ST}(\mathbf{unpack}(\alpha, z) = \mathbf{v}_1 \text{ in let } x_f = \text{return } z.1 \text{ in } ) \mapsto^{n'+5}$

let  $x_{\text{env}} = \text{return } z.2 \text{ in }$

let  $x = \mathcal{T}\mathcal{S}^{\sigma_1} v'_1 \text{ in } x_f [\alpha] \langle x_{\text{env}}, x \rangle$

$$\sigma' \mathcal{ST} v'_1 [\tau_1] \langle v''_1, v'''_1 \rangle$$

and similarly  $\sigma' \mathcal{ST}(\mathbf{unpack}(\alpha, z) = \mathbf{v}_2 \text{ in let } x_f = \text{return } z.1 \text{ in } ) \mapsto^{m'+5}$

let  $x_{\text{env}} = \text{return } z.2 \text{ in }$

let  $x = \mathcal{T}\mathcal{S}^{\sigma_2} v'_2 \text{ in } x_f [\alpha] \langle x_{\text{env}}, x \rangle$

$$\sigma' \mathcal{ST} v'_2 [\tau_2] \langle v''_2, v'''_2 \rangle.$$

The result then holds by inductive hypothesis, Lemma 7.11, and similar reasoning to Lemma 7.29 and Lemma 7.26.

**Case  $\sigma = \mu\alpha. \sigma'$ :** then  $\sigma^+ = \mu\alpha. \sigma'^+$ .

By definition of  $\mathcal{V}[\mu\alpha. \sigma'^+] \rho$ ,  $\mathbf{v}_1 = \mathbf{fold}_{\mu\alpha. \sigma'^+} \mathbf{v}'_1$  and  $\mathbf{v}_2 = \mathbf{fold}_{\mu\alpha. \sigma'^+} \mathbf{v}'_2$  such that for every  $j < k$ ,  $(j, \mathbf{v}'_1, \mathbf{v}'_2) \in \mathcal{V}[\sigma'^+[\mu\alpha. \sigma'^+ / \alpha]]$ .

Next,  $\mu\alpha. \sigma' \mathcal{ST} \text{return } \mathbf{v}_1 \mapsto \text{let } x = \sigma'[\mu\alpha. \sigma'/\alpha] \mathcal{ST} \text{return}_0 \text{unfold } \mathbf{v}_1 \text{ in } \mathbf{fold}_{\mu\alpha. \sigma'} x$  and  $\mu\alpha. \sigma' \mathcal{ST} \text{return } \mathbf{v}_2 \mapsto \text{let } x = \sigma'[\mu\alpha. \sigma'/\alpha] \mathcal{ST} \text{return}_0 \text{unfold } \mathbf{v}_2 \text{ in } \mathbf{fold}_{\mu\alpha. \sigma'} x$ .

Furthermore, by Lemma 10.5 and inductive hypothesis,  $\sigma'[\mu\alpha. \sigma'/\alpha] \mathcal{ST} \text{return}_0 \mathbf{v}'_1 \mapsto^n \mathbf{v}'_1$  and similarly  $\sigma'[\mu\alpha. \sigma'/\alpha] \mathcal{ST} \text{return}_0 \mathbf{v}'_2 \mapsto^m \mathbf{v}'_2$  and  $(j, \mathbf{v}'_1, \mathbf{v}'_2) \in \mathcal{V}[\sigma'[\mu\alpha. \sigma'/\alpha]] \rho$  for every  $j < k$ .

Therefore  $\text{let } x = \sigma'[\mu\alpha. \sigma'/\alpha] \mathcal{ST} \text{return}_0 \text{unfold } \mathbf{v}_1 \text{ in } \mathbf{fold}_{\mu\alpha. \sigma'} x \mapsto^{n+2} \mathbf{fold}_{\mu\alpha. \sigma'} \mathbf{v}'_1$  and  $\text{let } x = \sigma'[\mu\alpha. \sigma'/\alpha] \mathcal{ST} \text{return}_0 \text{unfold } \mathbf{v}_2 \text{ in } \mathbf{fold}_{\mu\alpha. \sigma'} x \mapsto^{n+2} \mathbf{fold}_{\mu\alpha. \sigma'} \mathbf{v}'_2$ . So we need to show that  $(k, \mathbf{fold}_{\mu\alpha. \sigma'} \mathbf{v}'_1, \mathbf{fold}_{\mu\alpha. \sigma'} \mathbf{v}'_2) \in \mathcal{V}[\mu\alpha. \sigma'] \rho$ , which holds by definition of  $\mathcal{V}[\mu\alpha. \sigma'] \rho$  and what we know about  $\mathbf{v}'_1, \mathbf{v}'_2$ .

3. By Lemma 7.9, it is sufficient to prove that for all  $j \leq k$  if  $(j, \mathbf{v}_1, \mathbf{v}_2) \in \mathcal{V}[\sigma] \rho$  then  $(j, \mathcal{T}\mathcal{S}^\sigma \mathbf{v}_1, \mathcal{T}\mathcal{S}^\sigma \mathbf{v}_2) \in \mathcal{E}[\sigma^\div] \rho$ . The result then holds by the value case and Lemma 7.8.

4. By case analysis of  $\sigma$ . We omit the uninteresting cases such as  $\sigma_1 + \sigma_2$  and  $\sigma_1 \times \sigma_2$

**Case**  $\sigma = \sigma'' \rightarrow \sigma'$ :  $\mathcal{TS}^\sigma v_1 \mapsto \text{return}_0 \text{ pack } (1, \langle \lambda(z : \langle 1, \sigma''^+ \rangle) \rangle)$ ,  $\langle \rangle \rangle \text{ as } (\sigma_1 \rightarrow \sigma_2)^+$

$$\mathcal{TS}^{\sigma'} \left( \begin{array}{l} \text{let } x = \sigma'' \mathcal{ST} \text{return}_0 z.2 \text{ in} \\ v'_1 x \end{array} \right)$$

and similarly  $\mathcal{TS}^\sigma v_2 \mapsto \text{return}_0 \text{ pack } (1, \langle \lambda(z : \langle 1, \sigma''^+ \rangle) \rangle)$ ,  $\langle \rangle \rangle \text{ as } (\sigma_2 \rightarrow \sigma_2)^+$

$$\mathcal{TS}^{\sigma'} \left( \begin{array}{l} \text{let } x = \sigma'' \mathcal{ST} \text{return}_0 z.2 \text{ in} \\ v'_2 x \end{array} \right)$$

so we need to show that these **packs** are in  $\mathcal{V}[\exists \alpha. ((\langle \alpha, \sigma_1^+ \rangle \rightarrow \sigma_2^\div), \alpha)] \rho$ .

For our relation we choose  $\mathcal{V}[\mathbf{1}] \rho$  (justified by Lemma 7.3). By definition of  $\mathcal{V}$ , it is sufficient to prove that for any  $j \leq k$  and  $(j, \langle \rangle, v''_1), \langle \rangle, v''_2) \in \mathcal{V}[\langle \alpha, \sigma_1^+ \rangle] \rho'$ ,

$$\left( j, \mathcal{TS}^{\sigma'} \left( \begin{array}{l} \text{let } x = \sigma'' \mathcal{ST} \text{return}_0 \langle \rangle, v''_1.2 \text{ in} \\ v'_1 x \end{array} \right), \mathcal{TS}^{\sigma'} \left( \begin{array}{l} \text{let } x = \sigma'' \mathcal{ST} \text{return}_0 \langle \rangle, v''_2.2 \text{ in} \\ v'_2 x \end{array} \right) \right)$$

$\in \mathcal{E}[\sigma_2^\div] \rho'$  where  $\rho' = \rho[\alpha \mapsto (\mathbf{1}, \mathbf{1}, \mathcal{V}[\mathbf{1}] \rho)]$ .

By inductive hypothesis and Lemma 10.5, there exist  $v''_1, v''_2$  such that  $(j, v''_1, v''_2) \in \mathcal{V}[\sigma_1^+] \rho'$ ,  $\sigma'' \mathcal{ST} \text{return } v''_1 \mapsto^{n'} v''_1$  and  $\sigma'' \mathcal{ST} \text{return } v''_2 \mapsto^{m'} v''_2$ .

Then  $\mathcal{TS}^{\sigma'} \left( \begin{array}{l} \text{let } x = \sigma'' \mathcal{ST} \text{return}_0 \langle \rangle, v''_1.2 \text{ in} \\ v'_1 x \end{array} \right) \mapsto^{n'+2} v'_1 v''_1$  and

$\mathcal{TS}^{\sigma'} \left( \begin{array}{l} \text{let } x = \sigma'' \mathcal{ST} \text{return}_0 \langle \rangle, v''_2.2 \text{ in} \\ v'_2 x \end{array} \right) \mapsto^{m'+2} v'_2 v''_2$ . So the result holds by similar reasoning to Lemma 7.19.

**Case**  $\sigma = \mu\alpha. \sigma'$ : the proof follows similarly to the corresponding case above. □

### Lemma 7.36 (Compatibility Source Boundary)

If  $\Delta; \Gamma \vdash e_1 \approx_{\mathcal{E}}^{\log} e_2 : \sigma^\div$ , then  $\Delta; \Gamma \vdash \mathcal{TS}^\sigma e_1 \approx_{\mathcal{E}}^{\log} \mathcal{TS}^\sigma e_2 : \sigma$ .

#### Proof

Immediate by Lemma 7.35 □

### Lemma 7.37 (Compatibility Target Boundary)

If  $\Delta; \Gamma \vdash e_1 \approx_{\mathcal{E}}^{\log} e_2 : \sigma$ , then  $\Delta; \Gamma \vdash \mathcal{TS}^\sigma e_1 \approx_{\mathcal{E}}^{\log} \mathcal{TS}^\sigma e_2 : \sigma^\div$ .

#### Proof

Immediate by Lemma 7.35 □

### Theorem 7.38 (Fundamental Properties)

The following are proved by mutual induction.

1. If  $\Delta; \Gamma \vdash e : \theta$ , then  $\Delta; \Gamma \vdash e \approx_{\mathcal{E}}^{\log} e : \theta$

2. If  $\Delta; \Gamma \vdash v : \tau$ , then  $\Delta; \Gamma \vdash v \approx_{\mathcal{V}}^{\log} v : \tau$

#### Proof

By induction on the typing derivation, then immediate by appropriate compatibility lemma. □

### Lemma 7.39 (Context Fundamental Property)

There are four cases, depending on whether the context takes values or produces values.

1. If  $\vdash C : (\Delta; \Gamma \vdash \theta) \Rightarrow (\Delta'; \Gamma' \vdash \theta')$ , then  $\vdash C \approx_{\mathcal{E} \Rightarrow \mathcal{E}}^{\text{log}} C : (\Delta; \Gamma \vdash \theta) \Rightarrow (\Delta'; \Gamma' \vdash \theta')$ .
2. If  $\vdash C : (\Delta; \Gamma \vdash \theta) \Rightarrow (\Delta'; \Gamma' \vdash \tau')$ , then  $\vdash C \approx_{\mathcal{E} \Rightarrow \mathcal{V}}^{\text{log}} C : (\Delta; \Gamma \vdash \theta) \Rightarrow (\Delta'; \Gamma' \vdash \tau')$ .
3. If  $\vdash C : (\Delta; \Gamma \vdash \tau) \Rightarrow (\Delta'; \Gamma' \vdash \theta')$ , then  $\vdash C \approx_{\mathcal{V} \Rightarrow \mathcal{E}}^{\text{log}} C : (\Delta; \Gamma \vdash \tau) \Rightarrow (\Delta'; \Gamma' \vdash \theta')$ .
4. If  $\vdash C : (\Delta; \Gamma \vdash \tau) \Rightarrow (\Delta'; \Gamma' \vdash \tau')$ , then  $\vdash C \approx_{\mathcal{V} \Rightarrow \mathcal{V}}^{\text{log}} C : (\Delta; \Gamma \vdash \tau) \Rightarrow (\Delta'; \Gamma' \vdash \tau')$ .

**Proof**

By induction on the context typing derivation, applying appropriate compatibility at each step.  $\square$

## 7.2 Sound and Complete

**Theorem 7.40 (Contextual Equivalence Implies CIU Equivalence)**

If  $\Delta; \Gamma \vdash e_1 \approx_{\text{ST}}^{\text{ctx}} e_2 : \theta$ , then  $\Delta; \Gamma \vdash e_1 \approx_{\text{ST}}^{\text{ciu}} e_2 : \theta$ .

**Proof**

Since  $\Delta; \Gamma \vdash e_1 \approx_{\text{ST}}^{\text{ctx}} e_2 : \theta$ ,  $\Delta; \Gamma \vdash e_1 : \theta$  and  $\Delta; \Gamma \vdash e_2 : \theta$ .

Suppose  $\Delta \models \delta, \delta, \Gamma \models \gamma$  and  $\vdash K : (\cdot; \cdot \vdash \mathbf{1}) \Rightarrow (\cdot; \cdot \vdash \mathbf{1})$ . We seek to prove that  $K[\delta(\gamma(e_1))] \Downarrow K[\delta(\gamma(e_2))]$ .

First, we split  $\Gamma$  into  $\Gamma = \{(\mathbf{x}_1 : \sigma_1), \dots, (\mathbf{x}_n : \sigma_n)\}$  and  $\Gamma = \{(\mathbf{x}_1 : \tau_1), \dots, (\mathbf{x}_m : \tau_m)\}$  and define  $\{\alpha_1, \dots, \alpha_p\} = \Delta$ .

For each  $\mathbf{x}_i$ , define  $C_i = \text{let } \mathbf{x}_i = \gamma(\mathbf{x}_i) \text{ in } [\cdot]$  and for each  $\mathbf{x}_i$ , define  $C_i = \text{let } \mathbf{x}_i = \text{return}_0 \gamma(\mathbf{x}_i) \text{ in } [\cdot]$ . Next, for each  $\alpha_i$ , define  $C_{m+i} = (\lambda[\alpha_i](y:1). [\cdot]) [\delta(\alpha_i)] \langle \rangle$ . Finally, define

$$C = {}^1\mathcal{S}\mathcal{T} C_{m+1}[\dots C_{m+p}[C_1[\dots C_m[\mathcal{T}\mathcal{S}^1 C_1[\dots C_n[K] \dots]] \dots]] \dots]$$

Then  $\vdash C : (\Delta; \Gamma \vdash \theta) \Rightarrow (\cdot; \cdot \vdash \mathbf{1})$ , so since  $e_1, e_2$  are contextually equivalent,  $C[e_1] \Downarrow C[e_2]$ . Furthermore,  $C[e_1] \xrightarrow{p+m+n} {}^1\mathcal{S}\mathcal{T} \mathcal{T}\mathcal{S}^1 K[\delta(\gamma(e_1))]$ , so  $C[e_1] \Downarrow {}^1\mathcal{S}\mathcal{T} \mathcal{T}\mathcal{S}^1 K[\delta(\gamma(e_1))]$ . Finally, by definition of the operational semantics,  ${}^1\mathcal{S}\mathcal{T} \mathcal{T}\mathcal{S}^1 K[\delta(\gamma(e_1))] \Downarrow K[\delta(\gamma(e_1))]$ , so  $C[e_1] \Downarrow K[\delta(\gamma(e_1))]$ . By analogous reasoning  $C[e_2] \Downarrow K[\delta(\gamma(e_2))]$ .

Therefore, by transitivity of  $\Downarrow$ ,  $K[\delta(\gamma(e_1))] \Downarrow K[\delta(\gamma(e_2))]$ .  $\square$

**Theorem 7.41 (CIU Equivalence Implies Logically Related)**

If  $\Delta; \Gamma \vdash e_1 \approx_{\text{ST}}^{\text{ciu}} e_2 : \theta$ , then  $\Delta; \Gamma \vdash e_1 \approx_{\mathcal{E}}^{\text{log}} e_2 : \theta$ .

**Proof**

Since  $\Delta; \Gamma \vdash e_1 \approx_{\text{ST}}^{\text{ciu}} e_2 : \theta$ ,  $\Delta; \Gamma \vdash e_1 : \theta$  and  $\Delta; \Gamma \vdash e_2 : \theta$ .

Suppose  $(k, K_1, K_2) \in \mathcal{K}[\theta] \rho$ , we seek to prove that  $(k, K_1[\rho_1(\gamma_1(e_1))], K_2[\rho_2(\gamma_2(e_2))]) \in \mathcal{O}$ .

Using  $\Delta; \Gamma \vdash e_1 \approx_{\text{ST}}^{\text{ciu}} e_2 : \theta$  twice and Theorem 7.38 twice, we get

1.  $K_1[\rho_1(\gamma_1(e_1))] \Downarrow K_1[\rho_1(\gamma_1(e_2))]$
2.  $K_2[\rho_2(\gamma_2(e_1))] \Downarrow K_2[\rho_2(\gamma_2(e_2))]$
3.  $(k, K_1[\rho_1(\gamma_1(e_1))], K_2[\rho_2(\gamma_2(e_1))]) \in \mathcal{O}$
4.  $(k, K_1[\rho_1(\gamma_1(e_2))], K_2[\rho_2(\gamma_2(e_2))]) \in \mathcal{O}$

By case analysis of 3:

**Case**  $K_1[\rho_1(\gamma_1(e_1))] \Downarrow \wedge K_2[\rho_2(\gamma_2(e_1))] \Downarrow$ : then by 2,  $K_2[\rho_2(\gamma_2(e_2))] \Downarrow$ .

**Case** running( $k, K_1[\rho_1(\gamma_1(e_1))]$ )  $\wedge$  running( $k, K_2[\rho_2(\gamma_2(e_2))]$ ): By case analysis of 4:

**Case**  $K_1[\rho_1(\gamma_1(e_2))] \Downarrow \wedge K_2[\rho_2(\gamma_2(e_2))] \Downarrow$ : then by 1,  $K_1[\rho_1(\gamma_1(e_1))] \Downarrow$ .

**Case**  $\text{running}(k, K_2[\rho_2(\gamma_2(e_2))]) \wedge \text{running}(k, K_1[\rho_1(\gamma_1(e_2))])$ : then we have precisely that  
 $\text{running}(k, K_1[\rho_1(\gamma_1(e_1))]) \wedge \text{running}(k, K_2[\rho_2(\gamma_2(e_2))])$ .

□

**Theorem 7.42 (Logically Related Implies Contextual Equivalence)**

If  $\Delta; \Gamma \vdash e_1 \approx_{\mathcal{E}}^{\log} e_2 : \theta$ , then  $\Delta; \Gamma \vdash e_1 \approx_{\text{ST}}^{\text{ctx}} e_2 : \theta$ .

**Proof**

Since  $\Delta; \Gamma \vdash e_1 \approx_{\mathcal{E}}^{\log} e_2 : \theta$ ,  $\Delta; \Gamma \vdash e_1 : \theta$  and  $\Delta; \Gamma \vdash e_2 : \theta$ .

Suppose  $\vdash C : (\Delta; \Gamma \vdash \theta) \Rightarrow (\cdot; \cdot \vdash \mathbf{1})$ . Then by Lemma 7.39,  $\cdot; \cdot \vdash C[e_1] \approx_{\mathcal{E}}^{\log} C[e_2] : \mathbf{1}$ .

We seek to prove that  $C[e_1] \Downarrow C[e_2]$ . Suppose  $C[e_1] \Downarrow$ . Then in particular there exists some  $k \geq 0$  such that  $\neg \text{running}(C[e_1], k)$ . Furthermore, since  $\cdot; \cdot \vdash C[e_1] \approx_{\mathcal{E}}^{\log} C[e_2] : \mathbf{1}$ ,  $(k, C[e_1], C[e_2]) \in \mathcal{O}$ , so since  $\neg \text{running}(C[e_1], k)$ ,  $C[e_2] \Downarrow$ . By symmetric reasoning, if  $C[e_2] \Downarrow$ , then  $C[e_1] \Downarrow$ . □

**Theorem 7.43 (Logical Relation, Contextual Equivalence, CIU Equivalence Coincide)**

$\Delta; \Gamma \vdash e \approx_{\mathcal{E}}^{\log} e' : \theta$  if and only if  $\Delta; \Gamma \vdash e \approx_{\text{ST}}^{\text{ctx}} e' : \theta$  if and only if  $\Delta; \Gamma \vdash e \approx_{\text{ST}}^{\text{ciu}} e' : \theta$

**Proof**

By Lemma 7.40, Lemma 7.41, and Lemma 7.42.

□

**Theorem 7.44 (Logical Relation is Transitive)**

If  $\Delta; \Gamma \vdash e \approx_{\mathcal{E}}^{\log} e' : \theta$  and  $\Delta; \Gamma \vdash e' \approx_{\mathcal{E}}^{\log} e'' : \theta$ , then  $\Delta; \Gamma \vdash e \approx_{\mathcal{E}}^{\log} e'' : \theta$ .

**Proof**

By Theorem 7.43 and transitivity of contextual equivalence.

□

## 8 Back-Translation From $\lambda^{\text{ST}}$ to $\lambda^{\text{S}}$

$$\begin{aligned}
 \delta &::= \emptyset \mid \delta[\alpha \mapsto \sigma, x] \\
 \emptyset_{\Gamma} &\stackrel{\text{def}}{=} . \\
 (\delta[\alpha \mapsto \sigma, x])_{\Gamma} &\stackrel{\text{def}}{=} \delta_{\Gamma}, x : 1 \rightarrow ((\sigma \rightarrow U) \times (U \rightarrow \sigma)) \\
 \emptyset_{\sigma} &\stackrel{\text{def}}{=} \emptyset \\
 (\delta[\alpha \mapsto \sigma, x])_{\sigma} &\stackrel{\text{def}}{=} \delta_{\sigma}[\alpha \mapsto \sigma] \\
 \emptyset_x &\stackrel{\text{def}}{=} \emptyset \\
 (\delta[\alpha \mapsto \sigma, x])_x &\stackrel{\text{def}}{=} \delta_x[\alpha \mapsto x]
 \end{aligned}$$

Figure 21: Embedding-Projection Environment

$$\begin{aligned}
 U &\stackrel{\text{def}}{=} \mu \alpha. 1 + (\alpha + \alpha) + (\alpha \times \alpha) + (\alpha \rightarrow R(\alpha)) + \alpha \\
 R(\sigma) &\stackrel{\text{def}}{=} \sigma + \sigma \\
 R &\stackrel{\text{def}}{=} R(U)
 \end{aligned}$$

Figure 22: Universal Type and Result Type

if $\Delta; \Gamma \vdash v_f : (\sigma_1 \rightarrow \sigma_2) \rightarrow \sigma_1 \rightarrow \sigma_2$ , then $\Delta; \Gamma \vdash \text{FIX}_{\sigma_1 \rightarrow \sigma_2}(v_f) : \sigma_1 \rightarrow \sigma_2$
if $\Delta; \Gamma \vdash v_f : (\sigma_1 \rightarrow \sigma_2) \rightarrow \sigma_1 \rightarrow \sigma_2$ , then $\Delta; \Gamma \vdash \text{LOOP}(\sigma_1 \rightarrow \sigma_2, v_f) : (\mu\alpha. \alpha \rightarrow \sigma_1 \rightarrow \sigma_2) \rightarrow \sigma_1 \rightarrow \sigma_2$

$\text{FIX}_{\sigma_1 \rightarrow \sigma_2}(v_f)$	$\stackrel{\text{def}}{=} \lambda(z : \sigma_1). \begin{aligned} &\text{let } x_{fix} = \text{LOOP}(\sigma_1 \rightarrow \sigma_2, v_f) \text{ (fold}_{\mu\alpha. \alpha \rightarrow \sigma_1 \rightarrow \sigma_2} \text{LOOP}(\sigma_1 \rightarrow \sigma_2, v_f)) \text{ in} \\ &\text{let } x_f = v_f \ x_{fix} \text{ in} \\ &x_f z \end{aligned}$
$\text{LOOP}(\sigma_1 \rightarrow \sigma_2, v_f)$	$\stackrel{\text{def}}{=} \lambda(x_{folded} : \mu\alpha. \alpha \rightarrow \sigma_1 \rightarrow \sigma_2). \begin{aligned} &\text{let } x_{loop} = \text{unfold } x_{folded} \text{ in} \\ &\lambda(z : \sigma_1). \begin{aligned} &\text{let } x_{fix} = x_{loop} \text{ (fold}_{\mu\alpha. \alpha \rightarrow \sigma_1 \rightarrow \sigma_2} x_{loop}) \text{ in} \\ &\text{let } x_f = v_f \ x_{fix} \text{ in} \\ &x_f z \end{aligned} \end{aligned}$
$\text{UNIT}$	$\stackrel{\text{def}}{=} \text{fold}_U(\text{inj}_1 \langle \rangle)$
$\text{IN}(i, v)$	$\stackrel{\text{def}}{=} \text{fold}_U(\text{inj}_2(\text{inj}_1(\text{inj}_1(i, v))))$
$\text{CONS}(v_1, v_2)$	$\stackrel{\text{def}}{=} \text{fold}_U(\text{inj}_2(\text{inj}_2(\text{inj}_1(v_1, v_2))))$
$\text{LAMBDA}(\lambda(x : U). e)$	$\stackrel{\text{def}}{=} \text{fold}_U(\text{inj}_2(\text{inj}_2(\text{inj}_2(\text{inj}_1(\lambda(x : U). e))))))$
$\text{FOLD}(v)$	$\stackrel{\text{def}}{=} \text{fold}_U(\text{inj}_2(\text{inj}_2(\text{inj}_2(\text{inj}_2(v))))))$
$\text{RETURN}(v)$	$\stackrel{\text{def}}{=} \text{inj}_1 v$
$\text{RAISE}(v)$	$\stackrel{\text{def}}{=} \text{inj}_2 v$
$\text{TOLHS}(v_u)$	$\stackrel{\text{def}}{=} \text{case } v_u \text{ of } x_1. x_1 \mid x_2. \mathcal{U}$
$\text{TORHS}(v_u)$	$\stackrel{\text{def}}{=} \text{case } v_u \text{ of } x_1. \mathcal{U} \mid x_2. x_2$
$\text{TOSUM}(v_u)$	$\stackrel{\text{def}}{=} \text{let } x_1 = \text{unfold } v_u \text{ in} \\ \text{let } x_2 = \text{TORHS}(x_1) \text{ in} \\ \text{TORHS}(x_2)$
$\text{TOPAIR}(v_u)$	$\stackrel{\text{def}}{=} \text{let } x_1 = \text{unfold } v_u \text{ in} \\ \text{let } x_2 = \text{TORHS}(x_1) \text{ in} \\ \text{let } x_3 = \text{TORHS}(x_2) \text{ in } \text{TOLHS}(x_3)$
$\text{TOFUN}(v_u)$	$\stackrel{\text{def}}{=} \text{let } x_1 = \text{unfold } v_u \text{ in} \\ \text{let } x_2 = \text{TORHS}(x_1) \text{ in} \\ \text{let } x_3 = \text{TORHS}(x_2) \text{ in } \text{TORHS}(x_3) \text{ in } \text{TOLHS}(x_4)$
$\text{TOFOLD}(v_u)$	$\stackrel{\text{def}}{=} \text{let } x_1 = \text{unfold } v_u \text{ in} \\ \text{let } x_2 = \text{TORHS}(x_1) \text{ in} \\ \text{let } x_3 = \text{TORHS}(x_2) \text{ in} \\ \text{let } x_4 = \text{TORHS}(x_3) \text{ in } \text{TORHS}(x_4)$
$\text{PRJ}(1, v_u)$	$\stackrel{\text{def}}{=} \text{let } x = \text{TOPAIR}(v_u) \text{ in } \pi_1 x$
$\text{PRJ}(i + 1, v_u)$	$\stackrel{\text{def}}{=} \text{let } x = \text{TOPAIR}(v_u) \text{ in} \\ \text{let } y = \pi_2 x \text{ in } \text{PRJ}(i, x)$

Figure 23: Interpreter Metafunctions

$\emptyset \vdash \text{PROJECT}(\sigma) : R \rightarrow \sigma$	
$\delta \Gamma \vdash \text{PROJECT}(\delta, \sigma) : U \rightarrow \delta_\sigma(\sigma)$	
$\text{PROJECT}(\sigma)$	$\stackrel{\text{def}}{=} \lambda(x_r : R). \text{let } x_u = \text{TOLHS}(x_r) \text{ in } \text{PROJECT}(\emptyset, \sigma) x_u$
$\text{PROJECT}(\delta, \alpha)$	$\stackrel{\text{def}}{=} \lambda(x_u : U). \text{let } x = \delta_x(\alpha) \langle \rangle \text{ in}$ $\text{let } x_f = \pi_2 x \text{ in } x' x$
$\text{PROJECT}(\delta, 1)$	$\stackrel{\text{def}}{=} \lambda(x_u : U). \langle \rangle$
$\text{PROJECT}(\delta, \sigma_1 + \sigma_2)$	$\stackrel{\text{def}}{=} \lambda(x_u : U). \text{let } x = \text{TOSUM}(x_u) \text{ in}$ $\text{case } x \text{ of}$ $x_1 . \text{let } x'_1 = \text{PROJECT}(\delta, \sigma_1) x_1 \text{ in } \text{inj}_1 x'_1$ $x_2 . \text{let } x'_2 = \text{PROJECT}(\delta, \sigma_2) x_2 \text{ in } \text{inj}_2 x'_2$
$\text{PROJECT}(\delta, \sigma_1 \times \sigma_2)$	$\stackrel{\text{def}}{=} \lambda(x_u : U). \text{let } x = \text{TOPAIR}(x_u) \text{ in}$ $\text{let } x_1 = \pi_1 x \text{ in}$ $\text{let } x'_1 = \text{PROJECT}(\delta, \sigma_1) x_1 \text{ in}$ $\text{let } y = \pi_2 x \text{ in}$ $\text{let } y' = \text{TOPAIR}(y) \text{ in}$ $\text{let } x_2 = \pi_1 y' \text{ in}$ $\text{let } x'_2 = \text{PROJECT}(\delta, \sigma_2) x_2 \text{ in}$ $\langle x'_1, x'_2 \rangle$
$\text{PROJECT}(\delta, \sigma_1 \rightarrow \sigma_2)$	$\stackrel{\text{def}}{=} \lambda(x_u : U). \text{let } x'_u = \text{TOPAIR}(x_u) \text{ in}$ $\text{let } x_f = \text{PRJ}(1, x'_u) \text{ in}$ $\text{let } x_{env} = \text{PRJ}(2, x'_u) \text{ in}$ $\lambda(y : \delta_\sigma(\sigma_1)). \text{let } y_u = \text{EMBED}(\delta, \sigma_1) y \text{ in}$ $\text{let } x = \text{CONS}(x_{env}, \text{CONS}(y_u, \text{UNIT})) \text{ in}$ $\text{let } x_r = x_f \text{ x in}$ $\text{let } x''_u = \text{TOLHS}(x_r) \text{ in}$ $\text{PROJECT}(\delta, \sigma_2) x''_u$
$\text{PROJECT}(\delta, \mu\alpha.\sigma)$	$\stackrel{\text{def}}{=} \lambda(x_u : U). \text{let } x = \text{EP}(\delta, \mu\alpha.\sigma) \langle \rangle \text{ in}$ $\text{let } x_f = \pi_2 x \text{ in } x_f x_u$

Figure 24: Projecting from the Universal Type

$\emptyset \vdash \text{EMBED}(\sigma) : \sigma \rightarrow \mathbf{R}$	
$\delta \Gamma \vdash \text{EMBED}(\delta, \sigma) : \delta_\sigma(\sigma) \rightarrow \mathbf{U}$	
$\text{EMBED}(\sigma)$	$\stackrel{\text{def}}{=} \lambda(x : \sigma). \text{let } x_u = \text{EMBED}(\emptyset, \sigma) \text{ in } \text{RETURN}(x_u)$
$\text{EMBED}(\delta, \alpha)$	$\stackrel{\text{def}}{=} \lambda(x : \delta_\sigma(\alpha)). \text{let } x_{ep} = \delta_x(\alpha) \langle \rangle \text{ in }$ $\quad \text{let } x_{embed} = \pi_1 x_{ep} \text{ in } x_{embed} \times$
$\text{EMBED}(\delta, 1)$	$\stackrel{\text{def}}{=} \lambda(x : \delta_\sigma(1)). \text{UNIT}$
$\text{EMBED}(\delta, \sigma_1 + \sigma_2)$	$\stackrel{\text{def}}{=} \lambda(x : \delta_\sigma(\sigma_1 + \sigma_2)). \text{case } x \text{ of }$ $\quad x_1 . \text{let } x' = \text{EMBED}(\delta, \sigma_1) x_1 \text{ in }$ $\quad \text{IN}(1, x')$ $\quad x_2 . \text{let } x' = \text{EMBED}(\delta, \sigma_2) x_2 \text{ in }$ $\quad \text{IN}(2, x')$
$\text{EMBED}(\delta, \sigma_1 \times \sigma_2)$	$\stackrel{\text{def}}{=} \lambda(x : \delta_\sigma(\sigma_1 \times \sigma_2)). \text{let } x_1 = \pi_1 x \text{ in }$ $\quad \text{let } x_2 = \pi_2 x \text{ in }$ $\quad \text{let } x'_1 = \text{EMBED}(\delta, \sigma_1) x_1 \text{ in }$ $\quad \text{let } x'_2 = \text{EMBED}(\delta, \sigma_2) x_2 \text{ in }$ $\quad \text{CONS}(x'_1, \text{CONS}(x'_2, \text{UNIT}))$
$\text{EMBED}(\delta, \sigma_1 \rightarrow \sigma_2)$	$\stackrel{\text{def}}{=} \lambda(x_f : \delta_\sigma(\sigma_1 \rightarrow \sigma_2)). \text{let } x'_f = \lambda(x_u : \mathbf{U}). \text{let } x'_u = \text{PRJ}(2, x_u) \text{ in }$ $\quad \text{let } x = \text{PROJECT}(\delta, \sigma_1) x'_u \text{ in }$ $\quad \text{let } y = x_f \text{ in }$ $\quad \text{let } x''_u = \text{EMBED}(\delta, \sigma_2) y \text{ in }$ $\quad \text{RETURN}(x''_u)$ $\quad \text{CONS}(x'_f, \text{CONS}(\text{UNIT}, \text{UNIT}))$
$\text{EMBED}(\delta, \mu\alpha. \sigma)$	$\stackrel{\text{def}}{=} \lambda(x : \delta_\sigma(\mu\alpha. \sigma)). \text{let } x_{ep} = \text{EP}(\delta, \mu\alpha. \sigma) \langle \rangle \text{ in }$ $\quad \text{let } x_{embed} = \pi_1 x_{ep} \text{ in } x_{embed} \times$

Figure 25: Embedding into the Universal Type

$\delta \Gamma \vdash \text{EP}(\delta, \mu\alpha. \sigma) : 1 \rightarrow ((\delta_\sigma(\mu\alpha. \sigma) \rightarrow \mathbf{U}) \times (\mathbf{U} \rightarrow \delta_\sigma(\mu\alpha. \sigma)))$	
$\text{EP}(\delta, \mu\alpha. \sigma)$	$\stackrel{\text{def}}{=} \text{FIX}_{1 \rightarrow ((\delta_\sigma(\mu\alpha. \sigma) \rightarrow \mathbf{U}) \times (\mathbf{U} \rightarrow \delta_\sigma(\mu\alpha. \sigma)))} \lambda(x_{\mu\alpha. \sigma} : 1 \rightarrow ((\delta_\sigma(\mu\alpha. \sigma) \rightarrow \mathbf{U}) \times (\mathbf{U} \rightarrow \delta_\sigma(\mu\alpha. \sigma)))).$ $\quad \lambda(x_{unit} : 1).$ $\quad \text{let } x_{embed} =$ $\quad \quad \lambda(x : \delta_\sigma(\mu\alpha. \sigma)).$ $\quad \quad \text{let } y = \text{unfold } x \text{ in }$ $\quad \quad \text{let } y_u = \text{EMBED}(\delta[\alpha \mapsto \mu\alpha. \sigma, x_{\mu\alpha. \sigma}], \sigma) y \text{ in }$ $\quad \quad \text{FOLD}(y_u)$ $\quad \text{in let } x_{project} =$ $\quad \quad \lambda(x_u : \mathbf{U}).$ $\quad \quad \text{let } y_u = \text{TOFOLD}(x_u) \text{ in }$ $\quad \quad \text{let } y = \text{PROJECT}(\delta[\alpha \mapsto \mu\alpha. \sigma, x_{\mu\alpha. \sigma}], \sigma) y_u \text{ in }$ $\quad \quad \text{fold}_{\mu\alpha. \sigma} y$ $\quad \text{in } \langle x_{embed}, x_{project} \rangle$

Figure 26: Embedding-Projection Pair for Recursive Types

$\rightarrow : \Gamma \rightarrow \Gamma$

$$\begin{array}{ccl} (\cdot)^{\rightarrow} & = & \cdot \\ (\Gamma, \mathbf{x} : \sigma)^{\rightarrow} & = & \Gamma^{\rightarrow}, \mathbf{x} : \sigma \\ (\Gamma, \mathbf{y} : \tau)^{\rightarrow} & = & \Gamma^{\rightarrow}, \mathbf{y} : \mathbf{U} \end{array}$$

$\boxed{\Delta; \Gamma \vdash \mathbf{e} : \sigma \rightarrow \mathbf{e}'}$

where  $\mathbf{e}' \in \lambda^S$  and  $\Delta; \Gamma \vdash \mathbf{e} : \sigma$  and  $\Gamma^{\rightarrow} \vdash \mathbf{e}' : \sigma$

$$\frac{}{\Delta; \Gamma \vdash \mathbf{x} : \sigma \rightarrow \mathbf{x}}$$

$$\frac{\Delta; \Gamma \vdash \mathbf{v} : \sigma_1 + \sigma_2 \rightarrow \mathbf{v}' \quad \Delta; \Gamma, \mathbf{x}_1 : \sigma_1 \vdash \mathbf{e}_1 : \sigma \rightarrow \mathbf{e}'_1 \quad \Delta; \Gamma, \mathbf{x}_2 : \sigma_2 \vdash \mathbf{e}_2 : \sigma \rightarrow \mathbf{e}'_2}{\Delta; \Gamma \vdash \text{case } \mathbf{v} \text{ of } \mathbf{x}_1. \mathbf{e}_1 \mid \mathbf{x}_2. \mathbf{e}_2 : \sigma \rightarrow \text{case } \mathbf{v}' \text{ of } \mathbf{x}_1. \mathbf{e}'_1 \mid \mathbf{x}_2. \mathbf{e}'_2}$$

$$\frac{\Delta; \Gamma \vdash \mathbf{v}_1 : \sigma_1 \rightarrow \mathbf{v}'_1 \quad \Delta; \Gamma \vdash \mathbf{v}_2 : \sigma_2 \rightarrow \mathbf{v}'_2}{\Delta; \Gamma \vdash \langle \mathbf{v}_1, \mathbf{v}_2 \rangle : \sigma_1 \times \sigma_2 \rightarrow \langle \mathbf{v}'_1, \mathbf{v}'_2 \rangle}$$

$$\frac{\Delta; \Gamma \vdash \mathbf{v} : \sigma_1 \times \sigma_2 \rightarrow \mathbf{v}' \quad \mathbf{i} \in \{1, 2\}}{\Delta; \Gamma \vdash \pi_{\mathbf{i}} \mathbf{v} : \sigma_i \rightarrow \pi_{\mathbf{i}} \mathbf{v}'}$$

$$\frac{\Delta; \Gamma \vdash \mathbf{v}_1 : \sigma_2 \rightarrow \sigma \rightarrow \mathbf{v}'_1 \quad \Delta; \Gamma \vdash \mathbf{v}_2 : \sigma_2 \rightarrow \mathbf{v}'_2}{\Delta; \Gamma \vdash \mathbf{v}_1 \mathbf{v}_2 : \sigma \rightarrow \mathbf{v}'_1 \mathbf{v}'_2}$$

$$\frac{\Delta; \Gamma \vdash \mathbf{v} : \sigma[\mu\alpha. \sigma/\alpha] \rightarrow \mathbf{v}'}{\Delta; \Gamma \vdash \text{fold}_{\mu\alpha. \sigma} \mathbf{v} : \mu\alpha. \sigma \rightarrow \text{fold}_{\mu\alpha. \sigma} \mathbf{v}'}$$

$$\frac{\Delta; \Gamma \vdash \mathbf{v} : \mu\alpha. \sigma \rightarrow \mathbf{v}'}{\Delta; \Gamma \vdash \text{unfold } \mathbf{v} : \sigma[\mu\alpha. \sigma/\alpha] \rightarrow \text{unfold } \mathbf{v}'}$$

$$\frac{\Delta; \Gamma \vdash \mathbf{e}_1 : \sigma_1 \rightarrow \mathbf{e}'_1 \quad \Delta; \Gamma, \mathbf{x} : \sigma_1 \vdash \mathbf{e}_2 : \sigma_2 \rightarrow \mathbf{e}'_2}{\Delta; \Gamma \vdash \text{let } \mathbf{x} = \mathbf{e}_1 \text{ in } \mathbf{e}_2 : \sigma \rightarrow \text{let } \mathbf{x} = \mathbf{e}'_1 \text{ in } \mathbf{e}'_2}$$

$$\frac{\Delta; \Gamma \vdash \div \mathbf{e} : \sigma^{\div} \rightarrow \mathbf{e}_u}{\Delta; \Gamma \vdash {}^{\sigma} \mathcal{ST} \mathbf{e} : \sigma \rightarrow \text{let } \mathbf{x} = \mathbf{e}_u \text{ in } \text{PROJECT}(\sigma) \mathbf{x}}$$

Figure 27: Relating  $\lambda^{ST}$  terms to  $\lambda^S$  terms (“Back-Translation”)

$\boxed{\Delta; \Gamma \vdash^+ \mathbf{v} : \tau \rightarrow \mathbf{v}}$  where  $\mathbf{v} \in \lambda^S$  and  $\Delta; \Gamma \vdash \mathbf{v} : \tau$  and  $\Gamma \rightarrow^* \vdash \mathbf{v} : U$

$$\frac{}{\Delta; \Gamma \vdash^+ \mathbf{y} : \sigma^+ \rightarrow \mathbf{y}} \quad \frac{}{\Delta; \Gamma \vdash^+ \langle \rangle : \langle \rangle \rightarrow \text{UNIT}} \quad \frac{\Delta; \Gamma \vdash^+ \mathbf{v}_i : \tau_i \rightarrow \mathbf{v}_u}{\Delta; \Gamma \vdash^+ \mathbf{inj}_i \mathbf{v} : \tau_1 + \tau_2 \rightarrow \text{IN}(i, \mathbf{v}_u)}$$

$$\frac{\Delta; \Gamma \vdash^+ \mathbf{v}_1 : \tau \rightarrow \mathbf{v} \quad \Delta; \Gamma \vdash^+ \langle \mathbf{v}_1, \dots, \mathbf{v}_n \rangle : \langle \tau_1, \dots, \tau_n \rangle \rightarrow \mathbf{v}'}{\Delta; \Gamma \vdash^+ \langle \mathbf{v}, \mathbf{v}_1, \dots, \mathbf{v}_n \rangle : \langle \tau, \tau_1, \dots, \tau_n \rangle \rightarrow \text{CONS}(\mathbf{v}, \mathbf{v}')}$$

$$\frac{\alpha; \mathbf{x} : \tau \vdash^+ \mathbf{e} : \theta \rightarrow \mathbf{e}_u}{\Delta; \Gamma \vdash^+ \lambda[\alpha](x : \tau). \mathbf{e} : \forall[\alpha]. \tau \rightarrow \theta \rightarrow \text{LAMBDA}(\lambda(x : U). \mathbf{e}_u)}$$

$$\frac{\Delta; \Gamma \vdash^+ \mathbf{v} : \tau[\mu\alpha. \tau/\alpha] \rightarrow \mathbf{v}_u}{\Delta; \Gamma \vdash^+ \mathbf{fold}_{\mu\alpha. \tau} \mathbf{v} : \mu\alpha. \tau \rightarrow \text{FOLD}(\mathbf{v}_u)}$$

$$\frac{\Delta; \Gamma \vdash^+ \mathbf{v} : \tau[\tau'/\alpha] \rightarrow \mathbf{v}_u}{\Delta; \Gamma \vdash^+ \text{pack } (\tau', \mathbf{v}) \text{ as } \exists\alpha. \tau : \exists\alpha. \tau \rightarrow \mathbf{v}_u}$$

$\boxed{\Delta; \Gamma \vdash^+ \mathbf{r} : \theta \rightarrow \mathbf{v}_u}$  where  $\mathbf{e} \in \lambda^S$  and  $\Delta; \Gamma \vdash \mathbf{r} : \theta$  and  $\Gamma \rightarrow^* \vdash \mathbf{v}_u : R$

$$\frac{\Delta; \Gamma \vdash^+ \mathbf{v} : \tau \rightarrow \mathbf{v}_u}{\Delta; \Gamma \vdash^+ \text{return } \mathbf{v} : E \tau_{\text{exn}} \tau \rightarrow \text{RETURN}(\mathbf{v}_u)} \quad \frac{\Delta; \Gamma \vdash^+ \mathbf{v} : \tau_{\text{exn}} \rightarrow \mathbf{v}_u}{\Delta; \Gamma \vdash^+ \text{raise } \mathbf{v} : E \tau_{\text{exn}} \tau \rightarrow \text{RAISE}(\mathbf{v}_u)}$$

$\boxed{\Delta; \Gamma \vdash^+ \mathbf{e} : \theta \rightarrow \mathbf{e}}$  where  $\mathbf{e} \in \lambda^S$  and  $\Delta; \Gamma \vdash \mathbf{e} : \theta$  and  $\Gamma \rightarrow^* \vdash \mathbf{e} : R$

$$\frac{\Delta; \Gamma \vdash^+ \mathbf{v} : \tau \rightarrow \mathbf{v}_u \quad \Delta; \Gamma, \mathbf{x}_1 : \tau_1 \vdash^+ \mathbf{e}_1 : \theta \rightarrow \mathbf{e}_1 \quad \Delta; \Gamma, \mathbf{x}_1 : \tau_2 \vdash^+ \mathbf{e}_2 : \theta \rightarrow \mathbf{e}_2}{\Delta; \Gamma \vdash^+ \text{case } \mathbf{v} \text{ of } \mathbf{x}_1. \mathbf{e}_1 \mid \mathbf{x}_2. \mathbf{e}_2 : \theta \rightarrow \text{let } \mathbf{x} = \text{TOSUM}(\mathbf{v}_u) \text{ in case } \mathbf{x} \text{ of } \mathbf{x}_1. \mathbf{e}_1 \mid \mathbf{x}_2. \mathbf{e}_2}$$

$$\frac{\Delta; \Gamma \vdash^+ \mathbf{v} : \langle \tau_1, \dots, \tau_n \rangle \rightarrow \mathbf{v}_u}{\Delta; \Gamma \vdash^+ \mathbf{v}.i : \langle \tau_1, \dots, \tau_n \rangle \rightarrow \text{let } \mathbf{x} = \text{PRJ}(i, \mathbf{v}_u) \text{ in RETURN}(\mathbf{x})}$$

$$\frac{\Delta; \Gamma \vdash^+ \mathbf{v}_1 : \forall[\alpha]. \tau \rightarrow \theta \rightarrow \mathbf{v}_1 \quad \Delta; \Gamma \vdash^+ \mathbf{v}_2 : \tau[\tau'/\alpha] \rightarrow \mathbf{v}_2}{\Delta; \Gamma \vdash^+ \mathbf{v}_1 [\tau'] \mathbf{v}_2 : \theta[\tau'/\alpha] \rightarrow \text{let } \mathbf{x} = \text{TOFUN}(\mathbf{v}_1) \text{ in } \mathbf{x} \mathbf{v}_2}$$

$$\frac{\Delta; \Gamma \vdash^+ \mathbf{v} : E \tau_{\text{exn}} \mu\alpha. \tau \rightarrow \mathbf{v}_u}{\Delta; \Gamma \vdash^+ \text{unfold } \mathbf{v} : \tau[\mu\alpha. \tau/\alpha] \rightarrow \text{let } \mathbf{x} = \text{TOFOLD}(\mathbf{v}_u) \text{ in RETURN}(\mathbf{x})}$$

$$\frac{\Delta; \Gamma \vdash^+ \mathbf{v} : \exists\alpha. \tau \rightarrow \mathbf{v}_u \quad \Delta, \alpha; \Gamma, \mathbf{x} : \tau \vdash^+ \mathbf{e} : \theta \rightarrow \mathbf{e}_u}{\Delta; \Gamma \vdash^+ \text{unpack } (\alpha, \mathbf{x}) = \mathbf{v} \text{ in } \mathbf{e} : \theta \rightarrow \text{let } \mathbf{x} = \mathbf{v}_u \text{ in } \mathbf{e}_u}$$

$$\frac{\Delta; \Gamma \vdash^+ \mathbf{e} : E \tau_{\text{exn}} \tau \rightarrow \mathbf{e} \quad \Delta; \Gamma, \mathbf{x}_1 : \tau \vdash^+ \mathbf{e}_1 : \theta \rightarrow \mathbf{e}_1 \quad \Delta; \Gamma, \mathbf{x}_2 : \tau_{\text{exn}} \vdash^+ \mathbf{e}_2 : \theta \rightarrow \mathbf{e}_2}{\Delta; \Gamma \vdash^+ \text{handle } \mathbf{e} \text{ with } (\mathbf{x}_1. \mathbf{e}_1) (\mathbf{x}_2. \mathbf{e}_2) : \theta \rightarrow \text{let } \mathbf{x}_r = \mathbf{e} \text{ in case } \mathbf{x}_r \text{ of } \begin{array}{l} \mathbf{x}_1. \mathbf{e}_1 \\ \mathbf{x}_2. \mathbf{e}_2 \end{array}}$$

Figure 28: Relating  $\lambda^{\text{ST}}$  terms to  $\lambda^S$  terms

## 9 Back Translation Correctness

$$\begin{aligned}
\text{Atom}^V[\tau] &\stackrel{\text{def}}{=} \{(k, \mathbf{v}, \mathbf{v}) \mid k \in \mathbb{N} \wedge \cdot; \cdot \vdash \mathbf{v} : \mathbf{U} \wedge \cdot; \cdot \vdash \mathbf{v} : \tau\} \\
\text{Atom}^R[\theta] &\stackrel{\text{def}}{=} \{(k, \mathbf{v}, \mathbf{r}) \mid k \in \mathbb{N} \wedge \cdot; \cdot \vdash \mathbf{v} : \mathbf{R} \wedge \cdot; \cdot \vdash \mathbf{r} : \theta\} \\
\text{Atom}^E[\theta] &\stackrel{\text{def}}{=} \{(k, \mathbf{e}, \mathbf{e}) \mid k \in \mathbb{N} \wedge \cdot; \cdot \vdash \mathbf{e} : \mathbf{R} \wedge \cdot; \cdot \vdash \mathbf{e} : \theta\} \\
\text{Atom}^K[\theta] &\stackrel{\text{def}}{=} \{(k, K_1, K_2) \mid k \in \mathbb{N} \wedge \exists \theta. \vdash K_1 : (\cdot; \cdot \vdash \mathbf{R}) \Rightarrow (\cdot; \cdot \vdash \theta) \wedge \vdash K_2 : (\cdot; \cdot \vdash \theta) \Rightarrow (\cdot; \cdot \vdash \theta)\} \\
\text{Rel}^{\mathbf{U}}[\tau] &\stackrel{\text{def}}{=} \{R \in \mathcal{P}(\text{Atom}^V[\tau]) \mid \forall j \leq k, \mathbf{v}, \mathbf{v}. (k, \mathbf{v}, \mathbf{v}) \in R \implies (j, \mathbf{v}, \mathbf{v}) \in R\}
\end{aligned}$$

Figure 29: Universal Type Logical Relation Auxiliary Definitions

$$\begin{aligned}
\mathcal{V}^{\mathbf{U}}[\tau]\rho^{\mathbf{U}} &\subset \text{Atom}^V[\rho^{\mathbf{U}}(\tau)] \\
\mathcal{V}^{\mathbf{U}}[\alpha]\rho^{\mathbf{U}} &\stackrel{\text{def}}{=} \rho_R^{\mathbf{U}}(\alpha) \\
\mathcal{V}^{\mathbf{U}}[\langle\rangle]\rho^{\mathbf{U}} &\stackrel{\text{def}}{=} \{(k, \text{UNIT}, \langle\rangle)\} \\
\mathcal{V}^{\mathbf{U}}[\tau_1 + \tau_2]\rho^{\mathbf{U}} &\stackrel{\text{def}}{=} \{(k, \text{IN}(i, \mathbf{v}_u), \text{inj}_i \mathbf{v}) \mid (k, \mathbf{v}_u, \mathbf{v}) \in \mathcal{V}^{\mathbf{U}}[\tau_i]\rho^{\mathbf{U}}\} \\
\mathcal{V}^{\mathbf{U}}[\langle\tau_1, \tau_2, \dots, \tau_n\rangle]\rho^{\mathbf{U}} &\stackrel{\text{def}}{=} \{(k, \text{CONS}(\mathbf{v}_u, \mathbf{v}'_u), \langle\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\rangle) \mid (k, \mathbf{v}_u, \mathbf{v}_1) \in \mathcal{V}^{\mathbf{U}}[\tau_1]\rho^{\mathbf{U}} \wedge (k, \mathbf{v}'_u, \langle\mathbf{v}_2, \dots, \mathbf{v}_n\rangle) \in \mathcal{V}^{\mathbf{U}}[\langle\tau_2, \dots, \tau_n\rangle]\rho^{\mathbf{U}}\} \\
\mathcal{V}^{\mathbf{U}}[\forall[\alpha]. \tau \rightarrow \theta]\rho^{\mathbf{U}} &\stackrel{\text{def}}{=} \{(k, \text{LAMBDA}(\lambda(x_u : \mathbf{U}). e_u), \lambda[\alpha](x : \tau). e) \mid \forall \tau', R \in \text{Rel}^{\mathbf{U}}[\rho^{\mathbf{U}}(\tau')], j \leq k, (j, \mathbf{v}_u, \mathbf{v}) \in \mathcal{V}^{\mathbf{U}}[\tau]\rho^{\mathbf{U}}. (j, e_u[\mathbf{v}_u/x_u], e[\tau'/\alpha][\mathbf{v}/x]) \in \mathcal{E}^{\mathbf{U}}[\theta]\rho^{\mathbf{U}} \text{ where } \rho^{\mathbf{U}'} = \rho^{\mathbf{U}}[\alpha \mapsto \tau', R]\} \\
\mathcal{V}^{\mathbf{U}}[\mu\alpha. \tau]\rho^{\mathbf{U}} &\stackrel{\text{def}}{=} \{(0, \mathbf{v}_u, \mathbf{v})\} \cup \{(k+1, \text{FOLD}(\mathbf{v}_u), \text{fold}_{\rho^{\mathbf{U}}(\mu\alpha. \tau)} \mathbf{v}) \mid (k, \mathbf{v}_u, \mathbf{v}) \in \mathcal{V}^{\mathbf{U}}[\tau[\mu\alpha. \tau/\alpha]]\rho^{\mathbf{U}}\} \\
\mathcal{V}^{\mathbf{U}}[\mathbf{0}]\rho^{\mathbf{U}} &\stackrel{\text{def}}{=} \emptyset \\
\mathcal{V}^{\mathbf{U}}[\exists\alpha. \tau]\rho^{\mathbf{U}} &\stackrel{\text{def}}{=} \{(k, \mathbf{v}_u, \text{pack}(\tau', \mathbf{v}) \text{ as } \rho^{\mathbf{U}}(\exists\alpha. \tau)) \mid \exists R \in \text{Rel}^{\mathbf{U}}[\rho^{\mathbf{U}}(\tau')]. (k, \mathbf{v}_u, \mathbf{v}) \in \mathcal{V}^{\mathbf{U}}[\tau]\rho^{\mathbf{U}}[\alpha \mapsto \tau', R]\} \\
\mathcal{R}^{\mathbf{U}}[\theta]\rho^{\mathbf{U}} &\subset \text{Atom}^R[\rho^{\mathbf{U}}(\theta)] \\
\mathcal{R}^{\mathbf{U}}[\mathbf{E} \tau_{\text{exn}} \tau]\rho^{\mathbf{U}} &\stackrel{\text{def}}{=} \{(k, \text{RETURN}(\mathbf{v}_u), \text{return } \mathbf{v}) \mid (k, \mathbf{v}_u, \mathbf{v}) \in \mathcal{V}^{\mathbf{U}}[\tau]\rho^{\mathbf{U}}\} \cup \{(k, \text{RAISE}(\mathbf{v}_u), \text{raise } \mathbf{v}) \mid (k, \mathbf{v}_u, \mathbf{v}) \in \mathcal{V}^{\mathbf{U}}[\tau_{\text{exn}}]\rho^{\mathbf{U}}\} \\
\mathcal{E}^{\mathbf{U}}[\theta]\rho^{\mathbf{U}} &\subset \text{Atom}^E[\rho^{\mathbf{U}}(\theta)] \\
\mathcal{E}^{\mathbf{U}}[\theta]\rho^{\mathbf{U}} &\stackrel{\text{def}}{=} \{(k, e_u, e) \mid \forall j \leq k, K_1, K_2. (j, K_1, K_2) \in \mathcal{K}[\theta]\rho \implies (j, K_1[e_u], K_2[e]) \in \mathcal{O}\} \\
\mathcal{K}^{\mathbf{U}}[\theta]\rho^{\mathbf{U}} &\subset \text{Atom}^K[\rho^{\mathbf{U}}(\theta)] \\
\mathcal{K}^{\mathbf{U}}[\theta]\rho^{\mathbf{U}} &\stackrel{\text{def}}{=} \{(k, K_1, K_2) \mid \forall j \leq k, \mathbf{v}_u, \mathbf{r}. (j, \mathbf{v}_u, \mathbf{r}) \in \mathcal{R}[\theta]\rho \implies (j, K_1[\mathbf{v}_u], K_2[\mathbf{r}]) \in \mathcal{O}\} \\
\mathcal{D}^{\mathbf{U}}[\cdot] &\stackrel{\text{def}}{=} \{\emptyset\} \\
\mathcal{D}^{\mathbf{U}}[\Delta, \alpha] &\stackrel{\text{def}}{=} \{\rho^{\mathbf{U}}[\alpha \mapsto \tau, R] \mid \rho^{\mathbf{U}} \in \mathcal{D}[\Delta] \wedge R \in \text{Rel}^{\mathbf{U}}[\tau]\} \\
\mathcal{G}^{\mathbf{U}}[\cdot]\rho^{\mathbf{U}} &\stackrel{\text{def}}{=} \{(k, \emptyset) \mid k \in \mathbb{N}\} \\
\mathcal{G}^{\mathbf{U}}[\Gamma, x : \sigma]\rho^{\mathbf{U}} &\stackrel{\text{def}}{=} \{(k, \gamma^{\mathbf{U}}[x \mapsto \mathbf{v}_1, \mathbf{v}_2]) \mid (k, \gamma^{\mathbf{U}}) \in \mathcal{G}^{\mathbf{U}}[\Gamma]\rho^{\mathbf{U}} \wedge (k, \mathbf{v}_1, \mathbf{v}_2) \in \mathcal{V}[\sigma]\emptyset\} \\
\mathcal{G}^{\mathbf{U}}[\Gamma, x : \tau]\rho^{\mathbf{U}} &\stackrel{\text{def}}{=} \{(k, \gamma^{\mathbf{U}}[x \mapsto \mathbf{v}_u, \mathbf{v}]) \mid (k, \gamma^{\mathbf{U}}) \in \mathcal{G}^{\mathbf{U}}[\Gamma]\rho^{\mathbf{U}} \wedge (k, \mathbf{v}_u, \mathbf{v}) \in \mathcal{V}[\tau]\rho^{\mathbf{U}}\}
\end{aligned}$$

Figure 30: Universal Type Logical Relation

$$\begin{aligned}
\Delta; \Gamma \vdash v' \approx_{\mathcal{V}^U}^{log} v : \sigma &\stackrel{\text{def}}{=} v' \in \lambda^S \wedge \forall \rho^U \in \mathcal{D}^U[\Delta], (k, \gamma^U) \in \mathcal{G}^U[\Gamma] \rho^U. \\
&\quad (k, \gamma^U(v'), \rho^U(\gamma^U(v))) \in \mathcal{V}[\sigma] \emptyset \\
\Delta; \Gamma \vdash e' \approx_{\mathcal{E}^U}^{log} e : \sigma &\stackrel{\text{def}}{=} e' \in \lambda^S \wedge \forall \rho^U \in \mathcal{D}^U[\Delta], (k, \gamma^U) \in \mathcal{G}^U[\Gamma] \rho^U. \\
&\quad (k, \gamma^U(e'), \rho^U(\gamma^U(e))) \in \mathcal{E}[\sigma] \emptyset \\
\Delta; \Gamma \vdash v_u \approx_{\mathcal{V}^U}^{log} v : \tau &\stackrel{\text{def}}{=} v_u \in \lambda^S \wedge \forall \rho^U \in \mathcal{D}^U[\Delta], (k, \gamma^U) \in \mathcal{G}^U[\Gamma] \rho^U. \\
&\quad (k, \gamma^U(v_u), \rho^U(\gamma^U(v))) \in \mathcal{V}^U[\tau] \rho^U \\
\Delta; \Gamma \vdash v_u \approx_{\mathcal{R}^U}^{log} r : \theta &\stackrel{\text{def}}{=} v_u \in \lambda^S \wedge \forall \rho^U \in \mathcal{D}^U[\Delta], (k, \gamma^U) \in \mathcal{G}^U[\Gamma] \rho^U. \\
&\quad (k, \gamma^U(v_u), \rho^U(\gamma^U(r))) \in \mathcal{R}^U[\theta] \rho^U \\
\Delta; \Gamma \vdash e_u \approx_{\mathcal{E}^U}^{log} e : \theta &\stackrel{\text{def}}{=} e_u \in \lambda^S \wedge \forall \rho^U \in \mathcal{D}^U[\Delta], (k, \gamma^U) \in \mathcal{G}^U[\Gamma] \rho^U. \\
&\quad (k, \gamma^U(e_u), \rho^U(\gamma^U(e))) \in \mathcal{E}^U[\theta] \rho^U
\end{aligned}$$

Figure 31: Universal Type Logical Relation for Open Terms

**Lemma 9.1 (Universal Type Logical Relation Weakening)**  
*If  $\rho^U \in \mathcal{D}[\Delta]$ ,  $\Delta \vdash \tau$  and  $\Delta \vdash \theta$ ,  $\Delta \vdash \tau'$ ,  $\Delta \vdash \Gamma$  and  $R \in \text{Rel}^U[\tau']$ , then*

$$1. \mathcal{V}^U[\tau]\rho^U = \mathcal{V}^U[\tau]\rho^{U'}$$

$$2. \mathcal{R}^U[\theta]\rho^U = \mathcal{R}^U[\theta]\rho^{U'}$$

$$3. \mathcal{E}^U[\theta]\rho^U = \mathcal{E}^U[\theta]\rho^{U'}$$

$$4. \mathcal{K}^U[\theta]\rho^U = \mathcal{K}^U[\theta]\rho^{U'}$$

$$5. \mathcal{G}^U[\Gamma]\rho^U = \mathcal{G}^U[\Gamma]\rho^{U'}$$

where  $\rho^{U'} = \rho^U[\alpha \mapsto \tau', R]$ .

**Proof**

The first 4 are proven by mutual induction on types. Then the  $\mathcal{G}^U[\ ]$  case follows by induction on  $\Gamma$ .  $\square$

**Lemma 9.2 (Universal Type Logical Relation Compositionality)**

*If  $\rho^U \in \mathcal{D}[\Delta]$ ,  $\Delta \vdash \tau'$ , and  $R \in \text{Rel}^U[\tau']$ , then if  $\Delta, \alpha \vdash \tau$  and  $\Delta, \alpha \vdash \theta$ ,*

$$1. \mathcal{V}^U[\tau]\rho^{U'} = \mathcal{V}^U[\tau[\alpha/\tau']] \rho^U$$

$$2. \mathcal{R}^U[\tau]\rho^{U'} = \mathcal{R}^U[\tau[\alpha/\tau']] \rho^U$$

$$3. \mathcal{E}^U[\tau]\rho^{U'} = \mathcal{E}^U[\tau[\alpha/\tau']] \rho^U$$

$$4. \mathcal{K}^U[\tau]\rho^{U'} = \mathcal{K}^U[\tau[\alpha/\tau']] \rho^U$$

where  $\rho^{U'} = \rho^U[\alpha \mapsto \tau', R]$ .

**Proof**

By induction  $k, \tau$  and  $\theta$ , using Lemma 9.1 where appropriate.  $\square$

**Lemma 9.3 (Monotonicity)**

*If  $j < k$  then*

$$1. \text{If } (k, v_u, v) \in \mathcal{V}^U[\tau]\rho^U, \text{ then } (j, v_u, v) \in \mathcal{V}^U[\tau]\rho^U.$$

$$2. \text{If } (k, v_u, r) \in \mathcal{R}^U[\theta]\rho^U, \text{ then } (j, v_u, r) \in \mathcal{R}^U[\theta]\rho^U.$$

$$3. \text{If } (k, e_u, e) \in \mathcal{E}^U[\theta]\rho^U, \text{ then } (j, e_u, e) \in \mathcal{E}^U[\theta]\rho^U.$$

$$4. \text{If } (k, K_1, K_2) \in \mathcal{K}^U[\theta]\rho^U, \text{ then } (j, K_1, K_2) \in \mathcal{K}^U[\theta]\rho^U.$$

$$5. \text{If } (k, \gamma^U) \in \mathcal{G}^U[\Gamma]\rho^U, \text{ then } (j, \gamma^U) \in \mathcal{G}^U[\Gamma]\rho^U.$$

**Lemma 9.4 (Universal Type Value Relation is Admissible)**

$\mathcal{V}^U[\tau]\rho^U \in \text{Rel}^U[\rho^U(\tau)]$

**Proof**

Immediate corollary of Lemma 9.3.  $\square$

**Lemma 9.5 (Universal Type Logical Relation Monadic Bind)**

*There are a few different versions, depending on how the two logical relations are interacting, however the proofs are essentially the same.*

1. If  $(k, \mathbf{e}_u, \mathbf{e}) \in \mathcal{E}^U[\theta]\rho^U$  and for any  $j \leq k, (j, \mathbf{v}, \mathbf{r}) \in \mathcal{R}^U[\theta]\rho^U$  and  $(j, K_1[\mathbf{v}], K_2[\mathbf{r}]) \in \mathcal{E}[\theta]\rho$  then  $(k, K_1[\mathbf{e}_u], K_2[\mathbf{e}]) \in \mathcal{E}[\theta]\rho$ .
2. If  $(k, e_1, e_2) \in \mathcal{E}[\theta]\rho$  and for any  $j \leq k, (j, r_1, r_2) \in \mathcal{R}[\theta]\rho, (j, \mathbf{K}[r_1], \mathbf{K}[r_2]) \in \mathcal{E}^U[\theta]\rho^U$ , then  $(\mathbf{K}[e_1], \mathbf{K}[e_2]) \in \mathcal{E}^U[\theta]\rho^U$ .
3. If  $(k, \mathbf{e}_u, \mathbf{e}) \in \mathcal{E}^U[\theta]\rho^U$  and for any  $j \leq k, (j, \mathbf{v}, \mathbf{r}) \in \mathcal{R}^U[\theta]\rho^U$  and  $(j, \mathbf{K}[\mathbf{v}], \mathbf{K}[\mathbf{r}]) \in \mathcal{E}^U[\theta']\rho^U$  then  $(k, \mathbf{K}[\mathbf{e}_u], \mathbf{K}[\mathbf{e}]) \in \mathcal{E}^U[\theta']\rho^U$ .

### Proof

We present a proof of the first case, the others are essentially the same. Let  $(k, K'_1, K'_2) \in \mathcal{K}[\theta]\rho$ . We want to show that  $(k, K'_1[K_1[\mathbf{e}_u]], K'_2[K_2[\mathbf{e}]]) \in \mathcal{O}$ . By definition of  $\mathcal{E}^U[\theta]$ , it is sufficient to show that  $(k, K'_1[K_1], K'_2[K_2]) \in \mathcal{K}^U[\theta]\rho^U$ .

So, let  $j \leq k, (j, \mathbf{v}, \mathbf{r}) \in \mathcal{R}^U[\theta]\rho^U$ , we need to show that  $(k, K'_1[K_1[\mathbf{v}]], K'_2[K_2[\mathbf{r}]]) \in \mathcal{O}$ . By Lemma 7.6,  $(j, K'_1, K'_2) \in \mathcal{K}[\theta]\rho$ , so the result follows from the assumption and that  $(j, K_1[\mathbf{v}], K_2[\mathbf{r}]) \in \mathcal{E}[\theta]\rho$ .  $\square$

### Lemma 9.6 (Universal Type Logical Relation Anti-reduction)

If  $\mathbf{e}_u \mapsto^{k_u} \mathbf{e}'_u$  and  $\mathbf{e} \mapsto^{k_t} \mathbf{e}'$  and  $k \leq \min(k_u, k_t) + k'$  then if  $(k', \mathbf{e}'_u, \mathbf{e}') \in \mathcal{E}^U[\theta]\rho^U$ , then  $(k, \mathbf{e}_u, \mathbf{e}) \in \mathcal{E}^U[\theta]\rho^U$ .

### Proof

Direct from definition of  $\mathcal{O}$ .  $\square$

### Lemma 9.7 (Universal Type Derived Computation Rules)

For appropriately typed expressions,

1. TOSUM(IN( $i, v_u$ ))  $\mapsto^* \text{inj}_i v_u$
2. TOPAIR(CONS( $v_u, v'_u$ ))  $\mapsto^* \langle v_u, v'_u \rangle$
3. TOFUN(LAMBDA( $\lambda(x_u : U). e_u$ ))  $\mapsto^* \lambda(x_u : U). e_u$
4. TOFOLD(FOLD( $v_u$ ))  $\mapsto^{\geq 1} v_u$

### Proof

Trivial.  $\square$

### Lemma 9.8 (Correctness of Fix)

If  $\cdot; \cdot \vdash v_f : (\sigma_1 \rightarrow \sigma_2) \rightarrow \sigma_1 \rightarrow \sigma_2$  and  $\cdot; \cdot \vdash v_{arg} : \sigma_1$ , then

$$\text{FIX}_{\sigma_1 \rightarrow \sigma_2}(v_f) v_{arg} \mapsto^* \text{let } x_f = v_f \text{ FIX}_{\sigma_1 \rightarrow \sigma_2}(v_f) \text{ in } x_f v_{arg}$$

### Proof

Straightforward calculation.  $\square$

### Lemma 9.9 (Embed/Project Unroll)

$$\begin{array}{lcl} \text{EP}(\emptyset, \mu\alpha. \sigma) \langle \rangle & \mapsto^* & \langle \lambda(x : \delta_\sigma(\mu\alpha. \sigma)). \\ & & \quad \text{let } y = \text{unfold } x \text{ in} \\ & & \quad \text{let } y_u = \text{EMBED}(\cdot, \sigma[\alpha/\mu\alpha. \sigma]) y \text{ in} \\ & & \quad \text{fold}_{\mu\alpha. \sigma} y \\ & & , \lambda(x_u : U). \\ & & \quad \text{let } y_u = \text{TOFOLD}(x_u) \text{ in} \\ & & \quad \text{let } y = \text{PROJECT}(\cdot, \sigma[\alpha/\mu\alpha. \sigma]) y_u \text{ in} \\ & & \quad \text{fold}_{\mu\alpha. \sigma} y \rangle. \end{array}$$

### Proof

The result is a simple consequence of Lemma 9.8 and the following lemma:

1. EMBED( $\delta[\alpha \mapsto \mu\alpha. \sigma, x_{\mu\alpha. \sigma}]$ ,  $\sigma')$ [EP( $\delta, \mu\alpha. \sigma$ ) /  $x_{\mu\alpha. \sigma}$ ] = EMBED( $\delta, \sigma'[\mu\alpha. \sigma/\alpha]$ )

$$2. \text{PROJECT}(\delta[\alpha \mapsto \mu\alpha.\sigma, x_{\mu\alpha.\sigma}], \sigma')[\text{EP}(\delta, \mu\alpha.\sigma)/x_{\mu\alpha.\sigma}] = \text{PROJECT}(\delta, \sigma'[\mu\alpha.\sigma/\alpha])$$

which holds by a straightforward induction on  $\sigma'$ .  $\square$

### Theorem 9.10 (Interpret = Interoperate)

1. If  $(k, e_u, e) \in \mathcal{E}^U[\sigma^\div]\emptyset$ , then  $(k, \text{let } x = e_u \text{ in } \text{PROJECT}(\sigma) x, {}^\sigma \mathcal{ST} e) \in \mathcal{E}[\sigma]\emptyset$ .
2. If  $(k, e, e') \in \mathcal{E}[\sigma]\emptyset$ , then  $(k, \text{let } x = e \text{ in } \text{EMBED}(\sigma) x, {}^\sigma \mathcal{ST} e') \in \mathcal{E}^U[\sigma^\div]\emptyset$ .
3. If  $(k, v, r) \in \mathcal{R}^U[\sigma^\div]\emptyset$ , then either  
 $\text{PROJECT}(\sigma) v \mapsto^k$  and  ${}^\sigma \mathcal{ST} r \mapsto^k$ , or  
 $\text{PROJECT}(\sigma) v \mapsto^* v'_1, {}^\sigma \mathcal{ST} r \mapsto^* v'_2$  and  $(k, v'_1, v'_2) \in \mathcal{R}[\sigma]\emptyset$ .
4. If  $(k, v_u, v) \in \mathcal{V}^U[\sigma^+]\emptyset$ , then either  
 $\text{PROJECT}(\cdot, \sigma) v_u \mapsto^k$  and  ${}^\sigma \mathcal{ST} \text{return } v \mapsto^k$ , or  
 $\text{PROJECT}(\cdot, \sigma) v_u \mapsto^* v, {}^\sigma \mathcal{ST} \text{return } v \mapsto^* v'$  and  $(k, v, v') \in \mathcal{V}[\sigma]\emptyset$ .
5. If  $(k, v, v') \in \mathcal{V}[\sigma]\emptyset$ , then either  
 $\text{EMBED}(\cdot, \sigma) v \mapsto^k$  and  ${}^\sigma \mathcal{ST} v' \mapsto^k$  or  $\text{EMBED}(\cdot, \sigma) v \mapsto^* \text{RETURN}(v_u), {}^\sigma \mathcal{ST} v' \mapsto^* \text{return } v$   
and  $(k, v_u, v) \in \mathcal{V}^U[\sigma^+]\emptyset$ .

### Proof

The first 2 cases follow from the latter cases. The third case follows from the later ones and the interpretation of  $\mathbf{0}$ .

For the last 2 cases, we proceed by nested induction on  $k, \sigma$ .

**Case**  $(k, v_u, v) \in \mathcal{V}^U[\sigma^+]\emptyset$ :

**Case 1:** trivial.

**Case**  $\sigma_1 + \sigma_2$ :  $v_u = \text{IN}(i, v'_u)$  and  $v = \text{inj}_i v'$ . By Lemma 9.7,  
 $\text{PROJECT}(\cdot, \sigma_1 + \sigma_2) \text{IN}(i, v'_u) \mapsto^* \text{let } x = \text{PROJECT}(\cdot, \sigma_i) v'_u \text{ in } \text{inj}_i x$ . Next,  ${}^{\sigma_1 + \sigma_2} \mathcal{ST} \text{inj}_i v' \mapsto^*$   
 $\text{let } x = {}^{\sigma_i} \mathcal{ST} \text{return } v' \text{ in } \text{inj}_i x$ , so the result follows by inductive hypothesis and Lemma 9.6.

**Case**  $\sigma_1 \times \sigma_2$ : By Lemma 9.7 and inductive hypothesis.

**Case**  $\sigma_1 \rightarrow \sigma_2$ :  $v_u = \text{CONS}(\text{LAMBDA}(\lambda(x_u : U). e_u), \text{CONS}(v_{env}, \text{UNIT}))$  and  $v = \text{pack}(\tau, (\lambda(x : \langle \tau', \sigma_1^+ \rangle). e, v_{env}))$   
and there exists  $R \in \text{Atom}^V[\tau]$  such that  $(k, v_{env}, v_{env}) \in R$  and  $(k, \text{LAMBDA}(\lambda(x_u : U). e_u), \lambda(x : \langle \tau', \sigma_1^+ \rangle). e) \in \mathcal{V}^U[\langle \alpha, \sigma_1^+ \rangle \rightarrow \sigma_2^\div] \rho^U$  where  $\rho^U = \rho^U[\emptyset \mapsto \alpha, \tau]R$ .

First,

$$\begin{aligned} \text{PROJECT}(\cdot, \sigma_1 \rightarrow \sigma_2) v_u &\mapsto^* \lambda(y : \delta_\sigma(\sigma_1)). \text{let } y_u = \text{EMBED}(\cdot, \sigma_1) y \text{ in} \\ &\quad \text{let } x = \text{CONS}(v_{env}, \text{CONS}(y_u, \text{UNIT})) \text{ in} \\ &\quad \text{let } x_r = \text{LAMBDA}(\lambda(x_u : U). e_u) x \text{ in} \\ &\quad \text{let } x''_u = \text{TOLHS}(x_r) \text{ in} \\ &\quad \text{PROJECT}(\cdot, \sigma_2) x''_u \end{aligned}$$

and

$$\begin{aligned} {}^{\sigma_1 \rightarrow \sigma_2} \mathcal{ST} \text{return } v &\mapsto^* \lambda(x : \sigma_1). {}^{\sigma_2} \mathcal{ST} \left( \begin{aligned} &\text{unpack } (\alpha, z) = v \text{ in let } x_f = z.1 \text{ in} \\ &\quad \text{let } x_{env} = z.2 \text{ in} \\ &\quad \text{let } x = {}^{\sigma_1} \mathcal{ST} x_f [\alpha] \langle x_{env}, x \rangle \end{aligned} \right) \end{aligned}$$

Let  $j \leq k$  and  $(j, v_{larg}, v_{rarg}) \in \mathcal{V}[\sigma_1] \emptyset$ . By Lemma 7.11, it is sufficient to show that  $(j, let y_u = \text{EMBED}(\cdot, \sigma_1) v_{larg} \text{ in } \dots, let x = \text{CONS}(v_{env}, \text{CONS}(y_u, \text{UNIT})) \text{ in } \dots)$  is in  $\mathcal{E}[\sigma_2] \emptyset$ .  
 $\sigma_2 \mathcal{ST} \text{let } x = \mathcal{T}\mathcal{S}^{\sigma_1} v_{rarg} \text{ in } (\lambda(x : \langle \tau', \sigma_1^+ \rangle). e) \langle v_{env}, x \rangle \in \mathcal{E}[\sigma_2] \emptyset$ .  
By inductive hypothesis either both  $\text{EMBED}(\cdot, \sigma_1) v_{larg} \mapsto^j$  and  $\mathcal{T}\mathcal{S}^{\sigma_1} v_{rarg} \mapsto^j$  and we're done, or  $\text{EMBED}(\cdot, \sigma_1) v_{larg} \mapsto^* v_{uarg}$  and  $\mathcal{T}\mathcal{S}^{\sigma_1} v_{rarg} \mapsto^* \text{return } v_{targ}$  where  $(j, v_{uarg}, v_{targ}) \in \mathcal{V}^U[\sigma_1^+] \emptyset$ . So it is sufficient to show  
 $(j, let x_r = \text{LAMBDA}(\lambda(x_u : U). e_u) \text{ CONS}(v_{env}, \text{CONS}(v_{uarg}, \text{UNIT})) \text{ in } \dots, let x''_u = \text{TOLHS}(x_r) \text{ in } \dots)$   
 $\sigma_2 \mathcal{ST} (\lambda(x : \langle \tau', \sigma_1^+ \rangle). e) \langle v_{env}, v_{targ} \rangle \in \mathcal{E}[\sigma_2] \emptyset$ .  
Next,  $(j, \text{CONS}(v_{env}, \text{CONS}(v_{uarg}, \text{UNIT})), \langle v_{env}, v_{targ} \rangle) \in \mathcal{V}^U[\langle \alpha, \sigma_1^+ \rangle] \rho^U$  by assumption and Lemma 9.3. Then by Lemma 9.5, it is sufficient to show for any  $l \leq j$ ,  $(l, v_r, r) \in \mathcal{R}[\sigma_2 \div] \emptyset$ ,

$$(j, let x''_u = \text{TOLHS}(v_r) \text{ in } \sigma_2 \mathcal{ST} r) \in \mathcal{E}[\sigma] \emptyset.$$

$$\text{PROJECT}(\cdot, \sigma_2) x''_u$$

Which follows by inductive hypothesis and the definition of  $\mathcal{V}^U[\mathbf{0}] \emptyset$ .

**Case  $\mu\alpha. \sigma$ :** Either  $k = 0$  and we're done or there is some  $k'$  such that  $k = k' + 1$ . In the latter case, we have  $v_u = \text{FOLD}(v'_u)$ ,  $v = \text{fold}_{\mu\alpha. \sigma^+} v'$  where  $(k', v'_u, v') \in \mathcal{V}^U[\sigma^+[\mu\alpha. \sigma^+/\alpha]] \emptyset$ . By Lemma 9.9 and further calculation,

$$\text{PROJECT}(\cdot, \mu\alpha. \sigma) \text{ FOLD}(v'_u) \mapsto^{\geq 1} \text{let } y = \text{PROJECT}(\cdot, \sigma[\alpha/\mu\alpha. \sigma]) v'_u \text{ in } \text{fold}_{\mu\alpha. \sigma} y$$

and

$$\mu\alpha. \sigma \mathcal{ST} \text{return } \text{fold}_{\mu\alpha. \sigma^+} v' \mapsto^* \text{let } x = \sigma[\mu\alpha. \sigma/\alpha] \mathcal{ST} v' \text{ in } \text{fold}_{\mu\alpha. \sigma} x$$

Then by inductive hypothesis either both  $\text{PROJECT}(\cdot, \sigma[\alpha/\mu\alpha. \sigma]) v'_u \mapsto^{k'}$  and  $\sigma[\mu\alpha. \sigma/\alpha] \mathcal{ST} v' \mapsto^{k'}$ , or  $\text{PROJECT}(\cdot, \sigma[\alpha/\mu\alpha. \sigma]) v'_u \mapsto^* v_l$  and  $\sigma[\mu\alpha. \sigma/\alpha] \mathcal{ST} v' \mapsto^* v_r$  and  $(k', v_l, v_r) \in \mathcal{V}[\sigma[\mu\alpha. \sigma/\alpha]] \emptyset$ . Then we have

$$\text{let } y = \text{PROJECT}(\cdot, \sigma[\alpha/\mu\alpha. \sigma]) v'_u \text{ in } \mapsto^* \text{fold}_{\mu\alpha. \sigma} v_l$$

$$\text{fold}_{\mu\alpha. \sigma} y$$

and

$$\text{let } x = \sigma[\mu\alpha. \sigma/\alpha] \mathcal{ST} v' \text{ in } \text{fold}_{\mu\alpha. \sigma} x \mapsto^* \text{fold}_{\mu\alpha. \sigma} v_r$$

and we have  $(k' + 1, \text{fold}_{\mu\alpha. \sigma} v_l, \text{fold}_{\mu\alpha. \sigma} v_r) \in \mathcal{V}[\mu\alpha. \sigma] \emptyset$ .

**Case  $(k, v, v') \in \mathcal{V}[\sigma] \emptyset$ :**

**Case 1:** trivial.

**Case  $\sigma_1 + \sigma_2$ :** Then  $v_1 = \text{inj}_i v_{i,1}$ ,  $v_2 = \text{inj}_i v_{i,2}$  where  $(k, v_{i,1}, v_{i,2}) \in \mathcal{V}[\sigma_i] \emptyset$ . Next by Lemma 9.7,

$$\text{EMBED}(\cdot, \sigma_1 + \sigma_2) (\text{inj}_i v_{i,1}) \mapsto^* \text{let } x' = \text{EMBED}(\cdot, \sigma_i) v_{i,1} \text{ in }$$

$$\text{IN}(i, x')$$

and

$$\mathcal{T}\mathcal{S}^{\sigma_1 + \sigma_2} (\text{inj}_i v_{i,2}) \mapsto^* \text{let } x = \mathcal{T}\mathcal{S}^{\sigma_i} v_{i,2} \text{ in } \text{return } \text{inj}_i x$$

so the result holds by inductive hypothesis and Lemma 9.6.

**Case  $\sigma_1 \times \sigma_2$ :** By straightforward computation and inductive hypothesis.

**Case  $\sigma \rightarrow \sigma'$ :** Then  $v_1 = \lambda(x_1 : \sigma). e_1$  and  $v_2 = \lambda(x_2 : \sigma). e_1$ . Next,

$$\begin{aligned} \text{EMBED}(\cdot, \sigma \rightarrow \sigma') (\lambda(x_1 : \sigma). e_1) &\mapsto^* \text{CONS}(\lambda(x_u : U). \text{let } x'_u = \text{PRJ}(2, x_u) \text{ in } \dots, \text{CONS(UNIT, UNIT)}) \\ &\quad \text{let } x = \text{PROJECT}(\cdot, \sigma) x'_u \text{ in} \\ &\quad \text{let } y = \lambda(x_1 : \sigma). e_1 \times \text{in} \\ &\quad \text{let } x''_u = \text{EMBED}(\cdot, \sigma') y \text{ in} \\ &\quad \text{RETURN}(x''_u) \end{aligned}$$

and

$$\begin{aligned} \text{return pack } (1, &\langle \lambda(z : \langle 1, \sigma^+ \rangle). \dots, \langle \rangle \rangle) \text{ as } (\sigma \rightarrow \sigma')^+ \\ &\mathcal{T}\mathcal{S}^{\sigma'} \left( \begin{array}{l} \text{let } x = {}^\sigma \mathcal{S}\mathcal{T} z. 2 \text{ in} \\ \lambda(x_2 : \sigma). e_2 \times \end{array} \right) \end{aligned}$$

For  $\tau$ , we select  $\langle \rangle$  and for  $R$  we select  $\text{Atom}^V[\langle \rangle]$ , which is obviously in  $\text{Rel}^U[\langle \rangle]$  and  $(k, \text{UNIT}, \langle \rangle) \in \mathcal{V}^U[\alpha](\emptyset[\alpha \mapsto \langle \rangle], \text{Atom}^V[\langle \rangle]) = \text{Atom}^V[\langle \rangle]$  as needed. Let  $j \leq k$  and  $(j, \text{CONS}(v'_u, \text{CONS}(v_u, \text{UNIT})), \langle v', v \rangle) \in \mathcal{V}^U[\alpha, \sigma^+](\emptyset[\alpha \mapsto \langle \rangle], \text{Atom}^V[\langle \rangle])$ . Then by Lemma 9.7 and Lemma 9.6, it is sufficient to show that

$$(j, \text{let } x = \text{PROJECT}(\cdot, \sigma) v_u \text{ in}, \mathcal{T}\mathcal{S}^{\sigma'} \left( \begin{array}{l} \text{let } x = {}^\sigma \mathcal{S}\mathcal{T} \text{return } v \text{ in} \\ \lambda(x_2 : \sigma). e_2 \times \\ \text{let } x''_u = \text{EMBED}(\cdot, \sigma') y \text{ in} \\ \text{RETURN}(x''_u) \end{array} \right)) \in \mathcal{E}^U[\sigma'^+] \emptyset$$

By inductive hypothesis either both  $\text{PROJECT}(\cdot, \sigma) v_u \mapsto^k$  and  ${}^\sigma \mathcal{S}\mathcal{T} \text{return } v \mapsto^k$ , or  $\text{PROJECT}(\cdot, \sigma) v_u \mapsto^* v_l$  and  ${}^\sigma \mathcal{S}\mathcal{T} \text{return } v \mapsto^* v_r$  and  $(j, v_l, v_r) \in \mathcal{V}[\sigma] \emptyset$ .

Then  $(j, e_1[x_1/v_l], e_2[x_2/v_r]) \in \mathcal{E}[\sigma'] \emptyset$ , so by Lemma 9.5, Lemma 9.6 and computation, it is sufficient to show that for any  $j' \leq j$ ,  $(j', v_{l,2}, v_{r,2}) \in \mathcal{V}[\sigma'] \emptyset$ ,

$$(j', \text{let } x''_u = \text{EMBED}(\cdot, \sigma') v_{l,2} \text{ in}, \mathcal{T}\mathcal{S}^{\sigma'} v_{r,2}) \in \mathcal{E}^U[\sigma'^+] \emptyset$$

which follows by inductive hypothesis.

**Case  $\mu\alpha.\sigma$ :** If  $k = 0$ , we're done. Otherwise  $k = k' + 1$ ,  $v = \text{fold}_{\mu\alpha.\sigma} v_l$  and  $v' = \text{fold}_{\mu\alpha.\sigma} v_r$ . By Lemma 9.9 and further calculation,

$$\text{EMBED}(\cdot, \mu\alpha. \sigma) \text{ fold}_{\mu\alpha.\sigma} v_l \mapsto^{\geq 1} \text{let } y_u = \text{EMBED}(\cdot, \sigma[\mu\alpha. \sigma/\alpha]) v_l \text{ in } \text{FOLD}(y_u)$$

and

$$\mathcal{T}\mathcal{S}^{\mu\alpha.\sigma} \text{ fold}_{\mu\alpha.\sigma} v_r \mapsto^{\geq 1} \text{let } x = \mathcal{T}\mathcal{S}^{\sigma[\mu\alpha.\sigma/\alpha]} v_r \text{ in return } \text{fold}_{(\mu\alpha.\sigma)^+} x$$

By inductive hypothesis either both  $\text{EMBED}(\cdot, \sigma[\mu\alpha. \sigma/\alpha]) v_l \mapsto^k$  and  $\mathcal{T}\mathcal{S}^{\sigma[\mu\alpha.\sigma/\alpha]} v_r \mapsto^k$ , or  $\text{EMBED}(\cdot, \sigma[\mu\alpha. \sigma/\alpha]) v_l \mapsto^* v_u$  and  $\mathcal{T}\mathcal{S}^{\sigma[\mu\alpha.\sigma/\alpha]} v_r \mapsto^* \text{return } v$  and  $(k', v_u, v) \in \mathcal{V}[\sigma[\mu\alpha. \sigma/\alpha]] \emptyset$ . Thus, we have

$$\begin{aligned} \text{let } y_u = \text{EMBED}(\cdot, \sigma[\mu\alpha. \sigma/\alpha]) v_l \text{ in} &\mapsto^* \text{FOLD}(v_u) \\ \text{FOLD}(y_u) \end{aligned}$$

and

$$\text{let } x = \mathcal{T}\mathcal{S}^{\sigma[\mu\alpha.\sigma/\alpha]} v_r \text{ in return } \text{fold}_{(\mu\alpha.\sigma)^+} x \mapsto^* \text{return } \text{fold}_{(\mu\alpha.\sigma)^+} v$$

and we have  $(k' + 1, \text{FOLD}(v_u), \text{fold}_{(\mu\alpha.\sigma)^+} v) \in \mathcal{V}^U[(\mu\alpha. \sigma)^+] \emptyset$ .

□

### Theorem 9.11 (Interpreter Fundamental Property)

1. If  $\Delta; \Gamma \vdash v : \sigma$  and  $\Delta; \Gamma \vdash v : \sigma \rightarrow v'$ , then  $\Delta; \Gamma \vdash v' \approx_{\mathcal{V}^U}^{log} v : \sigma$ .
2. If  $\Delta; \Gamma \vdash e : \sigma$  and  $\Delta; \Gamma \vdash e : \sigma \rightarrow e'$ , then  $\Delta; \Gamma \vdash e' \approx_{\mathcal{E}^U}^{log} e : \sigma$
3. If  $\Delta; \Gamma \vdash v : \tau$  and  $\Delta; \Gamma \vdash^+ v : \tau \rightarrow v_u$ , then  $\Delta; \Gamma \vdash v_u \approx_{\mathcal{V}^U}^{log} v : \tau$ .
4. If  $\Delta; \Gamma \vdash r : \theta$  and  $\Delta; \Gamma \vdash^\div r : \theta \rightarrow v_u$ , then  $\Delta; \Gamma \vdash v_u \approx_{\mathcal{R}^U}^{log} r : \theta$ .
5. If  $\Delta; \Gamma \vdash e : \theta$  and  $\Delta; \Gamma \vdash^\div e : \theta \rightarrow e_u$ , then  $\Delta; \Gamma \vdash e_u \approx_{\mathcal{E}^U}^{log} e : \theta$ .

#### Proof

By induction over the implicit  $k$  and mutual induction over typing/translation derivations.

For each case let  $\rho^U \in \mathcal{D}^U[\Delta]$  and  $(k, \gamma^U) \in \mathcal{G}^U[\Gamma]\rho^U$ .

**Case**  $\Delta; \Gamma \vdash v : \sigma$  and  $\Delta; \Gamma \vdash v : \sigma \rightarrow v'$ . We need to show that  $\Delta; \Gamma \vdash v' \approx_{\mathcal{V}^U}^{log} v : \sigma$ . Every case follows by the same reasoning as in the proof of Theorem 7.38.

**Case**  $\Delta; \Gamma \vdash e : \sigma$  and  $\Delta; \Gamma \vdash e : \sigma \rightarrow e'$ . We need to show that  $\Delta; \Gamma \vdash e' \approx_{\mathcal{E}^U}^{log} e : \sigma$ . Almost every case follows as in Theorem 7.38.

**Case**  $e = {}^\sigma \mathcal{ST} e$  then  $e' = \text{let } x = e_u \text{ in } \text{PROJECT}(\sigma) x$ . We need to show that

$$(k, \text{let } x = \gamma^U(e_u) \text{ in } \text{PROJECT}(\sigma) x, {}^\sigma \mathcal{ST} \rho^U(\gamma^U(e))) \in \mathcal{E}[\sigma] \emptyset.$$

By inductive hypothesis,  $(k, \gamma^U(e_u), \rho^U(\gamma^U(e))) \in \mathcal{E}^U[\sigma^\div] \rho^U$ . Then by Lemma 9.5, it is sufficient to show that for any  $j \leq k$ ,  $(j, v_u, r) \in \mathcal{R}^U[\sigma^\div] \rho^U$ ,

$$(j, \text{let } x = v_u \text{ in } \text{PROJECT}(\sigma) x, {}^\sigma \mathcal{ST} r) \in \mathcal{E}[\sigma] \emptyset.$$

The result then holds by Lemma 9.10.

**Case**  $\Delta; \Gamma \vdash v : \tau$  and  $\Delta; \Gamma \vdash^+ v : \tau \rightarrow v_u$ . We need to show that  $\Delta; \Gamma \vdash v_u \approx_{\mathcal{V}^U}^{log} v : \tau$ . Let  $\rho^U, k, \gamma^U$  as appropriate. Most cases follow immediately by definition.

**Case**  $\Delta; \Gamma \vdash^+ \lambda[\alpha](x:\tau). e : \forall[\alpha]. \tau \rightarrow \theta \rightarrow \text{LAMBDA}(\lambda(x:U). e_u)$ , where  $\alpha; x : \tau \vdash^\div e : \theta \rightarrow e_u$ . Given  $\cdot \vdash \tau', R \in \text{Rel}^U[\rho^U(\tau')]$ ,  $j \leq k$ ,  $(j, v_u, v) \in \mathcal{V}^U[\tau] \rho^U$  where  $\rho^U = \rho^U[\alpha \mapsto \tau', R]$ , we need to show that  $(j, \gamma^U(e_u)[x_u/v_u], \rho^U(\gamma^U(e))[\alpha/\tau'][x/v]) \in \mathcal{E}^U[\theta] \rho^U$ . Since  $e, e_u$  only have  $\alpha, x$  and  $x$  free in them, this is equivalent to showing that  $(j, e_u[x_u/v_u], e[\alpha/\tau'][x/v]) \in \mathcal{E}^U[\theta] \rho^U$ . By repeated use of Lemma 9.1, this is equivalent to showing  $(j, e_u[x_u/v_u], e[\alpha/\tau'][x/v]) \in \mathcal{E}^U[\theta](\emptyset[\alpha \mapsto \tau', R])$ , which holds by inductive hypothesis.

**Case**  $\Delta; \Gamma \vdash^+ \text{pack}(\tau', v) \text{ as } \exists \alpha. \tau : \exists \alpha. \tau \rightarrow v_u$ , where  $\Delta; \Gamma \vdash^+ v : \tau'[\alpha] \rightarrow v_u$ . Choose  $R = \mathcal{V}^U[\tau'] \rho^U$ , which is a valid choice by Lemma 9.4. Then the result holds by inductive hypothesis and Lemma 9.2.

**Case**  $\Delta; \Gamma \vdash^+ \text{fold}_{\mu\alpha. \tau} v : \mu\alpha. \tau \rightarrow \text{FOLD}(v_u)$ , where  $\Delta; \Gamma \vdash^+ v : \tau[\mu\alpha. \tau/\alpha] \rightarrow v_u$ . If  $k = 0$ , we're done. Otherwise the result holds by inductive hypothesis.

**Case**  $\Delta; \Gamma \vdash r : \theta$  and  $\Delta; \Gamma \vdash^\div r : \theta \rightarrow v_u$ . We need to show that  $\Delta; \Gamma \vdash v_u \approx_{\mathcal{R}^U}^{log} r : \theta$ . Both cases follow immediately by definition.

**Case**  $\Delta; \Gamma \vdash e : \theta$  and  $\Delta; \Gamma \vdash^\div e : \theta \rightarrow e_u$ . We need to show that  $\Delta; \Gamma \vdash e_u \approx_{\mathcal{E}^U}^{log} e : \theta$ . Most cases follow immediately by definition, Lemma 9.7 and Lemma 9.6.

**Case**  $\Delta; \Gamma \vdash^{\div} \mathcal{TS}^{\sigma} e : \sigma^{\div} \rightarrow \text{let } x = e_u \text{ in } \text{EMBED}(\sigma) x$  where  $\Delta; \Gamma \vdash e : \sigma \rightarrow e_u$ .

By inductive hypothesis, we know  $(k, \gamma^U(e_u), \rho^U(\gamma^U(e))) \in \mathcal{E}^U[\sigma^{\div}] \rho^U$  and we need to show that

$$(k, \text{let } x = \gamma^U(e_u) \text{ in } \text{EMBED}(\sigma) x, \mathcal{TS}^{\sigma} \rho^U(\gamma^U(e))) \in \mathcal{E}[\sigma] \emptyset.$$

Then the result holds by Lemma 9.5 and Lemma 9.10.

**Case**  $\Delta; \Gamma \vdash^{\div} v_1 [\tau'] v_2 : \theta[\tau'/\alpha] \rightarrow \text{let } x = \text{TOFUN}(v_1) \text{ in } x v_2$  where

$\Delta; \Gamma \vdash^+ v_1 : \forall[\alpha]. \tau \rightarrow \theta \rightarrow v_1$ , and  $\Delta; \Gamma \vdash^+ v_2 : \tau[\tau'/\alpha] \rightarrow v_2$ .

By inductive hypothesis,  $(k, \gamma^U(v_1), \rho^U(\gamma^U(v_1))) \in \mathcal{V}^U[\forall[\alpha]. \tau \rightarrow \theta] \rho^U$  so in particular  $\gamma^U(v_1) = \text{LAMBDA}(\lambda(x_u : U). e)$  and  $\rho^U(\gamma^U(v_1)) = \lambda[\alpha](x : \tau). e$ . Next by Lemma 9.7, Lemma 9.6 it is sufficient to show  $(k, e[x_u / \gamma^U(v_2)], e[\alpha/\tau'][x/\rho^U(\gamma^U(v_2))]) \in \mathcal{V}^U[\theta[\alpha/\tau']] \rho^U$ . By picking  $\rho^{U'} = \rho^U[\alpha \mapsto \tau', \mathcal{V}^U[\tau'] \rho^U]$ , the result follows by inductive hypothesis, Lemma 9.4 and Lemma 9.2.

**Case**  $\Delta; \Gamma \vdash^{\div} \text{unpack } (\alpha, x) = v \text{ in } e : \theta \rightarrow \text{let } x = v_u \text{ in } e_u$  where  $\Delta; \Gamma \vdash^+ v : \exists \alpha. \tau \rightarrow v_u$  and  $\Delta, \alpha; \Gamma, x : \tau \vdash^{\div} e$

By inductive hypothesis,  $\rho^U(\gamma^U(v)) = \text{pack } (\tau', v')$  as  $\exists \alpha. \tau$  and there exists  $R \in \text{Rel}^U[\rho^U(\tau')]$  such that  $(k, v'_u, v') \in \mathcal{V}^U[\tau] \rho^U$  where  $\rho^{U'} = \rho^U[\alpha \mapsto \tau', R]$ . Then by Lemma 9.6 and Lemma 9.7 it is sufficient to show  $(k, \gamma^U(e_u)[v'_u/x], \rho^U(\gamma^U(e))[\tau'/\alpha][v'/x]) \in \mathcal{E}^U[\tau] \rho^U$ . Since  $\alpha$  is not free in  $\tau$ , by Lemma 9.1,  $\mathcal{E}^U[\tau] \rho^U = \mathcal{E}^U[\tau] \rho^{U'}$ . Then the result follows by inductive hypothesis since  $\rho^{U'} \in \mathcal{D}^U[\Delta, \alpha]$  and  $\gamma^U[x \mapsto v'_u, v'] \in \mathcal{G}^U[\Gamma] \rho^{U'}$  by Lemma 9.1.

**Case**  $\Delta; \Gamma \vdash^{\div} \text{unfold } v : \tau[\mu\alpha. \tau/\alpha] \rightarrow \text{let } x = \text{TOFOLD}(v_u) \text{ in } \text{RETURN}(x)$  where

$\Delta; \Gamma \vdash^+ v : E \tau_{\text{exn}} \mu\alpha. \tau \rightarrow v_u$ . If  $k = 0$ , we're done. Otherwise  $k = k' + 1$ , by inductive hypothesis  $\gamma^U(v_u) = \text{FOLD}(v'_u)$ , and  $\rho^U(\gamma^U(v)) = \text{fold}_{\mu\alpha. \tau} v'$  and  $(k', v_u, v') \in \mathcal{V}^U[\tau[\mu\alpha. \tau/\alpha]]$ . Next, by Lemma 9.7,  $\text{let } x = \text{TOFOLD}(\text{FOLD}(v'_u)) \text{ in } \text{RETURN}(x) \mapsto^{\geq 1} \text{RETURN}(v'_u)$  and  $\text{unfold } \text{fold}_{\mu\alpha. \tau} v' \mapsto \text{return } v'$ . Then the result holds by Lemma 9.6 and definition of  $\mathcal{K}^U[\cdot]$ .

**Case**  $\Delta; \Gamma \vdash^{\div} \text{handle } e \text{ with } (x_1, e_1) (x_2, e_2) : \theta \rightarrow \text{let } x_r = e \text{ in case } x_r \text{ of}$ ,

$$\begin{array}{c} x_1 . e_1 \\ x_2 . e_2 \end{array}$$

where  $\Delta; \Gamma \vdash^{\div} e : E \tau_{\text{exn}} \tau \rightarrow e$ ,  $\Delta; \Gamma, x_1 : \tau \vdash^{\div} e_1 : \theta \rightarrow e_1$ , and

$\Delta; \Gamma, x_1 : \tau_{\text{exn}} \vdash^{\div} e_2 : \theta \rightarrow e_2$ .

By inductive hypothesis and Lemma 9.5, it is sufficient to suppose  $j \leq k$ ,  $(j, v_r, r) \in \mathcal{R}^U[\theta] \rho^U$  and prove  $(j, \text{let } x_r = v_r \text{ in case } x_r \text{ of } , \text{handle } r \text{ with } (x_1 . \rho^U(\gamma^U(e_1))) (x_2 . \rho^U(\gamma^U(e_2)))) \in \mathcal{E}^U[\theta] \rho^U$ .

$$\begin{array}{c} x_1 . \gamma^U(e_1) \\ x_2 . \gamma^U(e_2) \end{array}$$

There are two cases, we consider the case where  $v_r = \text{RETURN}(v_u)$  and  $r = \text{return } v$ , the other case is symmetric.

By computation and Lemma 9.6, it is sufficient to show  $(j, \gamma^U(e_1)[x_1/v_u], \rho^U(\gamma^U(e_1))[x/v]) \in \mathcal{E}^U[\theta] \rho^U$ .

By inductive hypothesis it is sufficient to show that  $(j, \gamma^U[x_1 \mapsto v_u, v]) \in \mathcal{G}^U[\Gamma, x_1 : \tau_1] \rho^U$ , which holds by assumptions about  $v_u, v$  and Lemma 9.3.

□

**Lemma 9.12 (Universal Type Equivalence and Logical Equivalence Coincide in Source Contexts)**

$$\cdot; \Gamma \vdash e' \approx_{\mathcal{E}}^{\log} e : \sigma \text{ iff } \cdot; \Gamma \vdash e' \approx_{\mathcal{E}^U}^{\log} e : \sigma.$$

**Proof**

Follows directly from  $\mathcal{G}[\Gamma] \emptyset$  iff  $\mathcal{G}^U[\Gamma] \emptyset$  which is direct from the definition.

□

**Theorem 9.13 (Back Translation Preserves Equivalence)**

$$\text{If } \cdot; \Gamma \vdash e' \approx_{\mathcal{E}}^{\log} e : \sigma \text{ and } \cdot; \Gamma \vdash e' : \sigma \rightarrow e'', \text{ then } \cdot; \Gamma \vdash e'' \approx_{\mathcal{E}}^{\log} e : \sigma.$$

## Proof

Direct corollary of Lemma 9.12 and Theorem 9.11.  $\square$

### Lemma 9.14 (Back Translation is Identity on Source Terms)

1. If  $e \in \lambda^S$  and  $\cdot; \Gamma \vdash e : \sigma \rightarrow e'$  then  $e = e'$ .
2. If  $v \in \lambda^S$  and  $\cdot; \Gamma \vdash v : \sigma \rightarrow v'$  then  $v = v'$ .

## Proof

Trivial by induction.  $\square$

### Lemma 9.15 (Context Back-Translation)

If  $\Delta; \Gamma \vdash e_1 : \sigma \rightarrow e'_1$  and  $\Delta; \Gamma \vdash e_2 : \sigma \rightarrow e'_2$ , then if  $\Delta'; \Gamma' \vdash C[e_1] : \sigma' \rightarrow e'$ , and  $\Delta'; \Gamma' \vdash C[e_2] : \sigma' \rightarrow e''$ , then there exists  $C$  such that  $e' = C[e'_1]$  and  $e'' = C[e'_2]$ .

## Proof

By induction on contexts. The construction can be realized by lifting the back-translation to contexts, adding a new rule:

$$\overline{\Delta; \Gamma \vdash [\cdot] : \sigma \rightarrow [\cdot]}$$

$\square$

## 10 Translation Correctness

### 10.1 Semantics Preservation

**Theorem 10.1 (Type Preservation)**

1. If  $\Gamma \vdash v : \sigma$  and  $\Gamma \vdash v : \sigma \rightsquigarrow_v v$ , then  $\cdot ; \Gamma^+ \vdash v : \sigma^+$ .
2. If  $\Gamma \vdash e : \sigma$  and  $\Gamma \vdash e : \sigma \rightsquigarrow_e e$ , then  $\cdot ; \Gamma^+ \vdash e : \sigma^\dagger$ .

**Proof**

Proved simultaneously by mutual induction on the structure of  $v$  and  $e$ . We consider only the abstraction introduction case, all others follow trivially by induction.

If  $\Gamma \vdash \lambda(x:\sigma). e : \sigma \rightarrow \sigma'$ , then

```

v = pack (τenv, λ(z:(τenv, σ+)).  

          let xenv = return0 z.1 in  

          let y1 = return0 xenv.1 in  

          :  

          let yn = return0 xenv.n in  

          let x = return0 z.2 in e
    )
  
```

Where  $\text{fv}(\lambda(x:\sigma'). e) = (y_1, \dots, y_n)$ ,  $\Gamma(y_i) = \sigma_i$ ,  $\Gamma' = (y_1 : \sigma_1, \dots, y_n : \sigma_n)$ ,  $\tau_{\text{env}} = \langle \sigma_1^+, \dots, \sigma_n^+ \rangle$ , and  $\Gamma', x : \sigma \vdash e : \sigma' \rightsquigarrow_e e$ .

We need to show that  $\cdot ; \Gamma^+ \vdash v : \exists \alpha. \langle (\langle \alpha, \sigma^+ \rangle \rightarrow \sigma'^\dagger), \alpha \rangle$ .

Applying the typing rules, this reduces to showing that  $\cdot ; x_{\text{env}} : \tau_{\text{env}}, \Gamma'^+, x : \sigma^+ \vdash e : \sigma'^\dagger$ .

By weakening it is sufficient to show that  $\cdot ; \Gamma'^+, x : \sigma^+ \vdash e : \sigma'^\dagger$  since  $x_{\text{env}} \notin \text{fve}$ .

By inductive hypothesis and the fact that  $\Gamma', x : \sigma \vdash e : \sigma' \rightsquigarrow_e e$ , it is sufficient to show that  $\Gamma', x : \sigma \vdash e : \sigma'$ . Which holds by the fact that  $\Gamma, x : \sigma \vdash e : \sigma'$  and that  $\Gamma'$  is a subset of  $\Gamma$  containing all of the free variables in  $e$  besides  $x$ .  $\square$

**Lemma 10.2 (Translation Weakening)**

If  $\Gamma \vdash e : \sigma$  and  $\Gamma \vdash e : \sigma \rightsquigarrow_e e$ , then for any  $\Gamma' \subset \Gamma$  such that  $\Gamma \vdash e : \sigma$ ,  $\Gamma' \vdash e : \sigma \rightsquigarrow_e e$ .

**Proof**

By induction on  $e$ .  $\square$

**Lemma 10.3 (Context Translation)**

If  $\vdash C : (\Gamma \vdash \sigma) \Rightarrow (\Gamma' \vdash \sigma')$ ,  $\Gamma \vdash e : \sigma$  and  $\Gamma \vdash e : \sigma \rightsquigarrow_e e$ , then there exists  $C$  such that  $\Gamma' \vdash C[e] : \sigma \rightsquigarrow_e C[e]$ . Furthermore if  $\Gamma \vdash e' : \sigma$  and  $\Gamma \vdash e' : \sigma \rightsquigarrow_e e'$ , then  $\Gamma' \vdash C[e] : \sigma \rightsquigarrow_e C[e']$ .

**Proof**

Both follow by induction on  $C$ , using Lemma 10.2 in the abstraction case.  $\square$

**Lemma 10.4 (Boundary Terminates (Source to Target))**

If  $\cdot \vdash$

If  $\Delta ; \cdot \vdash v : \sigma$ , then there exist  $n, v$  such that  $\text{TS}^\sigma v \xrightarrow{n} \text{return}_0 v$ .

**Proof**

By induction on the typing derivation. We omit the cases for unit, sums, and pairs.

**Case**  $\Delta; \cdot \vdash v : \sigma_1 \rightarrow \sigma_2$ : Then  $\mathcal{TS}^{\sigma_1 \rightarrow \sigma_2} v \mapsto \lambda(z : \langle 1, \sigma_1^+ \rangle)$ .

$$\mathcal{TS}^{\sigma_2} \left( \begin{array}{l} \text{let } a = \sigma_1 \mathcal{ST} \text{return}_0 z.2 \text{ in} \\ v a \end{array} \right)$$

**Case**  $\Delta; \cdot \vdash \text{fold}_{\mu\alpha.\sigma'} v' : \mu\alpha. \sigma'$ :

First,  $\mathcal{TS}^{\mu\alpha.\sigma'} \text{fold}_{\mu\alpha.\sigma'} v' \mapsto^2 \text{let } v = \mathcal{TS}^{\sigma[\mu\alpha.\sigma/\alpha]} v' \text{ in return}_0 \text{fold}_{\mu\alpha.\tau} v$ . By inductive hypothesis there exist  $n, v'$  such that  $\mathcal{TS}^{\sigma[\mu\alpha.\sigma/\alpha]} v' \mapsto^n \text{return}_0 v'$ . Then by definition of the operational semantics,  $\mathcal{TS}^{\mu\alpha.\sigma'} \text{fold}_{\mu\alpha.\sigma'} v' \mapsto^{n+3} \text{return}_0 \text{fold}_{\mu\alpha.\tau} v'$ .

□

**Lemma 10.5 (Boundary Terminates (Target to Source))**

If  $\Delta; \cdot \vdash v : \sigma^+$ , then there exist  $n, v$  such that  $\sigma \mathcal{ST} \text{return } v \mapsto^n v$ .

**Proof**

By induction on the typing derivation. We omit the cases for sums and tuples.

**Case**  $\Delta; \cdot \vdash v : \sigma_1 \rightarrow \sigma_2^+$ : Then  $\sigma_1 \rightarrow \sigma_2 \mathcal{ST} \text{return } v \mapsto$

$$\lambda(x : \sigma_1). \sigma_2 \mathcal{ST} \left( \begin{array}{l} \text{unpack } (\alpha, z) = v \text{ in let } x_f = \text{return}_0 z.1 \text{ in} \\ \quad \text{let } x_{\text{env}} = \text{return}_0 z.2 \text{ in} \\ \quad \text{let } x = \mathcal{TS}^{\sigma_1} x \text{ in } x_f [\alpha] \langle x_{\text{env}}, x \rangle \end{array} \right)$$

**Case**  $\Delta; \cdot \vdash \text{fold}_{\mu\alpha.\sigma'^+} v' : \mu\alpha. \sigma'^+$ : Directly analogous to the case in Lemma 10.4.

□

**Lemma 10.6 (Boundary Cancellation (Source round-trip))**

If  $\rho \in \mathcal{D}[\Delta]$  and  $\cdot \vdash \sigma$ , then

1. If  $(k, e_1, e_2) \in \mathcal{E}[\sigma] \rho$  then  $(k, e_1, \sigma \mathcal{ST} \mathcal{TS}^\sigma e_2) \in \mathcal{E}[\sigma] \rho$
2. If  $(k, v_1, v_2) \in \mathcal{V}[\sigma] \rho$  and  $\sigma \mathcal{ST} \mathcal{TS}^\sigma v_2 \mapsto^n v'_2$  then  $(k, v_1, v'_2) \in \mathcal{V}[\sigma] \rho$

**Proof**

Proved simultaneously by induction on  $k$  and  $\sigma$ . We omit the cases for unit, sums, and pairs.

1. By Lemma 7.9, it is sufficient to prove that for every  $j \leq k$ , if  $(j, v_1, v_2) \in \mathcal{V}[\sigma] \rho$  then  $(j, v_1, \sigma \mathcal{ST} \mathcal{TS}^\sigma v_2) \in \mathcal{E}[\sigma] \rho$ . Then by Lemma 10.5, Lemma 10.4,  $\sigma \mathcal{ST} \mathcal{TS}^\sigma v_2 \mapsto^n v'_2$  for some  $n, v'_2$ , so the result holds by inductive hypothesis, Lemma 7.11 and Lemma 7.8.

2. Values

**Case**  $\sigma = \sigma_1 \rightarrow \sigma_2$ :

By definition of  $\mathcal{V}[\sigma_1 \rightarrow \sigma_2] \rho$ ,  $v_1 = \lambda(x : \sigma_1). e_1$  and  $v_2 = \lambda(x : \sigma_1). e_2$  where for every  $j \leq k$ ,  $(j, v''_1, v''_2) \in \mathcal{V}[\sigma_1] \rho$ ,  $(j, e_1[v''_1/x], e_2[v''_2/x]) \in \mathcal{E}[\sigma_2] \rho$ .

Then, as in Lemma 10.4 and Lemma 10.5,

$$\sigma \mathcal{ST} \mathcal{TS}^\sigma v_2 \mapsto$$

$$\sigma \mathcal{ST} \text{return}_0 \text{pack } (1, \langle \lambda(z : \langle 1, \sigma_1^+ \rangle) \rangle, \langle \rangle) \text{ as } (\sigma_1 \rightarrow \sigma_2)^+$$

$$\mathcal{TS}^{\sigma_2} \left( \begin{array}{l} \text{let } a = \sigma_1 \mathcal{ST} \text{return}_0 z.2 \text{ in} \\ v_2 a \end{array} \right)$$

$$\xrightarrow{\quad} \left( \begin{array}{l} \lambda(y : \sigma_1). \stackrel{\sigma_2}{\mathcal{ST}} \\ \text{unpack } (\alpha, w) = \text{pack } (1, \langle \lambda(z : \langle 1, \sigma_1^+ \rangle). \\ \quad \quad \quad \mathcal{T}\mathcal{S}^{\sigma_2} \left( \begin{array}{l} \text{let } a = \stackrel{\sigma_1}{\mathcal{ST}} \text{return}_0 z.2 \text{ in} \\ v_2 a \end{array} \right) \\ \text{let } x_f = \text{return}_0 w.1 \text{ in} \\ \text{let } x_{\text{env}} = w.2 \text{ in} \\ \text{let } x = \mathcal{T}\mathcal{S}^{\sigma_1} v'_2 \text{ in } x_f [\alpha] \langle x_{\text{env}}, x \rangle \end{array} \right), \langle \rangle \rangle \text{ as } (\sigma_1 \rightarrow \sigma_2)^+ \text{ in} \right)$$

Then this is  $v'_2$ , so we need to show that  $(k, v_1, v'_2) \in \mathcal{V}[\sigma_1 \rightarrow \sigma_2] \rho$ .

Suppose  $j \leq k$ ,  $(j, v''_1, v''_1) \in \mathcal{V}[\sigma_1] \rho$ . We need to show

$(j, e_1[v''_1/x])$ ,

$$\left( \begin{array}{l} \stackrel{\sigma_2}{\mathcal{ST}} \\ \text{unpack } (\alpha, w) = \text{pack } (1, \langle \lambda(z : \langle 1, \sigma_1^+ \rangle). \\ \quad \quad \quad \mathcal{T}\mathcal{S}^{\sigma_2} \left( \begin{array}{l} \text{let } a = \stackrel{\sigma_1}{\mathcal{ST}} \text{return}_0 z.2 \text{ in} \\ v_2 a \end{array} \right) \\ \text{let } x_f = \text{return}_0 w.1 \text{ in} \\ \text{let } x_{\text{env}} = w.2 \text{ in} \\ \text{let } x = \mathcal{T}\mathcal{S}^{\sigma_1} v''_2 \text{ in } x_f [\alpha] \langle x_{\text{env}}, x \rangle \end{array} \right) \in \mathcal{V}[\sigma_2] \rho. \right)$$

$$\text{First, } \stackrel{\sigma_2}{\mathcal{ST}} \left( \begin{array}{l} \text{unpack } (\alpha, w) = \text{pack } (1, \langle \lambda(z : \langle 1, \sigma_1^+ \rangle). \\ \quad \quad \quad \mathcal{T}\mathcal{S}^{\sigma_2} \left( \begin{array}{l} \text{let } a = \stackrel{\sigma_1}{\mathcal{ST}} \text{return}_0 z.2 \text{ in} \\ v_2 a \end{array} \right) \\ \text{let } x_f = \text{return}_0 w.1 \text{ in} \\ \text{let } x_{\text{env}} = w.2 \text{ in} \\ \text{let } x = \mathcal{T}\mathcal{S}^{\sigma_1} v''_2 \text{ in } x_f [\alpha] \langle x_{\text{env}}, x \rangle \end{array} \right)$$

$\xrightarrow{5}$

$$\stackrel{\sigma_2}{\mathcal{ST}} \text{let } x = \mathcal{T}\mathcal{S}^{\sigma_1} v'_2 \text{ in} \left( \begin{array}{l} \lambda(z : \langle 1, \sigma_1^+ \rangle). \\ \quad \quad \quad \mathcal{T}\mathcal{S}^{\sigma_2} \left( \begin{array}{l} \text{let } a = \stackrel{\sigma_1}{\mathcal{ST}} \text{return}_0 z.2 \text{ in} \\ v_2 a \end{array} \right) \end{array} \right) [\alpha] \langle \langle \rangle, x \rangle.$$

By Lemma 10.4,  $\mathcal{TS}^{\sigma_1} v_2''' \xrightarrow{n} v_2'''$  for some  $n, v_2'''$ . Then,

$$\begin{aligned} & \sigma_2 \mathcal{ST} \text{let } x = \mathcal{TS}^{\sigma_1} v_2' \text{ in } \left( \lambda(z : \langle 1, \sigma_1^+ \rangle). \right. \\ & \quad \left. \mathcal{TS}^{\sigma_2} \left( \text{let } a = \sigma_1 \mathcal{ST} \text{return}_0 z. 2 \text{ in } v_2 a \right) \right) [\alpha] \langle \langle \rangle, x \rangle \\ & \xrightarrow{4} \sigma_2 \mathcal{ST} \mathcal{TS}^{\sigma_2} \left( \text{let } a = \sigma_1 \mathcal{ST} \text{return}_0 v_2''' \text{ in } v_2 a \right) \end{aligned}$$

By Lemma 10.5,  $\sigma_1 \mathcal{ST} \text{return}_0 v_2''' \xrightarrow{m} v_2'''$  for some  $m, v_2'''$ . Note that this means  $\sigma_1 \mathcal{ST} \mathcal{TS}^{\sigma_1} v_2'' \xrightarrow{m+n} v_2'''$ , so by inductive hypothesis,  $(j, v_1'', v_2''') \in \mathcal{V}[\sigma_1] \rho$ . Finally,

$$\sigma_2 \mathcal{ST} \mathcal{TS}^{\sigma_2} \left( \text{let } a = \sigma_1 \mathcal{ST} \text{return}_0 v_2''' \text{ in } v_2 a \right) \xrightarrow{m+2} \sigma_2 \mathcal{ST} \mathcal{TS}^{\sigma_2} e_2[v_2''' / x],$$

so by Lemma 7.11, it is sufficient to prove  $(j, e_1[v_1'' / x], \sigma_2 \mathcal{ST} \mathcal{TS}^{\sigma_2} e_2[v_2''' / x])$  which holds by inductive hypothesis and the fact that  $(j, e_1[v_1'' / x], e_2[v_2'' / x]) \in \mathcal{E}[\sigma_2] \rho$ .

**Case  $\sigma = \mu\alpha.\sigma'$ :** By definition of  $\mathcal{V}[\mu\alpha.\sigma'] \rho$ ,  $v_1 = \text{fold}_{\mu\alpha.\sigma'} v_1'$  and  $v_2 = \text{fold}_{\mu\alpha.\sigma'} v_2'$ . where  $(k-1, v_1', v_2') \in \mathcal{V}[\sigma'[\mu\alpha.\sigma'/\alpha]] \rho$ .

Next as in the proof of Lemma 10.4,  $\mu\alpha.\sigma' \mathcal{ST} \mathcal{TS}^{\mu\alpha.\sigma'} 3 \xrightarrow{n+3} \mu\alpha.\sigma' \mathcal{ST} \text{return}_0 \text{fold}_{\mu\alpha.\sigma'+} v_2'$  where  $\mathcal{TS}^{\sigma'[\mu\alpha.\sigma'/\alpha]} v_2'' \xrightarrow{n} \text{return } v_2''$ . Then as in the proof of Lemma 10.5,  $\mu\alpha.\sigma' \mathcal{ST} \text{return}_0 \text{fold}_{\mu\alpha.\sigma'+} v_2'' \xrightarrow{m+3} \text{fold}_{\mu\alpha.\sigma'} v_2'''$  where  $\sigma'[\mu\alpha.\sigma'/\alpha] \mathcal{ST} \text{return } v_2'' \xrightarrow{m} v_2'''$ . Then  $\sigma'[\mu\alpha.\sigma'/\alpha] \mathcal{ST} \mathcal{TS}^{\sigma'[\mu\alpha.\sigma'/\alpha]} v_2'' \xrightarrow{m+n} v_2'''$ , so by inductive hypothesis  $(k-1, v_1', v_2') \in \mathcal{V}[\sigma'[\mu\alpha.\sigma'/\alpha]] \rho$ .

□

### Lemma 10.7 (Boundary Cancellation (Target round-trip))

If  $\rho \in \mathcal{D}[\Delta]$  and  $\cdot \vdash \sigma$ , then

1. If  $(k, e_1, e_2) \in \mathcal{E}[\sigma^\div] \rho$  then  $(k, e_1, \mathcal{TS}^{\sigma \sigma} \mathcal{ST} e_2) \in \mathcal{E}[\sigma^\div] \rho$
2. If  $(k, v_1, v_2) \in \mathcal{V}[\sigma^+] \rho$  and  $\mathcal{TS}^{\sigma \sigma} \mathcal{ST} \text{return } v_2 \xrightarrow{n} \text{return } v_2'$  then  $(k, v_1, v_2') \in \mathcal{V}[\sigma^+] \rho$

### Proof

1. Applying Lemma 7.9 there are two cases.

**Case** Suppose  $j \leq k$  and  $(j, v_1, v_2) \in \mathcal{V}[\sigma^+] \rho$ . We need to show that  $(j, \text{return } v_1, \mathcal{TS}^{\sigma \sigma} \mathcal{ST} \text{return } v_2) \in \mathcal{E}[\sigma^\div] \rho$ .

By Lemma 10.5 and Lemma 10.4, there exist  $n, v_2'$  such that  $\mathcal{TS}^{\sigma \sigma} \mathcal{ST} \text{return } v_2 \xrightarrow{n} \text{return } v_2'$ . By Lemma 7.11, it is sufficient to show that  $(j, \text{return } v_1, \text{return } v_2') \in \mathcal{E}[\sigma^\div] \rho$ , which holds by Lemma 7.8 and part 2.

**Case** Suppose  $j \leq k$  and  $(j, v_1, v_2) \in \mathcal{V}[\mathbf{0}] \rho$ . We need to show that  $(j, \text{raise } v_1, \mathcal{TS}^{\sigma \sigma} \mathcal{ST} \text{raise } v_2) \in \mathcal{E}[\sigma^\div] \rho$ . This holds vacuously since  $\mathcal{V}[\mathbf{0}] \rho = \emptyset$ .

2. Values We omit the cases for unit, sums, and pairs.

**Case  $\sigma = \sigma_1 \rightarrow \sigma_2, (\sigma_1 \rightarrow \sigma_2)^+ = \exists \alpha. \langle (\langle \alpha, \sigma_1^+ \rangle \rightarrow \sigma_2^\div), \alpha \rangle$ :**

By definition of  $\mathcal{V}[(\sigma_1 \rightarrow \sigma_2)^+] \rho$ ,  $v_1 = \text{pack}(\tau_1, \langle v_1'', v_1''' \rangle)$  as  $(\sigma_1 \rightarrow \sigma_2)^+$  and  $v_2 = \text{pack}(\tau_2, \langle v_2'', v_2''' \rangle)$  as  $(\sigma_2 \rightarrow \sigma_3)^+$  such that there exists  $R \in \text{Rel}[\tau_1, \tau_2]$  such that  $(k, v_1'', v_2'') \in \mathcal{V}[\alpha] \rho'$  and  $(k, v_1'', v_2') \in \mathcal{V}[\langle \alpha, \sigma_1^+ \rangle \rightarrow \sigma_2^\div] \rho'$  where  $\rho' = \rho[\alpha \mapsto (\tau_1, \tau_2, R)]$ .

Furthermore,  $\mathbf{v}_1'' = \lambda(\mathbf{x} : \langle \tau_1, \sigma_1^+ \rangle) \cdot \mathbf{e}_1$  and  $\mathbf{v}_2'' = \lambda(\mathbf{x} : \langle \tau_2, \sigma_1^+ \rangle) \cdot \mathbf{e}_2$  such that for any  $j \leq k, (j, \mathbf{v}_1''', \mathbf{v}_2''') \in \mathcal{V}[\langle \alpha, \sigma_1^+ \rangle] \rho'$ ,  $(j, \mathbf{e}_1[\mathbf{v}_1'''/\mathbf{x}], \mathbf{e}_2[\mathbf{v}_2'''/\mathbf{x}]) \in \mathcal{E}[\sigma_2^\div] \rho'$ .

Next, as in the proofs of Lemma 10.5 and Lemma 10.4,

$\sigma_1 \rightarrow \sigma_2 \mathcal{ST} \text{return } \mathbf{v}_2 \longmapsto$

$\lambda(\mathbf{x} : \sigma_1). \sigma_2 \mathcal{ST} (\text{unpack } (\alpha, \mathbf{z}) = \mathbf{v}_2 \text{ in let } \mathbf{x}_f = \text{return } \mathbf{z}.1 \text{ in } \dots) \text{ which we denote } \mathbf{v}'_2$   
 $\quad \quad \quad \text{let } \mathbf{x}_{\text{env}} = \text{return } \mathbf{z}.2 \text{ in}$   
 $\quad \quad \quad \text{let } \mathbf{x} = \mathcal{TS}^{\sigma_1} \mathbf{x} \text{ in } \mathbf{x}_f [\alpha] \langle \mathbf{x}_{\text{env}}, \mathbf{x} \rangle$

and  $\mathcal{TS}^{\sigma_1 \rightarrow \sigma_2} \mathbf{v}'_2 \longmapsto$

$\text{return pack } (1, \langle \lambda(\mathbf{z} : \langle 1, \sigma_1^+ \rangle) \cdot \mathbf{v}_2' \rangle, \langle \rangle) \text{ as } (\sigma_1 \rightarrow \sigma_2)^+$  and we define

$$\mathcal{TS}^{\sigma'} \left( \begin{array}{l} \text{let } \mathbf{x} = \sigma'' \mathcal{ST} \text{return}_0 \mathbf{z}.2 \text{ in} \\ \mathbf{v}'_2 \mathbf{x} \end{array} \right)$$

the value in the **return** here to be  $\mathbf{v}'_2$ . Then  $\mathcal{TS}^{\sigma_1 \rightarrow \sigma_2} \sigma_1 \rightarrow \sigma_2 \mathcal{ST} \text{return } \mathbf{v}_2 \longmapsto^2 \text{return } \mathbf{v}'_2$ , so we need to show that  $(k, \mathbf{v}_1, \mathbf{v}_2') \in \mathcal{V}[\exists \alpha. \langle \langle \alpha, \sigma_1^+ \rangle \rightarrow \sigma_2^\div, \alpha \rangle] \rho$ .

We define  $R'$  to be the relation  $\{(j, \mathbf{v}_1'', \langle \rangle) | j \leq k\}$ . Then  $R' \in \text{Rel}[\tau_1, 1]$ . Define  $\rho'' = \rho[\alpha \mapsto (\tau_1, 1, R')]$ . Then  $(k, \mathbf{v}_1'', \langle \rangle) \in \mathcal{V}[\alpha] \rho''$ .

Next, we need to show that for every  $(j, \mathbf{v}^2_1, \mathbf{v}^2_2) \in \mathcal{V}[\langle \alpha, \sigma_1^+ \rangle] \rho''$ ,

$(j, \mathbf{e}_1[\mathbf{v}^2_1/\mathbf{z}], \mathcal{TS}^{\sigma'} \left( \begin{array}{l} \text{let } \mathbf{x} = \sigma'' \mathcal{ST} \text{return}_0 (\mathbf{v}^2_2).2 \text{ in} \\ \mathbf{v}'_2 \mathbf{x} \end{array} \right)) \in \mathcal{E}[\sigma_2^\div] \rho''$ . By definition of  $\mathcal{V}[\langle \alpha, \sigma_1^+ \rangle] \rho''$ ,

$\mathbf{v}^2_1 = \langle \mathbf{v}_1'', \mathbf{v}^3_1 \rangle, \mathbf{v}^2_2 = \langle \langle \rangle, \mathbf{v}^3_2 \rangle$  such that  $(j, \mathbf{v}^3_1, \mathbf{v}^3_2) \in \mathcal{V}[\sigma_1^+] \rho''$ .

Furthermore by Lemma 10.5 and Lemma 10.4, there exist  $m, n, \mathbf{v}^3_2, \mathbf{v}^3_2$  such that  $\sigma_1 \mathcal{ST} \text{return } \mathbf{v}^3_2 \longmapsto^m \mathbf{v}^4_2$  and  $\mathcal{TS}^{\sigma_1} \mathbf{v}^4_2 \longmapsto^n \text{return } \mathbf{v}^4_2$ .

$\mathcal{TS}^{\sigma'} \left( \begin{array}{l} \text{let } \mathbf{x} = \sigma'' \mathcal{ST} \text{return}_0 (\mathbf{v}^2_2).2 \text{ in} \\ \mathbf{v}'_2 \mathbf{x} \end{array} \right) \longmapsto^{m+2} \mathbf{v}'_2 \mathbf{v}^4_2 \longmapsto^{n+8} \mathbf{e}_2[\langle \mathbf{v}_2'', \mathbf{v}^4_2 \rangle/\mathbf{x}]$ .

Thus by Lemma 7.11 and fact that  $(k, \mathbf{v}_1'', \mathbf{v}_2'') \in \mathcal{V}[\langle \alpha, \sigma_1^+ \rangle \rightarrow \sigma_2^\div] \rho'$  it is sufficient to show that  $(j, \mathbf{v}^2_1, \langle \mathbf{v}_2'', \mathbf{v}^4_2 \rangle) \in \mathcal{V}[\langle \alpha, \sigma_1^+ \rangle] \rho'$ .

Recalling that  $\mathbf{v}^2_1 = \langle \mathbf{v}_1'', \mathbf{v}^3_1 \rangle$ , we get  $(j, \mathbf{v}_1'', \mathbf{v}_2'') \in \mathcal{V}[\alpha] \rho'$  by Lemma 7.6. Finally we need to show that  $(j, \mathbf{v}^3_1, \mathbf{v}^4_2) \in \mathcal{V}[\sigma_1^+] \rho'$ . By inductive hypothesis and the fact that  $(j, \mathbf{v}^3_1, \mathbf{v}^3_2) \in \mathcal{V}[\sigma^+] \rho'', (j, \mathbf{v}^3_1, \mathbf{v}^4_2) \in \mathcal{V}[\sigma^+] \rho''$ . Then the property holds by two applications of Lemma 7.4.

Case  $\sigma = \mu\alpha. \sigma', (\mu\alpha. \sigma')^+ = \mu\alpha. \sigma'^+$ : the proof is directly analogous to the case in Lemma 10.6.

□

### Lemma 10.8 (Boundary Cancellation Equivalence)

1. If  $\Delta; \Gamma \vdash \mathbf{e} : \sigma$ , then  $\Delta; \Gamma \vdash \mathbf{e} \approx_{\text{ST}}^{\text{ctx}} \sigma \mathcal{ST} (\mathcal{TS}^\sigma \mathbf{e}) : \sigma$ .
2. If  $\Delta; \Gamma \vdash \mathbf{e} : \sigma^\div$ , then  $\Delta; \Gamma \vdash \mathbf{e} \approx_{\text{ST}}^{\text{ctx}} \mathcal{TS}^\sigma (\sigma \mathcal{ST} \mathbf{e}) : \sigma^\div$ .

### Proof

By Theorem 7.43, induction on the step index, Lemma 10.6 and Lemma 10.7.

□

### Lemma 10.9 (Cross Language Relation Alternative)

1.  $(k, \mathbf{e}, \mathbf{e}) \in \mathcal{E}^\div[\sigma] \text{ iff } (k, \mathbf{e}, \sigma \mathcal{ST} \mathbf{e}) \in \mathcal{E}[\sigma] \emptyset$
2.  $(k, \mathbf{e}, \mathbf{e}) \in \mathcal{E}^\div[\sigma] \text{ iff } (k, \mathcal{TS}^\sigma \mathbf{e}, \mathbf{e}) \in \mathcal{E}[\sigma^\div] \emptyset$
3.  $\cdot \vdash \mathbf{e} \approx_\div \mathbf{e} : \sigma \text{ iff } \cdot \vdash \mathbf{e} \approx_{\text{ST}}^{\text{ctx}} \sigma \mathcal{ST} \mathbf{e} : \sigma$

$$4. \cdot \vdash e \approx_{\div} e : \sigma \text{ iff } \cdot \vdash \mathcal{TS}^{\sigma} e \approx_{\text{ST}}^{ctx} e : \sigma^{\div}$$

**Proof**

**Case** Expansion of definition.

**Case** By previous case, Lemma 7.35 and Lemma 10.7.

**Case** By above and induction on  $k$ .

**Case** By above and induction on  $k$ .

□

**Lemma 10.10 (Contextual Boundary Cancellation)**

1.  $(k, e_1, C[v_2]) \in \mathcal{E}[\theta] \rho \text{ iff } (k, e_1, C[v_2]) \in \mathcal{E}[\theta] \rho \text{ where } \mathcal{S}^{\sigma} \mathcal{S}^{\sigma} v_2 \xrightarrow{*} v'_2$ .
2.  $(k, e_1, C[e_2]) \in \mathcal{E}[\theta] \rho \text{ iff } (k, e_1, C[\mathcal{S}^{\sigma} \mathcal{S}^{\sigma} e_2]) \in \mathcal{E}[\theta] \rho$
3.  $(k, e_1, C[v_2]) \in \mathcal{E}[\theta] \rho \text{ iff } (k, e_1, C[v'_2]) \in \mathcal{E}[\theta] \rho \text{ where } \mathcal{S}^{\sigma} \mathcal{S}^{\sigma} \text{return}_0 v_2 \xrightarrow{*} \text{return}_0 v'_2$ .
4.  $(k, e_1, C[e_2]) \in \mathcal{E}[\theta] \rho \text{ iff } (k, e_1, C[\mathcal{S}^{\sigma} \mathcal{S}^{\sigma} e_2]) \in \mathcal{E}[\theta] \rho$

**Proof**

By Lemma 7.39, Lemma 10.6 and Lemma 10.7. □

**Lemma 10.11 (Cross-Language Monadic Bind)**

If  $(k, e, e) \in \mathcal{E}^{\div}[\sigma]$  and for all  $j \leq k$ , if  $(j, v, v) \in \mathcal{V}^+[\sigma]$  then  $(j, K[v], K[\text{return}_0 v]) \in \mathcal{E}^{\div}[\sigma']$ , then  $(k, K[e], K[e]) \in \mathcal{E}^{\div}[\sigma']$ .

**Proof**

Applying Lemma 10.10 and definition of  $\mathcal{E}^{\div}[\sigma']$ , it is sufficient to prove that  $(k, K[e], \mathcal{S}^{\sigma'} \mathcal{S}^{\sigma} K[\mathcal{S}^{\sigma} \mathcal{S}^{\sigma} e]) \in \mathcal{E}[\sigma'] \emptyset$ .

By Lemma 7.9, it is sufficient to prove that for all  $j \leq k$  and  $(j, v_1, v_2) \in \mathcal{V}[\sigma] \emptyset$ ,  $(j, K[v_1], \mathcal{S}^{\sigma'} \mathcal{S}^{\sigma} K[\mathcal{S}^{\sigma} v_2]) \in \mathcal{E}[\sigma'] \emptyset$ .

By Lemma 10.4, there exists  $v_2$  such that  $\mathcal{S}^{\sigma} v_2 \xrightarrow{*} \text{return } v_2$ . Then by Lemma 7.11, it is sufficient to show that  $(j, K[v_1], \mathcal{S}^{\sigma'} \mathcal{S}^{\sigma} K[\text{return } v_2]) \in \mathcal{E}[\sigma'] \emptyset$ , which holds by hypothesis since  $(j, v_1, v_2) \in \mathcal{V}^+[\sigma]$ . □

**Lemma 10.12 (Cross Language Expression Relation closed under Anti Reduction)**

If  $(k, e, \mathcal{S}^{\sigma} e) \in \text{Atom}[\sigma] \emptyset$ ,  $e \xrightarrow{k_1} e'$ ,  $e \xrightarrow{k_2} e'$ ,  $(k', e', e') \in \mathcal{E}^{\div}[\sigma]$  and  $k \leq k' + \min(k_1, k_2)$  then  $(k, e, e) \in \mathcal{E}^{\div}[\sigma]$

**Proof**

Immediate by definition of the operational semantics and Lemma 7.11. □

**Lemma 10.13 (Cross Language Value Relation Embeds in Expression Relation)**

If  $(k, v, v) \in \mathcal{V}^+[\sigma]$  then  $(k, v, \text{return}_0 v) \in \mathcal{E}^{\div}[\sigma]$

**Proof**

We need to show

$$(k, v, \text{return}_0 v) \in \mathcal{E}^{\div}[\sigma]$$

that is

$$(k, v, \mathcal{S}^{\sigma} \text{return}_0 v) \in \mathcal{E}[\sigma] \emptyset$$

By definition of  $\mathcal{V}^+[\sigma]$ ,  $\mathcal{S}^{\sigma} \text{return}_0 v \xrightarrow{*} v'$  such that  $(k, v, v') \in \mathcal{V}[\sigma] \emptyset$ . Thus the result holds by Lemma 10.12 and Lemma 7.8. □

### Theorem 10.14 (Translation preserves Semantics)

1. If  $\Gamma \vdash v : \sigma$ , and  $\Gamma \vdash v : \sigma \rightsquigarrow_v v$  then  $\Gamma \vdash v \approx_+ v : \sigma$ .
2. If  $\Gamma \vdash e : \sigma$ , and  $\Gamma \vdash e : \sigma \rightsquigarrow_e e$  then  $\Gamma \vdash e \approx_\div e : \sigma$ .

#### Proof

We proceed by mutual induction on the structure of the translation judgments. We omit the cases for unit, sums, pairs, projections, and case. For each case, suppose  $(k, \gamma, \gamma) \in \mathcal{G}^+[\Gamma]$ .

1. Values:

**Case  $v = x, \Gamma \vdash x : \sigma \rightsquigarrow_v x$ :**

We need to show that there exists  $v'$  such that  ${}^\sigma \mathcal{ST} \text{return } \gamma(v) \mapsto^* v'$  such that for any  $k \geq 0$ ,  $(k, \gamma(x), v') \in \mathcal{V}[\sigma] \emptyset$ . This holds directly by definition of  $\mathcal{G}^+[\Gamma]$ .

**Case  $v = \lambda(x:\sigma'). e$ .** Then  $\sigma = \sigma' \rightarrow \sigma''$  and  $\Gamma \vdash v : \sigma' \rightarrow \sigma'' \rightsquigarrow_v v$  where

```

v = pack (τenv, ⟨λ(z:⟨τenv, σ'+⟩). , ⟨y1, …, yn⟩⟩) as ∃α. ⟨⟨α, σ'+⟩ → σ''+, α⟩,
    let yenv = return0 z.1 in
    let y1 = return0 yenv.1 in
    :
    let yn = return0 yenv.n in
    let x = return0 z.2 in e
  
```

$(y_1, \dots, y_n) = \text{fv}(\lambda(x:\sigma'). e)$ ,  $\Gamma(y_i) = \sigma_i$  for each  $i \in \{1, \dots, n\}$ ,  $\Gamma' = (y_1 : \sigma_1, \dots, y_n : \sigma_n)$ ,  $\tau_{env} = \langle \sigma_1^+, \dots, \sigma_n^+ \rangle$ , and  $\Gamma', x : \sigma \vdash e : \sigma'' \rightsquigarrow_e e$ .

Next,  ${}^{\sigma' \rightarrow \sigma''} \mathcal{ST} \gamma(v) \mapsto v_2$ , where

```

v2 = λ(x:σ'). σ'' ST (unpack (α, z') = γ(v) in let xf = return z'.1 in
                                let xenv = return z'.2 in
                                let x' = TSσ' v2 in xf [α] ⟨xenv, x'⟩)
  
```

We need to show that  $(k, \gamma(v), v_2) \in \mathcal{V}[\sigma' \rightarrow \sigma''] \emptyset$ .

Let  $j \leq k, (j, v'_1, v'_2) \in \mathcal{V}[\sigma']$ . We need to show that

```

(j, γ(e)[v'_1/x], σ'' ST (unpack (α, z') = γ(v) in let xf = return z'.1 in
                                let xenv = return z'.2 in
                                let x' = TSσ' v2 in xf [α] ⟨xenv, x'⟩)) ∈ E[σ''] ∅
  
```

By Lemma 10.4,  $TS^{\sigma'} v'_2 \mapsto^* \text{return } v'_2$  for some  $v'_2$ . Then by Lemma 10.6,  $(j, v'_1, v'_2) \in \mathcal{V}^+[\sigma']$ .

Now define  $\gamma'(y_i) = \gamma(y_i)$  for each  $y_i \in \text{fv}(v)$  and  $\gamma'(x) = v'_1$ . Then  $\gamma(e)[v'_1/x] = \gamma'(e)$  since  $y_1, \dots, y_n, x = \text{fv}(e)$ .

Next, define  $\gamma'(y_i) = \gamma(y_i)$  for each  $y_i \in \text{fv}(v)$  and  $\gamma'(x) = v'_2$ . Then  $(j, \gamma', \gamma') \in \mathcal{G}^+[\Gamma', x : \sigma']$  by Lemma 7.6.

Next,  ${}^{\sigma''} \mathcal{ST} (unpack (α, z') = γ(v) in let x<sub>f</sub> = return z'.1 in
 let x<sub>env</sub> = return z'.2 in
 let x' = TS<sup>σ'</sup> v<sub>2</sub> in x<sub>f</sub> [α] ⟨x<sub>env</sub>, x'⟩)$

$\mapsto^* \gamma'(e[\tau/α][…/z'][…/x<sub>f</sub>][…/x<sub>env</sub>][…/x'][…/z][…/y<sub>env</sub>])$

$= \gamma'(e)$

The last equality is justified by the fact that  $α, z', x<sub>f</sub>, x<sub>env</sub>, x', z, y<sub>env</sub> \notin \text{fv}(e)$  which we know by Theorem 10.1.

Finally, by Lemma 7.11, we need to show that  $(j, \gamma'(e), \gamma'(e)) \in E^\div[\sigma'']$  which holds by inductive hypothesis.

**Case  $v = \text{fold}_{\mu\alpha.\sigma'} v'$ :** Then  $\Gamma \vdash \text{fold}_{\mu\alpha.\sigma} v : \mu\alpha. \sigma \rightsquigarrow_v \text{fold}_{\mu\alpha.\sigma^+} v$  where  $\Gamma \vdash v : \sigma[\mu\alpha. \sigma/\alpha] \rightsquigarrow_v v$ .  
 By inductive hypothesis,  $\exists v_2. \gamma(\mathcal{TS}^{\sigma[\mu\alpha.\sigma/\alpha]} v) \xrightarrow{*}^* v_2 \wedge (k, \gamma(v'), v_2) \in \mathcal{V}[\sigma[\mu\alpha. \sigma/\alpha]] \emptyset$ .  
 Then by the operational semantics,  $\mathcal{TS}^{\mu\alpha.\sigma} \text{fold}_{\mu\alpha.\sigma^+} v \xrightarrow{*}^* \text{fold}_{\mu\alpha.\sigma'} v_2$ .  
 We need to show  $(k, \text{fold}_{\mu\alpha.\sigma'} \gamma(v'), \text{fold}_{\mu\alpha.\sigma'} v_2) \in \mathcal{V}[\mu\alpha. \sigma'] \emptyset$ .  
 If  $k = 0$ , this is trivial. Otherwise the result follows by Lemma 7.6.

2. Expressions:

**Case  $e = v, \Gamma \vdash v : \sigma \rightsquigarrow_e \text{return } v$**  where  $\Gamma \vdash v : \sigma \rightsquigarrow_v v$ : we need to show that

$$(k, \gamma(v), {}^{\sigma} \mathcal{ST} \text{return } \gamma(v)) \in \mathcal{E}[\sigma] \emptyset.$$

By inductive hypothesis there is a  $v'$  such that  ${}^{\sigma} \mathcal{ST} \gamma(v) \xrightarrow{*}^* v'$  and  $(k, \gamma(v), v') \in \mathcal{V}[\sigma] \emptyset$ , so the result holds by Lemma 7.11 and Lemma 7.8.

**Case  $e = v_1 v_2$ :** Then

$$\begin{aligned} \Gamma \vdash v_1 v_2 : \sigma_2 \rightsquigarrow_e \text{unpack } (\alpha, z) = v_1 \text{ in} \\ \text{let } y_1 = \text{return } z.1 \text{ in} \\ \text{let } y_2 = \text{return } z.2 \text{ in} \\ y_1 \langle y_2, v_2 \rangle \end{aligned}$$

where  $\Gamma \vdash v_1 : \sigma_1 \rightarrow \sigma_2 \rightsquigarrow_v v_1$  and  $\Gamma \vdash v_2 : \sigma_1 \rightsquigarrow_v v_2$ .  
 We need to show that

$$\left( \begin{array}{l} k, \gamma(v_1) \gamma(v_2), \text{unpack } (\alpha, z) = \gamma(v_1) \text{ in} \\ \text{let } y_1 = \text{return } z.1 \text{ in} \\ \text{let } y_2 = \text{return } z.2 \text{ in} \\ y_1 \langle y_2, \gamma(v_2) \rangle \end{array} \right) \in \mathcal{E}^{\div}[\sigma_2]$$

By Lemma 10.10, it is sufficient to show that

$$\left( \begin{array}{l} k, \gamma(v_1) \gamma(v_2), \text{unpack } (\alpha, z) = v'_1 \text{ in} \\ \text{let } y_1 = \text{return } z.1 \text{ in} \\ \text{let } y_2 = \text{return } z.2 \text{ in} \\ y_1 \langle y_2, \gamma(v_2) \rangle \end{array} \right) \in \mathcal{E}^{\div}[\sigma_2]$$

where  $\mathcal{TS}^{\sigma_1 \rightarrow \sigma_2} \mathcal{ST} \text{return } \gamma(v_1) \xrightarrow{*}^* \text{return } v'_1$ . By definition of the operational semantics we see that

$$v'_1 = \text{pack } (1, \langle \lambda(z : \langle 1, \sigma''^+ \rangle). \mathcal{TS}^{\sigma'} \left( \begin{array}{l} \text{let } x = {}^{\sigma''} \mathcal{ST} \text{return}_0 z.2 \text{ in} \\ v'_1 x \end{array} \right) \rangle, \langle \rangle) \text{ as } (\sigma_1 \rightarrow \sigma_2)^+$$

where

$$v'_1 = \lambda(x : \sigma_1). {}^{\sigma_2} \mathcal{ST} (\text{unpack } (\alpha, z) = v_1 \text{ in let } x_f = \text{return } z.1 \text{ in} \\ \text{let } x_{\text{env}} = \text{return } z.2 \text{ in} \\ \text{let } x = \mathcal{TS}^{\sigma_1} x \text{ in } x_f [\alpha] \langle x_{\text{env}}, x \rangle)$$

By definition of  $\mathcal{V}^+$  and inductive hypothesis,  $(k, \gamma(v_1), v'_1) \in \mathcal{V}[\sigma_1 \rightarrow \sigma_2] \emptyset$ .  
Next,

$$\begin{aligned} & \stackrel{\sigma_2}{\mathcal{ST}} \text{unpack } (\alpha, z) = v'_1 \text{ in } \xrightarrow{5} \stackrel{\sigma_2}{\mathcal{ST}} \left( \begin{array}{l} \lambda(z : \langle 1, \sigma_1^+ \rangle). \\ \mathcal{T} \stackrel{\sigma_2}{\mathcal{S}} \left( \begin{array}{l} \text{let } x = \stackrel{\sigma_1}{\mathcal{ST}} \text{return}_0 z.2 \text{ in} \\ v'_1 \times \end{array} \right) \end{array} \right) \langle \langle \rangle, \gamma(v_2) \rangle \\ & \quad \xrightarrow{2} \stackrel{\sigma_2}{\mathcal{ST}} \mathcal{T} \stackrel{\sigma_2}{\mathcal{S}} (\text{let } x = \stackrel{\sigma_1}{\mathcal{ST}} \text{return}_0 \gamma(v_2) \text{ in } v'_1 \times) \end{aligned}$$

Therefore by Lemma 7.11 and Lemma 10.6, it is sufficient to show that

$$(k, \gamma(v_1) \gamma(v_2), \text{let } x = \stackrel{\sigma_1}{\mathcal{ST}} \text{return}_0 \gamma(v_2) \text{ in } v'_1 \times) \in \mathcal{E}[\sigma_2] \emptyset.$$

Next, by Lemma 10.5,  $\stackrel{\sigma_1}{\mathcal{ST}} \text{return}_0 \gamma(v_2) \xrightarrow{*} v''_2$  and by inductive hypothesis  $(k, \gamma(v_2), v''_2) \in \mathcal{V}[\sigma_1] \emptyset$ , so  $\text{let } x = \stackrel{\sigma_1}{\mathcal{ST}} \text{return}_0 \gamma(v_2) \text{ in } v'_1 \times \xrightarrow{*} v'_1 v''_2$ , so by Lemma 7.11 it is sufficient to show that

$$(k, \gamma(v_1) \gamma(v_2), v'_1 v''_2) \in \mathcal{E}[\sigma_2] \emptyset,$$

which holds by similar reasoning to Lemma 7.19.

**Case**  $e = \text{unfold } v, \Gamma \vdash e : \sigma[\mu\alpha. \sigma/\alpha] \rightsquigarrow_e \text{return unfold } v$ , where  $\Gamma \vdash v : \mu\alpha. \sigma \rightsquigarrow_v v$ .

We need to show that for all  $k \geq 0$ ,

$$(k, \text{unfold } \gamma(v), \stackrel{\sigma[\mu\alpha. \sigma/\alpha]}{\mathcal{ST}} \text{return unfold } \gamma(v)) \in \mathcal{E}[\sigma[\mu\alpha. \sigma/\alpha]^\div] \emptyset$$

and by inductive hypothesis and Lemma 10.5,  $\stackrel{\mu\alpha. \sigma}{\mathcal{ST}} \text{return } \gamma(v) \xrightarrow{*} v'$  and  $(k, \gamma(v), v') \in \mathcal{V}[\mu\alpha. \sigma] \emptyset$ . By definition of  $\mathcal{V}[\mu\alpha. \sigma] \emptyset$ , this means  $\gamma(v) = \text{fold}_{\mu\alpha. \sigma} v_1$  and  $v' = \text{fold}_{\mu\alpha. \sigma} v_2$  where for all  $j < k$ ,  $(j, v_1, v_2) \in \mathcal{V}[\sigma[\mu\alpha. \sigma/\alpha]] \emptyset$ .

Then by definition of the operational semantics,  $\gamma(v) = \text{fold}_{(\mu\alpha. \sigma)^+} v_2$  where  $\stackrel{\sigma[\mu\alpha. \sigma/\alpha]}{\mathcal{ST}} \text{return } v_2 \xrightarrow{*} v_2$ .

Therefore

$$\text{unfold } \gamma(v) = \text{unfold } \text{fold}_{\mu\alpha. \sigma} v_1 \xrightarrow{} v_1$$

and

$$\begin{aligned} \stackrel{\sigma[\mu\alpha. \sigma/\alpha]}{\mathcal{ST}} \text{return unfold } \gamma(v) &= \stackrel{\sigma[\mu\alpha. \sigma/\alpha]}{\mathcal{ST}} \text{return unfold } (\text{fold}_{(\mu\alpha. \sigma)^+} v_2) \\ &\xrightarrow{} \stackrel{\sigma[\mu\alpha. \sigma/\alpha]}{\mathcal{ST}} \text{return } v_2 \\ &\xrightarrow{*} v_2 \end{aligned}$$

so by Lemma 7.11, it is sufficient to show that  $(k-1, v_1, v_2) \in \mathcal{E}[\sigma[\mu\alpha. \sigma/\alpha]] \emptyset$ , which holds by inductive hypothesis and Lemma 7.8.

**Case**  $e = \text{let } x = e_1 \text{ in } e_2, \Gamma \vdash e : \sigma \rightsquigarrow_e \text{handle } e_1 \text{ with } (x. e_2) (y. \text{raise } y)$ , where  $\Gamma \vdash e_1 : \sigma' \rightsquigarrow_e e_1$  and  $\Gamma, x : \sigma' \vdash e_2 : \sigma \rightsquigarrow_e e_2$ .

We need to show that for all  $k \geq 0$ ,

$$(k, \text{let } x = \gamma(e_1) \text{ in } \gamma(e_2), \stackrel{\sigma}{\mathcal{ST}} (\text{handle } \gamma(e_1) \text{ with } (x. \gamma(e_2)) (y. \text{raise } y))) \in \mathcal{E}[\sigma^\div] \emptyset.$$

By Lemma 10.10, it is sufficient to show that

$$(k, \text{let } x = \gamma(e_1) \text{ in } \gamma(e_2), \stackrel{\sigma}{\mathcal{ST}} (\text{handle } \mathcal{T} \mathcal{S} \stackrel{\sigma'}{\mathcal{ST}} \mathcal{T} \mathcal{S} \gamma(e_1) \text{ with } (x. \gamma(e_2)) (y. \text{raise } y))) \in \mathcal{E}[\sigma^\div] \emptyset$$

By inductive hypothesis,  $(k, \gamma(\mathbf{e}_1), {}^{\sigma'} \mathcal{ST} \gamma(\mathbf{e}_1)) \in \mathcal{E} [[\sigma'^{\div}]] \emptyset$ . By Lemma 7.9, it is sufficient to show that for all  $j \leq k$ ,  $(j, \mathbf{v}_1, \mathbf{v}_2) \in \mathcal{V} [[\sigma']] \emptyset$ ,

$$(j, \text{let } x = v_1 \text{ in } \gamma(\mathbf{e}_2), {}^{\sigma} \mathcal{ST} (\text{handle } \mathcal{T} \mathcal{S} {}^{\sigma'} v_2 \text{ with } (x, \gamma(\mathbf{e}_2)) (y, \text{raise } y))) \in \mathcal{E} [[\sigma'^{\div}]] \emptyset.$$

By Lemma 10.4, there exists  $\mathbf{v}_2$  such that  $\mathcal{T} \mathcal{S} {}^{\sigma'} v_2 \mapsto^* \text{return } \mathbf{v}_2$ . Define  $\gamma' = \gamma[x \mapsto \mathbf{v}_1], \gamma' = \gamma[x \mapsto \mathbf{v}_2]$ . Then by Lemma 10.9,  $(k, \gamma', \gamma') \in \mathcal{G}^+ [[\Gamma, x : \sigma']]$ .

Finally,

$$\text{let } x = v_1 \text{ in } \gamma(\mathbf{e}_2) \mapsto \gamma'(\mathbf{e}_2)$$

and

$${}^{\sigma} \mathcal{ST} (\text{handle } \mathcal{T} \mathcal{S} {}^{\sigma'} v_2 \text{ with } (x, \gamma(\mathbf{e}_2)) (y, \text{raise } y)) \mapsto {}^{\sigma} \mathcal{ST} \gamma'(\mathbf{e}_2)$$

So by Lemma 7.11, it is sufficient to show that  $(j, \gamma'(\mathbf{e}_2), {}^{\sigma} \mathcal{ST} \gamma'(\mathbf{e}_2)) \in \mathcal{E} [[\sigma^+]] \emptyset$ , which holds by inductive hypothesis.

□

### Lemma 10.15 (Translation and Back-Translation Preserves and Reflects Termination)

1. If  $\cdot \vdash e : \sigma \rightsquigarrow_e e$  then  $e \Downarrow$  iff  $e \Downarrow$ .
2. If  $\cdot; \cdot \vdash^{\div} e : \theta \rightarrow e_u$  then  $e \Downarrow$  iff  $e_u \Downarrow$

#### Proof

By Lemma 10.14,  $\cdot \vdash e \approx_{\div} e : \sigma$ . Unfolding definitions, we get  $\forall k, (k, e, {}^{\sigma} \mathcal{ST} e) \in \mathcal{E} [[\sigma]] \emptyset$ . Choosing  $(k, [\cdot], [\cdot]) \in \mathcal{K} [[\sigma]] \emptyset$ , we get that  $\forall k, (k, e, {}^{\sigma} \mathcal{ST} e) \in \mathcal{O}$ .

Then if  $e \mapsto^j v$ , since  $(j+1, e, {}^{\sigma} \mathcal{ST} e) \in \mathcal{O}$ ,  ${}^{\sigma} \mathcal{ST} e \Downarrow$ . Furthermore, if  ${}^{\sigma} \mathcal{ST} e \Downarrow$  then  $e \Downarrow$ .

The other direction can be proved by a symmetric argument by starting with  $\forall k, (k, \mathcal{T} \mathcal{S} {}^{\sigma} e, e) \in \mathcal{E} [[\sigma^{\div}]] \emptyset$ .

By Theorem 9.11,  $\cdot; \cdot \vdash e_u \approx_{\mathcal{E}^U}^{\log} e : \theta$ . Unfolding definitions we get  $\forall k, (k, e_u, e) \in \mathcal{E}^U [[\theta]] \emptyset$ .

Then we have  $\forall k, (k, \text{let } x = \cdot \text{ in } \langle \rangle, \mathcal{T} \mathcal{S} \langle \rangle \text{ handle } \cdot \text{ with } (x, \text{return } \langle \rangle) (y, \text{return } \langle \rangle)) \in \mathcal{K}^U [[\theta]] \emptyset$ .

Then if  $e_u \mapsto^j v_u$ ,  $(j+2, \text{let } x = e_u \text{ in } \langle \rangle, \mathcal{T} \mathcal{S} \langle \rangle \text{ let } x = e \text{ in } \langle \rangle) \in \mathcal{O}$  and  $\text{let } x = e_u \text{ in } \langle \rangle \not\mapsto^{j+2}$ , so  $\mathcal{T} \mathcal{S} \langle \rangle \text{ let } x = e \text{ in } \langle \rangle \Downarrow$ , and therefore  $e \Downarrow$ .

A similar argument gives the reverse implication.

□

## 10.2 Full Abstraction

### Lemma 10.16 (Translation is Equivalent to Embedding)

If  $e \in \lambda^S$  and  $\Gamma \vdash e : \sigma$  and  $\Gamma \vdash e : \sigma \rightsquigarrow_e e$ , and  $\Gamma = x_1 : \sigma_1, \dots, x_n : \sigma_n$  then

$$\begin{aligned} \cdot; \Gamma^+ \vdash e &\approx_{\mathcal{ST}}^{\text{ctx}} \mathcal{T} \mathcal{S} {}^{\sigma} \text{let } x_1 = {}^{\sigma_1} \mathcal{ST} \text{return } x_1 \text{ in } : \sigma^{\div}. \\ &\vdots \\ &\text{let } x_n = {}^{\sigma_n} \mathcal{ST} \text{return } x_n \text{ in } \\ &e \end{aligned}$$

We denote the term on the right as  $\mathcal{T} \mathcal{S} {}^{\sigma} \text{let } \Gamma = \mathcal{ST} \Gamma^+ \text{ in } e$ .

#### Proof

By Theorem 7.43, it is sufficient to show that  $\cdot; \Gamma^+ \vdash e \approx_{\mathcal{E}}^{\log} \mathcal{T}\mathcal{S}^\sigma \text{let } \Gamma = \mathcal{S}\mathcal{T}\Gamma^+ \text{in } e : \sigma^\div$ .

Suppose  $(k, \gamma) \in \mathcal{G}[\Gamma^+] \emptyset$ . Then by Lemma 10.5, for each  $x_i : \sigma_i \in \Gamma$ ,

$$\stackrel{\sigma_i}{\mathcal{S}\mathcal{T}} \text{return } \gamma_2(x_i) \mapsto^* v_i$$

for some  $v_i$  and  $(k, v_i, \gamma_2(x_i)) \in \mathcal{V}^+[\sigma_i]$ .

Therefore

$$\mathcal{T}\mathcal{S}^\sigma \text{let } \Gamma = \mathcal{S}\mathcal{T}\gamma_2(\Gamma^+) \text{in } \gamma_2(e) \mapsto^* \mathcal{T}\mathcal{S}^\sigma (\cdots \gamma_2(e)[v_1/x_1] \cdots)[v_n/x_n]$$

Next,  $\gamma_2(x_i) = v_i$  since  $\Gamma \cap \Gamma^+ = \emptyset$ . Define  $\gamma(x_i) = v_i$  for each  $x_i \in \Gamma$ .

Next we want to show that  $(k, \gamma, \gamma_1) \in \mathcal{G}^U[\Gamma]$ . For any  $x_i : \sigma_i$ , we have  $(k, \gamma(x_i) = v_i, \gamma_2(x_i)) \in \mathcal{V}^+[\sigma_i]$  and  $(k, \gamma_2(x_i), \gamma_1(x_i)) \in \mathcal{V}[\sigma_i^\div] \emptyset$ . But by Lemma 7.35,  $\stackrel{\sigma_i}{\mathcal{S}\mathcal{T}} \text{return } \gamma_1(x_i) \mapsto^* v'_i$  and  $(k, v_i, v'_i) \in \mathcal{V}[\sigma_i] \emptyset$ , that is,  $(k, v_i, \gamma_1(x_i)) \in \mathcal{V}^+[\sigma_i]$ . Then we have  $(k, \gamma, \gamma_1) \in \mathcal{G}^U[\Gamma]$ .

Then by Lemma 7.11, it is sufficient to show that

$$(k, \mathcal{T}\mathcal{S}^\sigma \gamma(e), \gamma(e)) \in \mathcal{E}[\sigma^\div] \emptyset$$

which by Lemma 10.9 is equivalent to showing

$$(k, \gamma(e), \gamma(e)) \in \mathcal{E}^\div[\sigma]$$

which follows from Lemma 10.14.  $\square$

### Theorem 10.17 (Source Equivalence Implies Multi-language Equivalence)

If  $e_1, e_2 \in \lambda^S$  and  $\Gamma \vdash e_1 \approx_S^{ctx} e_2 : \sigma$ , then  $\cdot; \Gamma \vdash e_1 \approx_{ST}^{ctx} e_2 : \sigma$ .

#### Proof

We show one direction of the equivalence, the other follows by symmetry.

Suppose  $C \in \lambda^{ST}$  is an appropriate closing context and  $C[e_1] \Downarrow$ . We need to show that  $C[e_2] \Downarrow$ .

By Lemma 9.14 and Lemma 9.15, we back-translate  $\cdot; \cdot \vdash C[e_1] : \sigma' \rightarrow C[e_1]$  and  $\cdot; \cdot \vdash C[e_2] : \sigma' \rightarrow C[e_2]$  where  $C \in \lambda^S$ .

By Lemma 10.15,  $C[e_1] \Downarrow$  iff  $C[e_1] \Downarrow$  and  $C[e_2] \Downarrow$  iff  $C[e_2] \Downarrow$ .

Since  $C \in \lambda^S$  and  $\Gamma \vdash e_1 \approx_S^{ctx} e_2 : \sigma$ ,  $C[e_1] \Downarrow$  iff  $C[e_2] \Downarrow$ .

Then we compose the iffs, to get the result:

$$C[e_1] \Downarrow \text{iff } C[e_1] \Downarrow \text{iff } C[e_2] \Downarrow \text{iff } C[e_2] \Downarrow.$$

$\square$

### Theorem 10.18 (Translation Preserves Multi-language Equivalence)

If  $\cdot; \Gamma \vdash e_1 \approx_{ST}^{ctx} e_2 : \sigma$ ,  $\Gamma \vdash e_1 : \sigma \rightsquigarrow_e e_1$  and  $\Gamma \vdash e_2 : \sigma \rightsquigarrow_e e_2$ , then  $\cdot; \Gamma^+ \vdash e_1 \approx_{ST}^{ctx} e_2 : \sigma^\div$ .

#### Proof

By Lemma 10.16,

$$\cdot; \Gamma^+ \vdash e \approx_{ST}^{ctx} \mathcal{T}\mathcal{S}^\sigma \text{let } \Gamma = \mathcal{S}\mathcal{T}\Gamma^+ \text{in } e : \sigma^\div$$

and

$$\cdot; \Gamma^+ \vdash e' \approx_{ST}^{ctx} \mathcal{T}\mathcal{S}^\sigma \text{let } \Gamma = \mathcal{S}\mathcal{T}\Gamma^+ \text{in } e' : \sigma^\div.$$

Since  $\cdot; \Gamma \vdash e_1 \approx_{ST}^{ctx} e_2 : \sigma$ ,

$$\cdot; \Gamma^+ \vdash \mathcal{T}\mathcal{S}^\sigma \text{let } \Gamma = \mathcal{S}\mathcal{T}\Gamma^+ \text{in } e \approx_{ST}^{ctx} \mathcal{T}\mathcal{S}^\sigma \text{let } \Gamma = \mathcal{S}\mathcal{T}\Gamma^+ \text{in } e' : \sigma^\div.$$

The result then holds by transitivity of contextual equivalence.  $\square$

**Theorem 10.19 (Multi-language Equivalence Implies Target Equivalence)**

If  $\cdot; \Gamma^+ \vdash e_1 \approx_{ST}^{ctx} e_2 : \sigma^\div$ , then  $\cdot; \Gamma^+ \vdash e_1 \approx_T^{ctx} e_2 : \sigma^\div$ .

**Proof**

Trivial, since every target context is a multi-language context.  $\square$

**Theorem 10.20 (Translation is Equivalence Preserving)**

If  $\Gamma \vdash e \approx_S^{ctx} e' : \sigma$ ,  $\Gamma \vdash e : \sigma \rightsquigarrow_e e$  and  $\Gamma \vdash e' : \sigma \rightsquigarrow_e e'$  then  $\cdot; \Gamma^+ \vdash e \approx_T^{ctx} e' : \sigma^\div$ .

**Proof (1: Decomposed)**

By composition of Theorem 10.17, Theorem 10.18 and Theorem 10.19.  $\square$

**Proof (2: Direct)**

We prove one direction, the other case holds by symmetry. Suppose  $C \in \lambda^T$  appropriately typed.

By Lemma 10.16,

$$\cdot; \Gamma^+ \vdash e \approx_{ST}^{ctx} \mathcal{TS}^\sigma \text{let } \Gamma = \mathcal{ST} \Gamma^+ \text{in } e : \sigma^\div$$

and

$$\cdot; \Gamma^+ \vdash e' \approx_{ST}^{ctx} \mathcal{TS}^\sigma \text{let } \Gamma = \mathcal{ST} \Gamma^+ \text{in } e' : \sigma^\div.$$

Let  $C = C[\mathcal{TS}^\sigma \text{let } \Gamma = \mathcal{ST} \Gamma^+ \text{in } \cdot]$ . Then  $C[e] \Downarrow$  iff  $C[e] \Downarrow$  and  $C[e'] \Downarrow$  iff  $C[e'] \Downarrow$ .

Next, by Lemma 9.15 and Lemma 9.14, we back-translate,

$$\cdot; \cdot \vdash C[e] : \theta \rightarrow C[e]$$

and

$$\cdot; \cdot \vdash C[e'] : \theta \rightarrow C[e'].$$

Then by Lemma 10.15,  $C[e] \Downarrow$  iff  $C[e] \Downarrow$  and  $C[e'] \Downarrow$  iff  $C[e'] \Downarrow$ .

Then since  $\Gamma \vdash e \approx_S^{ctx} e' : \sigma$ ,  $C[e] \Downarrow$  iff  $C[e'] \Downarrow$ .

Then we can compose the above iffs to get the result. In summary:

$$C[e] \Downarrow \text{iff } C[e] \Downarrow \text{iff } C[e] \Downarrow \text{iff } C[e'] \Downarrow \text{iff } C[e'] \Downarrow \text{iff } C[e'] \Downarrow.$$

$\square$

**Theorem 10.21 (Translation is Equivalence Reflecting)**

If  $\Gamma \vdash e : \sigma \rightsquigarrow_e e$ ,  $\Gamma \vdash e' : \sigma \rightsquigarrow_e e'$  and  $\cdot; \Gamma^+ \vdash e \approx_T^{ctx} e' : \sigma^\div$  then  $\Gamma \vdash e \approx_S^{ctx} e' : \sigma$ .

**Proof**

Assume  $\vdash C : (\cdot; \Gamma \vdash \sigma) \Rightarrow (\cdot; \cdot \vdash \sigma')$  We need to show that  $C[e] \Downarrow$  iff  $C[e] \Downarrow$ .

First by Lemma 10.3,  $\cdot \vdash C[e] : \sigma' \rightsquigarrow_e C[e]$  and  $\cdot \vdash C[e'] : \sigma' \rightsquigarrow_e C[e']$ .

Then by Lemma 10.15,  $C[e] \Downarrow$  iff  $C[e] \Downarrow$  and  $C[e'] \Downarrow$  iff  $C[e'] \Downarrow$ .

Then since  $\cdot; \Gamma^+ \vdash e \approx_T^{ctx} e' : \sigma^\div$  and  $C \in \lambda^T$ ,  $C[e] \Downarrow$  iff  $C[e'] \Downarrow$ .

Finally, we compose the iffs to obtain our result:

$$C[e] \Downarrow \text{iff } C[e] \Downarrow \text{iff } C[e'] \Downarrow \text{iff } C[e'] \Downarrow$$

$\square$

**Theorem 10.22 (Translation is Fully Abstract)**

If  $\Gamma \vdash e : \sigma \rightsquigarrow_e e$  and  $\Gamma \vdash e' : \sigma \rightsquigarrow_e e'$  then  $\Gamma \vdash e \approx_S^{ctx} e' : \sigma$  if and only if  $\cdot; \Gamma^+ \vdash e \approx_T^{ctx} e' : \sigma^\div$ .

**Proof**

Immediate by Theorem 10.20 and Theorem 10.21  $\square$